

## Tirgul 9

### Hash Tables (continued)

Reminder

Examples

## Hash Table

- In a hash table, we allocate an array of size  $m$ , which is much smaller than  $|U|$  (the set of keys).
- We use a hash function  $h()$  to determine the entry of each key.
- The crucial point: the hash function should “spread” the keys of  $U$  equally among all the entries of the array.
- The division method:
  - If we have a table of size  $m$ , we can use the hash function  $h(k) = k \bmod m$

## How to choose hash functions

- The crucial point: the hash function should “spread” the keys of  $U$  equally among all the entries of the array.
- Unfortunately, since we don’t know in advance the keys that we’ll get from  $U$ , this can be done only approximately.
- Remark: the hash functions usually assume that the keys are numbers. We’ll discuss next class what to do if the keys are not numbers.

## The division method

- A good choice example:
  - if we have  $|U|=2000$ , and we want each search to take (on average) 3 operations, we can choose the closest primal number to  $2000/3$ ,  $m=701$ .

0		701,1402
1		702,1403
.		
.		
.		
700		700...

## The multiplication method

- The disadvantage of the division method hash function is:
  - It depends on the size of the table.
  - The way we choose  $m$  affect the performance of the hash function.
- The multiplication method hash function does not depend on  $m$  as much as the division method hash function.

## The multiplication method

- The multiplication method:
  - Multiply a constant  $0 < A < 1$  with  $k$ .
  - The fractional part of  $kA$  is taken,
  - and multiplied by  $m$ .
  - Formally,  $h(k) = \lfloor m(kA \bmod 1) \rfloor$
- The multiplication method does not depends as much on  $m$  since  $A$  helps randomizing the hash function.
- In this method the are better choices for  $A$  of course...

## The multiplication method

- A bad choice of A, example:
  - if  $m = 100$  and  $A=1/3$ , then
  - for  $k=10$ ,  $h(k)=33$ ,
  - for  $k=11$ ,  $h(k)=66$ ,
  - And for  $k=12$ ,  $h(k)=99$ .
  - This is not a good choice of A, since we'll have only three values of  $h(k)$ ...
- The optimal choice of A depends on the keys themselves.
- Knuth claims that  $A \approx (\sqrt{5} - 1)/2 = 0.6180339887...$  is likely to be a good choice.

## The multiplication method

- A good choice of A, example:
  - if  $m = 1000$
  - and  $A \approx (\sqrt{5} - 1)/2 = 0.6180339887...$ , then
  - for  $k=61$ ,  $h(k)=700$ ,
  - for  $k=62$ ,  $h(k)=318$ ,
  - For  $k=63$ ,  $h(k)=936$
  - And for  $k=64$ ,  $h(k)=554$ .

## What if keys are not numbers?

- The hash functions we showed only work for numbers.
- When keys are not numbers, we should first convert them to numbers.
- A string can be treated as a number in base 256.
  - Each character is a digit between 0 and 255.
- The string "key" will be translated to  $((\text{int})'k') \times 256^2 + ((\text{int})'e') \times 256^1 + ((\text{int})'y') \times 256^0$

## Translating long strings to numbers

- The disadvantage of the method is:
  - A long string creates a large number.
  - Strings longer than 4 characters would exceed the capacity of a 32 bit integer.
- We can write the integer value of "word" as  $((w * 256 + o) * 256 + r) * 256 + d$
- When using the **division** method the following facts can be used:
  - $(a+b) \bmod n = ((a \bmod n) + b) \bmod n$
  - $(a*b) \bmod n = ((a \bmod n) * b) \bmod n$ .

## Translating long strings to numbers

- The expression we reach is:
  - $(((((w * 256 + o) \bmod m) * 256 + r) \bmod m) * 256 + d) \bmod m$
- Using the properties of mod, we get the simple alg.:

```
int hash(String s, int m)
int h=s[0]
for ( i=1 ; i<s.length ; i++)
    h = ((h*256) + s[i]) mod m
return h
```
- Notice that h is always smaller than m.
- This will also improve the performance of the algorithm.

