

# A Parametrized Analysis of Algorithms on Hierarchical Graphs\*

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**Abstract.** *Hierarchical graphs* are used in order to describe systems with a sequential composition of sub-systems. A hierarchical graph consists of a vector of subgraphs. Vertices in a subgraph may “call” other subgraphs. The reuse of subgraphs, possibly in a nested way, causes hierarchical graphs to be exponentially more succinct than equivalent flat graphs. Early research on hierarchical graphs and the computational price of their succinctness suggests that there is no strong correlation between the complexity of problems when applied to flat graphs and their complexity in the hierarchical setting. That is, the complexity in the hierarchical setting is higher, but all “jumps” in complexity up to an exponential one are exhibited, including no jumps in some problems.

We continue the study of the complexity of algorithms for hierarchical graphs, with the following contributions: (1) In many applications, the subgraphs have a small, often a constant, number of exit vertices, namely vertices from which control returns to the calling subgraph. We offer a parameterized analysis of the complexity and point to problems where the complexity becomes lower when the number of exit vertices is bounded by a constant. (2) We describe a general methodology for algorithms on hierarchical graphs. The methodology is based on an iterative compression of subgraphs in a way that maintains the solution to the problems and results in subgraphs whose size depends only on the number of exit vertices, and (3) We handle labeled hierarchical graphs, where edges are labeled by letters from some alphabet, and the problems refer to the languages of the graphs.

## 1 Introduction

Systems are typically constructed in a compositional manner. The two basic types of compositions are *concurrent* and *sequential*. In a concurrent composition, the state space of the composed system is essentially the product of the state spaces of its underlying components. In a sequential composition, the state space of the composed system consists of copies of the state spaces of its underlying components. Since a component may be reused several times, in particular when nesting is allowed, both types of compositions allow an exponentially more succinct presentation of systems [1]. A natural question is whether one can reason about the succinct presentation in order to answer questions about the composition.

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Beyond the theoretical interest in studying this question, the challenge of reasoning about systems in their succinct presentation is of great importance in the context of formal verification. There, we reason about a hardware or software system by translating it to a finite state machine (FSM) [6]. The FSM is a labeled graph, and since it lacks the internal structure of the high-level description of the system, we refer to it as a *flat graph*. The exponential blow-up in the translation of the system to a flat graph is typically the computational bottleneck in model-checking algorithms. For *concurrent graphs*, where components are composed in a concurrent manner [9], there has been extensive research on compositional model checking (cf., [7]). Compositionality methods are successfully applied in practice, but it is a known reality that they cannot always work. Formally, the system complexity of the model-checking problem (that is, the complexity in terms of the system, assuming a specification of a fixed length) for all common temporal logics is exponentially higher in the concurrent setting [15]. This exponential gap is carried over to other related problems such as checking language-containment and bisimulation — all are exponentially harder in the concurrent setting [12, 21].

For sequential compositions, which are common in software systems (reuse of components amounts to calling a procedure), the model used is that of *hierarchical graphs* (HG, for short). An HG consists of a vector of subgraphs. A subgraph may be used several times in the composition. Technically, this is done via special vertices, termed *boxes*, that are substituted in the composition by subgraphs. Each subgraph has an entry vertex and a set of exit vertices. In order to ensure a finite nesting depth of substitutions, the subgraphs are indexed, and a box of a graph can only *call* (that is, be substituted by) subgraphs with a strictly bigger index. A naive approach to model checking a system with such a sequential composition is to “flatten” its HG by repeatedly substituting references to subgraphs by copies of these subgraphs. This, however, results in a flat graph that is exponential in the nesting depth of the hierarchical system. In [2], it is shown that for LTL model checking, one can avoid this blow-up altogether, whereas for CTL, one can trade it for an exponential blow-up in the (often much smaller) size of the specification and the maximal number of exits of sub-structures. Likewise,  $\mu$ -calculus model-checking in hierarchical systems is only PSPACE-complete [3].

