

Simplicial Complexes - Combinatorial and Probabilistic Challenges

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Simplicial Complexes - A quick reminder/primer

A **simplicial complex** X on vertex set V is a collection of subsets of V that is **closed down** i.e.,

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The elephant outside the room

The habitat of simplicial complexes in **topology**.

However, we will not even mention here the **T word**

Nor the **H and C words** - Homology and Cohomology.

Familiar objects with new names

We see that a **graph** is synonymous with a **one-dimensional simplicial complex**. It has zero-dimensional faces (aka vertices)

Familiar objects with new names

We see that a **graph** is synonymous with a **one-dimensional simplicial complex**. It has zero-dimensional faces (aka vertices) and one dimensional faces = edges

What is expressible in this language?

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Does the language of simplicial complexes provide analogous terms?

ENUMERATION OF \mathbb{Q} -ACYCLIC SIMPLICIAL COMPLEXES

BY
GIL KALAI

ABSTRACT

Let $\mathcal{C} = \mathcal{C}(n, k)$ be the class of all simplicial complexes C over a fixed set of n vertices ($2 \leq k \leq n$) such that: (1) C has a complete $(k-1)$ -skeleton, (2) C has precisely $\binom{n-1}{k}$ k -faces, (3) $H_k(C) = 0$. We prove that for $C \in \mathcal{C}$, $H_{k-1}(C)$ is a finite group, and our main result is:

$$\sum_C |H_{k-1}(C)|^2 = n \binom{n-2}{k}.$$

Recall the incidence matrix of a graph

$V \times E$ Vertices vs. edges.

$$A_G = \begin{matrix} & \dots & ij & \dots & \dots & \dots \\ \vdots & & & & & \\ i & \left(\begin{array}{ccccc} \dots & \dots & \dots & \dots & \dots \\ \dots & +1 & \dots & \dots & \dots \\ \vdots & & & & \\ \dots & \dots & \dots & \dots & \dots \\ j & \left(\begin{array}{ccccc} \dots & -1 & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots \end{array} \right) & & & \\ \vdots & & & & \end{matrix} \right)$$

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- ▶ The **cycle space** of G is the **right kernel** of A_G .
 - ▶ Because A_G 's **right** kernel is the linear span of the indicator vectors of G 's cycle.

Recall: Equivalent descriptions of trees

Theorem

If $G = (V, E)$ is a graph with n vertices and $n - 1$ edges, then TFAE

- 1. G is connected.*
- 2. G is acyclic.*

Why G is connected iff it is acyclic

For every G $\text{rank}(A_G) \leq \text{rank}(A_{K_n}) = n - 1$

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2. G is acyclic $\Leftrightarrow A_G$ has a **zero** right kernel.

A_G is an $n \times (n - 1)$ matrix, hence this basic theorem is just an exercise in linear algebra...

Setting the scene

We need a high-dimensional analog of the incidence matrix.

Boundary operator of a simplicial complex

$(d - 1)$ -dimensional faces vs. d -dimensional faces.

$$\partial_2 = \begin{matrix} \vdots \\ ij \\ \vdots \\ ik \\ \vdots \\ \vdots \\ \vdots \\ jk \\ \vdots \end{matrix} \begin{pmatrix} \dots & \dots & ijk & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & +1 & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & -1 & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & +1 & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \end{pmatrix}$$

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We know where to start:

Q: What is the rank of $\partial_d^{K_n}$?

A: $\binom{n-1}{d}$, let's prove it

That $\text{rank}(\partial_d) \leq \binom{n-1}{d}$ follows from $\partial_{d-1}\partial_d = 0$.

To show that $\text{rank}(\partial_d) \geq \binom{n-1}{d}$ we exhibit an explicit **acyclic** set of $\binom{n-1}{d}$ columns, i.e., with zero right kernel. This is the set of d -faces of a d -dimensional hypertree.

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The simplest example of a hypertree

Arguably the simplest one-dimensional (=graphic) tree is a **star**, i.e., all 1-dimensional faces (=edges) that contain, say, vertex n .

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The same works in every dimension: Take all d -faces (=sets of size $d + 1$) which contain the vertex n . Let's see how this works.