## Collisions

- What happens when several keys have the same entry?
  - clearly it might happen, since U is much larger than m.
- Collision.
- Collisions are more likely to happen when the hash table is almost full.
- We define the "load factor" as  $\alpha = n / m$ 
  - Where n is the number of keys in the hash table,
  - And m is the size of the table.

## Chaining

- There are two approaches to handle collisions:
  - Chaining.
  - Open Addressing.
- Chaining:
  - Each entry in the table is a linked list.
  - The linked list holds all the keys that are mapped to this entry.
- Search operation on a hash table which applies chaining takes  $O(1 + \alpha)$  time.

## Chaining

- This complexity is calculated under the assumption of uniform hashing.
- Notice that in the chaining method, the load factor may be greater than one.

## Open addressing

- In this method, the table itself holds all the keys.
- We change the hash function to receive two parameters:
  - The first is the key.
  - The second is the probe number.
- We first try to locate  $h(k,0)$  in the table.
- If it fails we try to locate  $h(k,1)$  in the table, and so on.

## Open addressing

- It is required that  $\{h(k,0), \dots, h(k,m-1)\}$  will be a permutation of  $\{0, \dots, m-1\}$ .
- After  $m-1$  probes we'll definitely find a place to locate  $k$  (unless the table is full).
- Notice that here, the load factor must be smaller than one.
- There is a problem with deleting keys. What is it?

## Open addressing

- While searching key  $i$  and reaching an empty slot, we don't know if:
  - The key  $i$  doesn't exist in the table.
  - Or, key  $i$  does exist in the table but at the time key  $i$  was inserted this slot was occupied, and we should continue our search.
- We will discuss two ways to implement open addressing:
  - linear probing
  - double hashing

## Open addressing

- Linear probing -  $h(k,i) = (h(k) + i) \bmod m$ 
  - The problem: primary clustering.
- If several consecutive slots are occupied, the next free slot has high probability of being occupied.
- Search time increases when large clusters are created.
- The reason for the primary clustering stems from the fact that there are only  $m$  different probe sequences.

## Open addressing

- Double hashing –
 
$$h(k,i) = (h_1(k) + ih_2(k)) \bmod m$$
  - Better than linear probing.
  - The problem  $h_2(k)$  can not have a common divisor with  $m$  (besides 1).
  - $m^2$  different probe sequences!

## Performance (without proofs)

- Insertion and unsuccessful search of an element into an open-address hash table requires  $1/(1-\alpha)$  probes on average.
- A successful search: the average number of probes is
 
$$\frac{1}{\alpha} \ln \frac{1}{1-\alpha}$$
- For example:
  - If the table is 50% full then a search will take about 1.4 probes on average.
  - If the table 90% full then the search will take about 2.6 probes on average.

## Example for Open Addressing

- A computer science geek goes to a sibyl.
- She ask him to scramble the Tarot cards.
- The geek does not trust the sibyl and he decides to apply open addressing as scrambling technique.
- The card numbers: 10, 22, 31, 4, 15, 28, 17, 88.
- He tries Linear probing with  $m=11$ 

$$\text{and } h_1(k) = k \bmod m.$$

[22][88][ ][ ][4][15][28][17][ ][31][10]  
 0 1 2 3 4 5 6 7 8 9 10

- He gets primary clustering which known to be bad luck...

## Example for Open Addressing

- Just before the sibyl loses her patience he tries double hashing with  $m=11$ ,  $h_2(k) = 1 + (k \bmod (m-1))$ , and  $h_1(k) = k \bmod m$ .

[22][ ][ ][17][4][15][28][88][ ][31][10]  
 0 1 2 3 4 5 6 7 8 9 10

## When should hash tables be used

- Hash tables are very useful for implementing dictionaries if we don't have an order on the elements, or we have order but we need only the standard operations.
- On the other hand, hash tables are less useful if we have order and we need more than just the standard operations.
  - For example, `last()`, or iterator over all elements, which is problematic if the load factor is very low.

## When should hash tables be used

- We should have a good estimate of the number of elements we need to store
  - For example, the huji has about 30,000 students each year, but still it is a dynamic d.b.
- Re-hashing: If we don't know a-priori the number of elements, we might need to perform re-hashing, increasing the size of the table and re-assigning all elements.