For general graph algorithms, there is a good understanding that the exponential succinctness of concurrent graphs comes with a computational price. For example, classic NEXPTIME-complete problems (cf., the succinct-Hamiltonian-path problem) are the succinct versions of NP-complete problems [11]. A similar exponential gap exists in other complexity classes. For HGs, the picture is less clear. Indeed, it is shown in [17] that there is no strong correlation between the complexity of problems when applied to flat graphs and their complexity in the hierarchical setting. More specifically, Lengauer and Wagner examine a large number of problems in different complexity classes, and show that while for some, the hierarchical setting makes the problem exponentially harder, for some it does not: problems that are in LOGSPACE, NLOGSPACE, PTIME, NPSPACE, and PSPACE in the flat setting, may stay in this complexity or jump to any (at most exponentially harder) class in the hierarchical setting. For example, graph reachability is NLOGSPACE-complete for flat graphs and is PTIME-complete for HGs. On the other hand, alternating graph reachability (that is, where the graph is a two-player game, and the players alternate moves picking a successor vertex) is PTIME-complete

for flat graphs and is PSPACE-complete for HGs. Additional examples can be found in [16, 18, 14].

We continue to investigate the complexity of algorithms on HGs. Our contributions are described below.

**Parameterized Analysis** In many applications, the subgraph components have a small, often a constant, number of exit vertices. Indeed, these exit vertices form the interface of a procedure or a library component. We offer a *parameterized analysis* of the complexity of algorithms on HGs [8]. In particular, while [17] proves that the problems *Hamiltonian path*, *3-colorability*, and *independent set* are PSPACE-complete for hierarchical graphs, we show that they are in NP, namely as hard as in the flat setting, for *HGs with a constant number of exits* (CE-HGs, for short). Likewise, while the problem of finding a *maximal flow* in a network jumps from PTIME to PSPACE in the hierarchical setting, we conjecture that it is in PTIME for CE-HGs, and prove that this is indeed the case for HGs with at most 3 exits. As another example, while [17] proves that *alternating reachability* is PSPACE-complete for HGs, we prove it is in PTIME for CE-HGs. We note that analyzing the complexity of the PSPACE algorithms in [17] for a constant number of exit vertices does not lead to improved upper bounds. Thus, our tighter complexity results involve new algorithms, as described below.

**Methodology** We define a general methodology for algorithms on HGs. For a problem  $P$ , we say that a function  $f$  on subgraphs *maintains*  $P$  if for every graph  $G$ , the graph  $f(G)$  has the same entry and exit vertices as  $G$ , and every HG that calls  $G$  may call  $f(G)$  instead without influencing  $P$ . Consider, for example, the problem  $P$  of finding a shortest path between two vertices in a graph. Consider also the function that maps a subgraph  $G$  with entry vertex  $s$  and set  $T$  of exit vertices to a tree whose vertices are  $\{s\} \cup T$ , whose edges are  $\{s\} \times T$ , and in which the weight of an edge  $\langle s, t \rangle$  is the length of the shortest path from  $s$  to  $t$  in  $G$ . It is easy to see that  $f$  maintains  $P$ . Moreover, the size of  $f(G)$  depends only on the number of exit points in  $G$ . For two complexity functions  $g_{size}$  and  $g_{time}$ , we say that a problem  $P$  is  $(g_{size}, g_{time})$ -*compressible* if there is a function  $f$  that maintains  $P$ , maps graphs  $G$  with a set  $T$  of exit vertices to graphs whose size is bounded by  $g_{size}(|T|)$ , and does so in time  $g_{time}(|G|, |T|)$ . We use the notion of compressibility in order to develop algorithms on HGs that iteratively replace boxes that call internal subgraphs by the compressed version of these subgraphs. In particular, when  $g_{size}$  and  $g_{time}$  are polynomial, then the complexity of solving  $P$  in HGs adds a polynomial factor to the complexity of its solution in flat graphs. Moreover, the fact  $g_{time}$  has two parameters, enables the parameterized complexity analysis. In particular, when the number of exit vertices is bounded by a constant, the complexity is induced by  $g_{time}$  only. Thus, if  $g_{time}$  is linear (or polynomial) in its first parameter, then so is the complexity of solving  $P$  in CE-HGs. We extend the notion of compressibility to nondeterministic functions  $f$ , where compression of graphs also generates a witness to the soundness of the compression. The witness can be verified in time that is polynomial in the number of exit vertices, and thus nondeterministic compression is used for obtaining NP upper bounds in the hierarchical setting.