The d -dimensional hyperstar

- ▶ The **boundary operator** ∂_d^X of a d -dimensional simplicial complex X is the signed inclusion matrix of its $(d - 1)$ vs. d -dimensional faces.
- ▶ Rows are indexed by X 's faces of dimension $d - 1$ and columns by its d -faces.
- ▶ The signing reflects the chosen **orientation** of the faces, but here we skip this issue.
- ▶ In the case of the hyperstar the rows naturally fall in two categories:

Boundary operator of the hyperstar

- ▶ The top rows are the d -sets ($= (d - 1)$ -faces) that do not contain the element n
- ▶ The bottom rows are the d -sets that contain the element n
- ▶ Columns: All $(d + 1)$ -sets that contain the element n .

Therefore:

$$\partial_d S_n = \left(\frac{I}{\partial_{d-1} S_{n-1}} \right)$$

The d -dimensional hyperstar (contd.)

- ▶ This complex, the d -dimensional hyperstar is **acyclic**, i.e., has zero right kernel due to the identity matrix part of its boundary operator.
- ▶ and since the number of its d -faces is $\binom{n-1}{d}$ it is a **hypertree**.

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- ▶ and since the number of its d -faces is $\binom{n-1}{d}$ it is a **hypertree**.
- ▶ In some cases you can draw a similar conclusion even when no such identity matrix sits there and **without any linear-algebraic calculations**.

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A simple linear algebra argument shows that **collapsibility implies acyclicity**.

Collapsing - a linear algebra perspective

Let ∂^X be the (matrix representing the) boundary operator of the complex X . If σ is the one and only d -face that contains the $(d - 1)$ -face τ , then (τ, σ) is the only nonzero entry in row τ . This yields the elementary collapse which erases row τ and column σ of ∂^X .

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But column σ cannot participate in any linear dependency of the columns, since no other column can help us zero out the τ -th coordinate.

Collapsing - a linear algebra perspective

Therefore, whether X is acyclic or not, does not change due an elementary collapse.

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X is **d -collapsible** if it is possible to eliminate all columns of A_X by a series of elementary collapses.

Consequently, if X is collapsible **then** it is acyclic. Thus, collapsing is a way to prove that the right kernel is empty.

Meanwhile... in dimension 1

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- ▶ Which graphs are 1-collapsible?
- ▶ A 1-dimensional elementary collapse: Remove from the graph a vertex v of degree 1 and the **unique** edge e , that contains it.
- ▶ Easy to verify: 1-collapsible graph=forest.
- ▶ Consequently, in dimension 1: An n -vertex $(n - 1)$ -edge graph is 1-collapsible **iff** it is a tree

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- ▶ As we saw, **collapsibility implies acyclicity** in all dimensions
- ▶ In dimension 1 the two properties are, in fact **equivalent**
- ▶ Does this equivalence hold in higher dimension as well?

No, we are not in Kensas anymore

$$\binom{6-1}{2} = 10$$

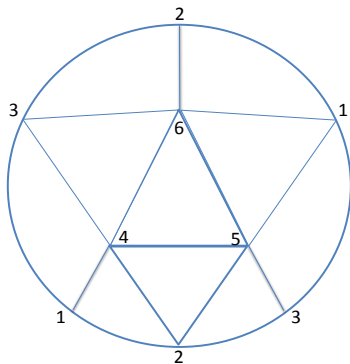


Figure: A triangulation of the projective plane

The plot thickens

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A construction: Let n be prime and $d \geq 2$. Fix a subset $A \subset \mathbb{Z}_n$ of cardinality $|A| = d + 1$. The **sum complex** X_A corresponding to A has a full $(d - 1)$ -dimensional skeleton and contains a d -face σ iff $\sum_{x \in \sigma} x \in A$.

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Theorem (Linial, Meshulam, Rosenthal)

*The complex X_A is **always a \mathbb{Q} -hypertree.***

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Theorem (Linial, Meshulam, Rosenthal)

*The complex X_A is **always a \mathbb{Q} -hypertree**. It is **collapsible iff A forms an arithmetic progression**.*

What field are we in?

ISRAEL JOURNAL OF MATHEMATICS, Vol. 45, No. 4, 1983

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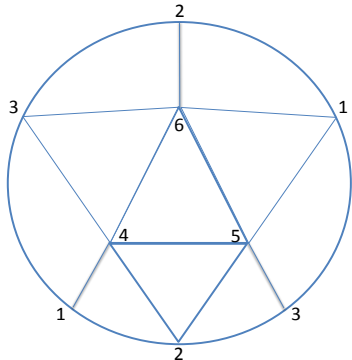
What field are we in?

Zero right kernel: over what field?

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Zero right kernel: over what field?

- ▶ For the hyperstar or any collapsible hypertree the answer is the same: **It does not matter what the underlying field is**
- ▶ What about the 6-point triangulation of the projective plane?



Orient all triangular faces **clockwise**. The sum of all the columns of the boundary operator matrix is

$$-2(e_{12} + e_{23} + e_{31})$$

The field matters

We conclude that unlike the 1-dimensional case of graphs, the notion of a d -dimensional hypertree depends on the **underlying field**.

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We conclude that unlike the 1-dimensional case of graphs, the notion of a d -dimensional hypertree depends on the **underlying field**.

Specifically: The 6-point triangulation of the projective plane is a **\mathbb{Q} -hypertree**, but **not a \mathbb{F}_2 -hypertree**.

More collapsibility vs. acyclicity

As already posed by Kalai:

More collapsibility vs. acyclicity

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Conjecture

For every $d \geq 2$ and for every field \mathbb{F} and $n \rightarrow \infty$
almost none of the n -vertex d -dimensional
 \mathbb{F} -hypertrees are *collapsible*.

This is supported by rigorous numerical experiments, but remains *open*.

More evidence - in our analysis of random complexes (coming up)

Over \mathbb{Z} rather than over a field?

Three conditions define when X is a hypertree

- ▶ Full $(d - 1)$ -skeleton.
- ▶ Right number of d -faces.
- ▶ Empty cycle space.

The latter condition can be replaced by:

- ▶ The d -faces of X span **all** $\binom{n}{d+1}$ faces of dimension d .

and this requirement makes sense not only over a field but also over \mathbb{Z} .

\mathbb{Z} -hypertrees

Definition

A d -dimensional hypertree X on vertex set $[n]$ is called a \mathbb{Z} -hypertree if the d -faces of X span over the integers all $\binom{n}{d+1}$ faces of dimension d .

Example

The 6-point triangulation of the projective plane is **not** a \mathbb{Z} -hypertree. If you want to generate the triple $(1, 2, 3)$, you need to divide by 2.

Example

Hyperstars are \mathbb{Z} -hypertrees. Likewise for all collapsible hypertrees

Is that all?

Problem

*Do there exist non-collapsible \mathbb{Z} -hypertrees?
Even \mathbb{Z} -hypertrees that afford **no** collapses?*

**Theorem (Even-Zohar, Linial, Nowik, Peled;
Work in progress)**

We constructed infinitely many 2-dimensional \mathbb{Z} -hypertrees in which no elementary collapse is possible. (i.e., every edge is covered at least twice).

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This area is still poorly understood

A random process

We consider the random process that starts with a full $(d - 1)$ -dimensional skeleton. At each step pick a random d -dimensional face $\sigma \notin X$. **If possible**, we add σ to X . Otherwise, we discard σ .

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We cannot add σ to X iff this creates a new cycle. In this case we say that σ is **in the shade** of X .

To wit: At each step we add to the current complex a random d -face σ whose addition creates no new cycle (" σ is not in the shade of X ").