**Labeled Graphs** We study *labeled hierarchical graphs*, where each edge in the graph is labeled by a letter from some alphabet. In [1], the authors study *communicating hierar-*

*chical state machines*, which are similar to labeled hierarchical graphs. The study in [1] extends classical decision problems from automata theory to the hierarchical setting. In particular, the authors study reachability, emptiness, and language inclusion. Here, we study an extension of classical graph-theory problems to the labeled setting. The input to such problems includes, in addition to the graph, a specification that constrains the paths in the graph. For example, [4] studies the problem of finding a shortest path that satisfies regular and even context-free specifications, and shows that the problem stays in polynomial time. Their algorithm is generalized in [5] to graphs with both negative and positive edge weights (but without negative-weight cycles). On the other hand, research in regular path queries shows that the problem of finding a shortest simple path that satisfies a regular specification is NP-complete (note that a shortest path that satisfies a specification need not be simple, even when all weights are positive) [20]. Typical algorithms on labeled graphs are based on a *product* between the graph and an *automaton* for the specification. We show how the compression of subgraphs defined above can be extended to products of labeled HGs and automata, and demonstrate the use of such a compression.

## 2 Preliminaries

A *graph with source and target vertices* is  $G = \langle V, s, T, E \rangle$ , where  $V$  is a set of vertices,  $s \in V$  is a source vertex,  $T \subseteq V$  is a set of target vertices, and  $E \subseteq V \times V$  is an edge relation. A graph may be weighted, in which case the tuple  $G$  includes also a weight function  $w : E \rightarrow \mathbb{R}^+$ .

A *hierarchical graph* (HG, for short) consists of a vector of subgraphs that together compose a graph. A subgraph may be used several times in the composition. Technically, this is done via special vertices, called *boxes*, that are substituted in the composition by other subgraphs. In order to ensure a finite nesting depth of substitutions, the subgraphs are indexed, and a box of a graph can only *call* (that is, be substituted by) subgraphs with a strictly bigger index. Formally, an HG  $\mathcal{G}$  is a tuple  $\langle G_1, \dots, G_n \rangle$ , where each subgraph is  $G_i = \langle V_i, B_i, in_i, Exit_i, \tau_i, E_i \rangle$ , where  $V_i$  and  $B_i$  are sets of vertices and boxes, respectively. We assume that  $B_n = \emptyset$  and that  $V_1, \dots, V_n, B_1, \dots, B_{n-1}$  are pairwise disjoint. Then,  $in_i \in V_i$  is an entry vertex for  $G_i$ , and  $Exit_i \subseteq V_i$  is a set of exit vertices for  $G_i$ . The function  $\tau_i : B_i \rightarrow \{i+1, \dots, n\}$  maps each box of  $G_i$  to an index greater than  $i$ . If  $\tau_i(b) = j$ , we say that the box  $b$  is *substituted* by  $G_j$  in  $G_i$ . Finally,  $E_i$  is an edge relation. Each edge in  $E_i$  is a pair  $(u, v)$  with source  $u$  and target  $v$ . The source  $u$  is either a vertex of  $G_i$ , or a pair  $\langle b, x \rangle$ , where  $b \in B_i$ , and  $x \in Exit_{\tau_i(b)}$ . That is,  $u$  may be a box  $b$  coupled with an exit vertex of the subgraph by which  $b$  is about to be substituted. The target  $v$  is either a vertex or a box of  $G_i$ . Formally,  $E_i \subseteq (V_i \cup (\cup_{b \in B_i} \{b\} \times Exit_{\tau_i(b)})) \times (V_i \cup B_i)$ . We refer to  $\{in_i\} \cup Exit_i$  as the set of *interface vertices* of  $G_i$ , denoted  $V_i^{face}$ . We refer to  $G_n$  as the *bottom subgraph* of  $\mathcal{G}$ .

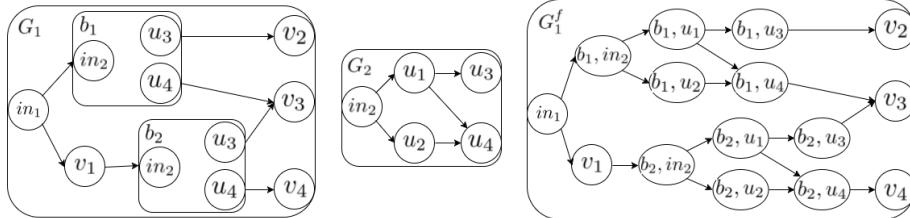
When  $|Exit_i|$  is bounded by a constant for all  $1 \leq i \leq n$ , we say that  $\mathcal{G}$  is a *hierarchical graph with constant number of exit vertices* (CE-HG, for short). A *weighted HG* is an HG with weight functions  $w_i : E_i \rightarrow \mathbb{R}^+$  for all  $1 \leq i \leq n$ . Note that weights are associated with edges (rather than vertices).