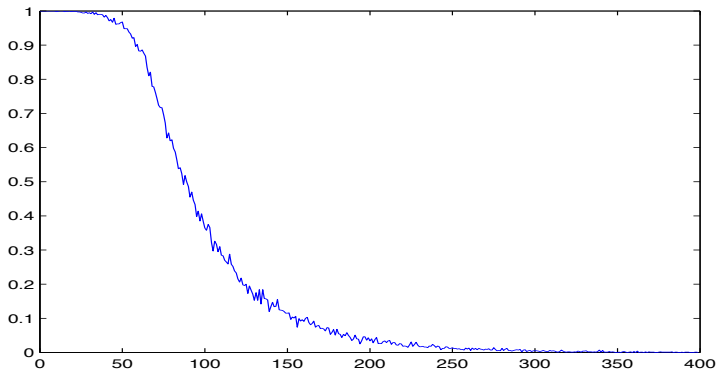
Living in the shades

Let $G = (V, E)$ be a disconnected graph, and let $ij \notin E$. We say that ij is in G 's shadow if i and j belong to the same connected component of G .

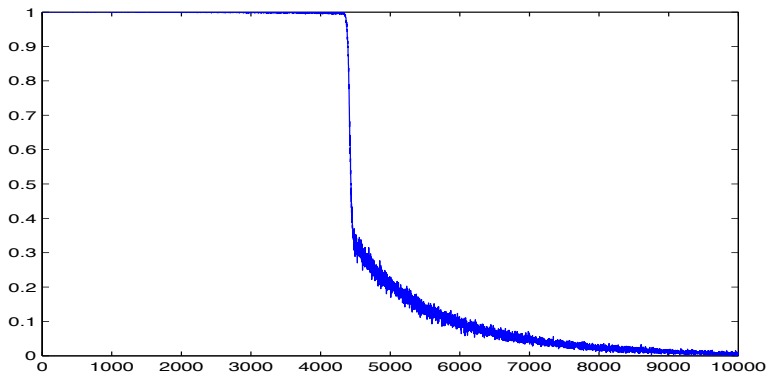
Living in the shades

Let $G = (V, E)$ be a disconnected graph, and let $ij \notin E$. We say that ij is in G 's shadow if i and j belong to the same connected component of G . In other words ij is in G 's shadow iff the column corresponding to the edge ij is in the linear span of the columns of A_G .

Outside the shadow of an evolving graph



Shadow of an evolving 2-complex



Life on the edge

The quick jump in the probability of being in the shade is very intriguing.

The complex at the transition point is very curious "the dream-catcher". It is **acyclic**, has $\Theta(n^2)$ faces, yet 70% of the $\binom{n}{3}$ faces are at its shadow.

Problem

Provide a description of the dream-catcher.

Uniform vs. Minimum Spanning Trees

In dimension $d = 1$, the above process yields the random minimum spanning tree **MST**.

(Equivalently, pick random edge weights in $(0, 1)$ and construct the resulting MST).

Problem

It is known that uniform trees and random MST differ. E.g., their diameters are $n^{1/2}$ resp. $n^{1/3}$.

Can we develop the analogous theory in higher dimensions?

Over \mathbb{Z} ?

- ▶ Can we run the same process also over \mathbb{Z} ?
- ▶ Yes, but linear algebra does not suffice. You must bring the relevant matrices to their **Smith Normal Form**.
- ▶ Things are harder outside of linear algebra.
- ▶ Namely, at least **experimentally** this process ends **one short** of the mark. I.e., a complex with $\binom{n}{2} - 1$ two-dimensional faces that cannot be extended to a 2-dimensional \mathbb{Z} -hypertree.
- ▶ Much remains unknown here.

Inspiration from $G(n, p)$

No need to explain to this audience what $G(n, p)$ is.

Or what **the evolution of random graphs** is.

What about their d -dimensional counterparts?

A d -dimensional analog of $G(n, p)$

In 2006, Roy Meshulam and I introduced a model of a random d -dimensional n -vertex complex $X_d(n, p)$.

The $X_1(n, p)$ model coincides with $G(n, p)$.

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Start with a **full $(d - 1)$ -dimensional skeleon**. (For graphs, we start with n vertices.)

Each d -dimensional face (For graphs - each **edge**), is placed in X independently and with probability p .