A subgraph without boxes is *flat*. Each HG can be transformed to a flat graph, referred to as its *flat expansion*, by recursively substituting each box by a copy of the suitable subgraph. Formally, given an HG  $\mathcal{G}$ , for each subgraph  $G_i$  we inductively define its flat expansion  $G_i^f = \langle V_i^f, in_i, Exit_i, E_i^f \rangle$ , where  $V_i^f = V_i \cup (\cup_{b \in B_i} \{b\} \times V_{\tau_i(b)}^f)$ . Note that different boxes in  $G_i$  can be substituted by the same subgraph. By substituting each box  $b$  by a set of vertices  $\{b\} \times V_{\tau_i(b)}^f$ , we preserve  $b$  as an identifier. The edge relation  $E_i^f$  includes the following edges:

- $(u, v)$  such that  $u, v \in V_i$  and  $(u, v) \in E_i$ ,
- $(u, \langle b, v \rangle)$  such that  $u \in V_i$ ,  $v = in_{\tau_i(b)}$  and  $(u, v) \in E_i$ ,
- $(\langle b, u \rangle, v)$  such that  $u \in Exit_{\tau_i(b)}$ ,  $v \in V_i$  and  $(u, v) \in E_i$ , and
- $(\langle b, u \rangle, \langle b, v \rangle)$  such that  $u, v \in V_{\tau_i(b)}^f$  and  $(u, v) \in E_{\tau_i(b)}^f$ .

The graph  $G_1^f$  is the flat expansion of  $\mathcal{G}$ , and we denote it by  $\mathcal{G}^f$ .

*Example 1.* In Figure 1 we describe an HG  $\mathcal{G} = \langle G_1, G_2 \rangle$ , where  $G_1$  includes two boxes,  $b_1$  and  $b_2$ , with  $\tau_1(b_1) = \tau_1(b_2) = 2$ . The bottom subgraph  $G_2$  is flat. The flat graph  $\mathcal{G}^f$  is described on the right.  $\square$



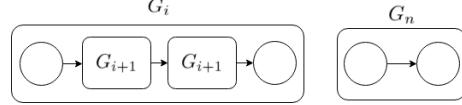
**Fig. 1.** A hierarchical graph

We define the *size* of a graph  $G = \langle V, s, T, E \rangle$ , denoted  $|G|$ , as  $|V| + |E|$ . For an HG  $\mathcal{G} = \langle G_1, \dots, G_n \rangle$ , we define the size of each subgraph  $G_i = \langle V_i, B_i, in_i, Exit_i, \tau_i, E_i \rangle$ , denoted  $|G_i|$ , as  $|V_i| + |B_i| + |E_i|$ . Note that the sizes of the subgraphs that are substituting the boxes in  $B_i$  do not affect  $|G_i|$ . We denote by  $|\mathcal{G}|$  the size of all of the subgraphs in  $\mathcal{G}$ , namely  $|G_1| + \dots + |G_n|$ .

It is not hard to see that the hierarchical setting is exponentially more succinct. Formally, we have the following.

**Proposition 1.** [1] *Flattening an HG may involve an exponential blow up. That is,  $\mathcal{G}^f$  may be exponentially larger than  $\mathcal{G}$ . The exponential blow-up applies already to the diameter of the graph, and applies even when all the subgraphs in  $\mathcal{G}$  have a single exit vertex.*

**Proof:** Consider the hierarchical graph in Figure 2. This graph has a single exit vertex in every subgraph. For every index  $1 \leq i \leq n$ , we denote by  $v_i^f$  and  $d_i$  the number of vertices in  $G_i^f$  and its diameter, respectively. It is easy to see that for every  $1 < i \leq n$ , it holds that  $v_i^f = 2v_{i-1}^f + 2$  and  $d_i = 2d_{i-1} + 3$ .  $\square$