Recall basic facts in $G(n, p)$ theory

Theorem (Erdős and Rényi '60)

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Theorem (L. - Meshulam - Wallach)

*In $X_d(n, p)$ the threshold for the event that ∂_d has a **trivial left kernel** is*

$$p = \frac{d \ln n}{n}.$$

Collapsibility vs. acyclicity in $X_d(n, p)$

Theorem (Aronshtam, Linial, Łuczak, Meshulam, Peled)

- ▶ *The collapsibility threshold in $X_d(n, p)$ is*

$$(1 + o_d(1)) \frac{\log d}{n}.$$

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- ▶ *The collapsibility threshold in $X_d(n, p)$ is*

$$(1 + o_d(1)) \frac{\log d}{n}.$$

- ▶ *The threshold for having a cycle **whp** is*

$$\frac{d + 1 - o_d(1)}{n}.$$

Phase transition in the evolution of random complexes?

The evolution of random graphs, starts with isolated edges. Connected components appear later and are

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Phase transition in the evolution of random complexes?

The evolution of random graphs, starts with isolated edges. Connected components appear later and are

- ▶ **small** = cardinality $O(\log n)$.
- ▶ **simple** = a tree.
- ▶ Plus a Poisson number of **unicyclic graphs with $O(\log n)$ vertices**.
- ▶ Around step $\frac{n}{2}$ (i.e., $p = \frac{1}{n}$) **a giant component quickly emerges**. **giant=linear size**

More events around the phase transition

For $p < \frac{1-\epsilon}{n}$, the probability that the graph is **acyclic** is **bounded away from both zero and one**.

More events around the phase transition

For $p < \frac{1-\epsilon}{n}$, the probability that the graph is **acyclic** is **bounded away from both zero and one**.

But if $p > \frac{1+\epsilon}{n}$, G **almost surely contains a cycle**.

High-dimensional phase transition

Connected component in dimension $d \geq 2$?

Theorem (Linial, Peled)

*Exactly at the same $p = \frac{c}{n}$ where $X_d(n, p)$ almost surely acquires a cycle, the **shadow** of the complex becomes **gigantic** (i.e., has $\Omega(n^{d+1})$ faces).*

High-dimensional phase transition

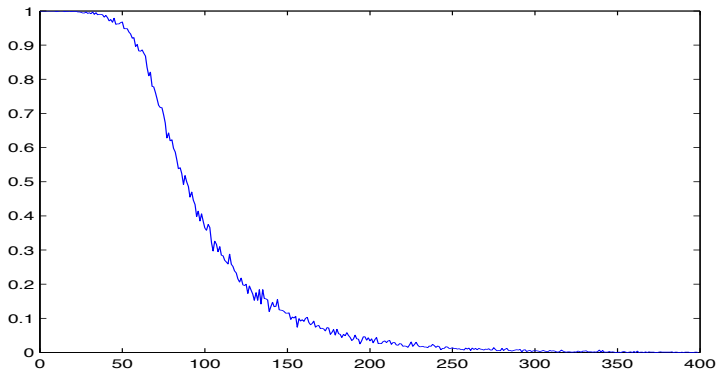
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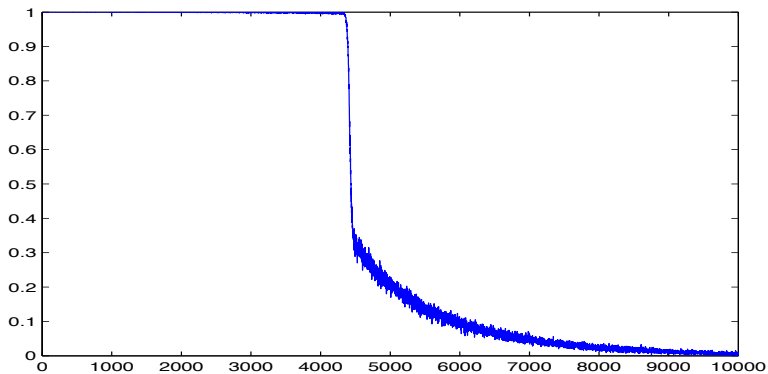
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This statement applies in all dimensions, including $d = 1$. However, when $d = 1$ the limit distribution is **continuous but not smooth**, while for $d \geq 2$ the limit distribution is **discontinuous**.

A view of phase transition in $G(n, p)$



Phase transition in $X_2(n, p)$ complexes



Cayley-Kalai Formula

Theorem (Cayley's Formula, Borchardt 1860)

The number of trees with vertex set $[n]$ is n^{n-2} .

Theorem (Kalai 1983)

$$\sum_T |H_{d-1}(T)|^2 = n^{\binom{n-2}{d}}$$

where the sum is over all n -vertex d -dimensional \mathbb{Q} -hypertrees T .

But how many d -hypertrees are there?

Open Problem

For $d \geq 2$ and large n , find (at least approximately) the number of d -dimensional n -vertex \mathbb{Q} -hypertrees.

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The estimates build on the analysis of the evolution of random complexes


More surprises in the shadows

Easy Observation

Let G be an "almost tree", i.e., an n vertex forest with $n - 2$ edges (and hence with two connected components). Then at least $(1 - o(1))\frac{n^2}{4}$, i.e., at least half of the remaining edges, are in G 's shadow.

Surprises in the shadows (contd.)

Construction: Let X be a 2-dimensional n -vertex complex with a full 1-dimensional skeleton. The 2-faces of X are the **arithmetic triples** of difference $\neq 1$. Easy fact: The number of 2-faces in X is $\binom{n-1}{2} - 1$ (one less than a 2-dimensional hypertree).

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Theorem (L., Newman, Peled, Rabinovich)

*The complex X is \mathbb{Q} -acyclic. Assuming the Riemann hypothesis¹, there are infinitely many primes n for which X has an **empty shadow**.*

¹It actually suffices to assume the weaker Artin's conjecture 

Hyperpaths?

A **path** is a tree where every **vertex** is in **two edges** or **fewer**.

Can we construct d -dimensional **hypertree** where every $(d - 1)$ -dimensional **face** is contained in $d + 1$ or fewer d -dimensional **face**?

Here is what Amir Dahari and I have done on this:

Hyperpaths (contd.)

Let's concentrate on dimension $d = 2$. Although things seem to work well for higher d as well, the case of $d = 2$ is already quite challenging.

Given a prime n and $c \in \mathbb{F}_n$ with $c \neq 0, 1, -2$, we consider the 2-complex with vertex set \mathbb{F}_n , a full 1-skeleton where the triple $\{x, y, z\}$ is a 2-face iff

$$x + y + cz = 0$$

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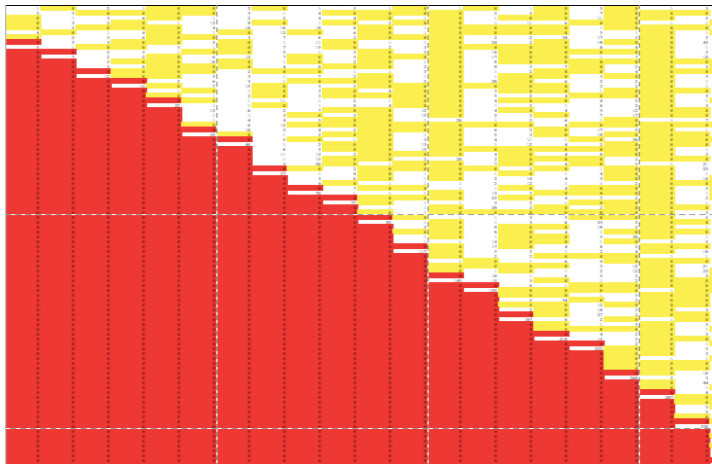
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Does it work? Often it does!

Is it a Hyperpath?



Thank you for your attention

A lower bound on the number of hypertrees: A taste of the proof

Claim: If X is an acyclic d -complex, then the number of d -faces in its shadow Y is $\leq \frac{n \cdot |X|}{d+1}$

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W is an acyclic complex, being part of v 's hyperstar. So, the columns corresponding to W are linearly independent and spanned by X . Therefore $|W| \leq |X|$, which proves our claim.

Collapsibility

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A graph G is **collapsible** if by repeated application of elementary collapses you can eliminate all of the edges in G .

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Indeed we will soon see that in higher dimensions collapsibility **implies** connectivity and acyclicity, but **the reverse implication does not hold**.