**Fig. 2.**

### 3 Compression of Hierarchical Graphs

Let  $\mathcal{G}$  be the set of all flat graphs with source and target vertices. Let  $P$  be a problem on graphs. That is,  $P : \mathcal{G} \rightarrow \{0, 1\}$  may be a decision problem, say deciding whether all the vertices in  $T$  are reachable from  $s$ , or  $P : \mathcal{G} \rightarrow \mathbb{R}$  may be an optimization problem, say finding the length of a shortest path from  $s$  to  $T$ . For an HG  $\mathcal{G}$ , solving  $P$  on  $\mathcal{G}$  amounts to solving  $P$  in  $G_1^f$ , namely in the flat expansion of  $\mathcal{G}$ . A naive way to do so is to construct the flat expansion of  $\mathcal{G}$  and then solve  $P$  on it. By Proposition 1, this may involve an exponential blow-up. In this section we present a general methodology for reasoning about HGs without generating their flat expansions. Essentially, we iteratively replace subgraphs, starting from the innermost ones, by compressed flat versions in a way that maintains  $P(\mathcal{G})$ . The important fact is that the size of the compressed versions depends only on the number of exit vertices, which enables an analysis of the parametrized complexity of solving  $P$  in HGs.

We now formalize this intuition. Consider an HG  $\mathcal{G} = \langle G_1, \dots, G_n \rangle$ . A *bottom flattening* of  $\mathcal{G}$ , denoted  $\mathcal{G}\downarrow$ , is the HG obtained from  $\mathcal{G}$  by removing  $G_n$  and replacing all boxes  $b$  that call  $G_n$  by  $G_n$ . Formally,  $\mathcal{G}\downarrow = \langle G'_1, \dots, G'_{n-1} \rangle$ , where for every  $1 \leq i \leq n-1$ , the subgraph  $G'_i$  is obtained from  $G_i$  by replacing every box  $b \in B_i$  with  $\tau_i(b) = n$  by a copy of  $G_n$ . As in the case of a flat expansion, the copies preserve  $b$  as an identifier. Let  $G_i = \langle V_i, B_i, in_i, Exit_i, \tau_i, E_i \rangle$ , and let  $B_i^n = \{b \in B_i : \tau_i(b) = n\}$ . Then,  $G'_i = \langle V_i \cup (B_i^n \times V_n), B_i \setminus B_i^n, in_i, Exit_i, \tau_i, E_i \cup (B_i^n \times E_n) \rangle$ , where  $B_i^n \times E_n$  includes the copies of  $E_n$  in the different substitutions. Formally,  $\langle u, u' \rangle \in E_n$  iff for all  $b \in B_i^n$ , we have  $(\langle b, u \rangle, \langle b, u' \rangle)$ . Note that transitions in  $E_i$  that involve a box  $b$  with  $\tau_i(b) = n$  have end-points in  $\langle b, in_n \rangle$  and  $\{b\} \times Exit_n$ , so the transitions in  $G'_i$  are well defined even though we remove  $b$ .

While  $\mathcal{G}\downarrow$  has one less subgraph, the boxes in its subgraphs that call  $G_n$  have been replaced by  $G_n$ , so  $|\mathcal{G}\downarrow| \geq |\mathcal{G}|$ . The key idea behind our methodology is that often we can replace  $G_n$  by a subgraph  $G'$  of a constant size that retains its properties. We now formalize this intuition. Consider the HG  $\mathcal{G} = \langle G_1, \dots, G_n \rangle$ . Given a graph with source and target vertices  $G' = \langle V', s, T, E' \rangle$ , we say that  $G_n$  is *substitutable* by  $G'$  if  $in_n = s$  and  $Exit_n = T$ . The HG  $\mathcal{G}[G_n \leftarrow G']$  is then  $\langle G_1, \dots, G_{n-1}, G' \rangle$ . We say that a function  $f : \mathcal{G} \rightarrow \mathcal{G}$  *maintains*  $P$  if for every graph  $G \in \mathcal{G}$ , we have that  $G$  is substitutable by  $f(G)$  and for every HG  $\mathcal{G}$  with bottom subgraph  $G$ , we have  $P(\mathcal{G}) = P(\mathcal{G}[G \leftarrow f(G)])$ . Note that, in particular, if  $\mathcal{G}$  contains only one flat subgraph  $G$ , then solving  $P$  in  $G$  can be done by solving  $P$  in  $f(G)$ .

*Example 2.* Let  $P$  be the problem of finding the length of a shortest path from  $s$  to some vertex in  $T$  in a possibly weighted graph. Then a function  $f_{tree}$  that maps  $G$  to the tree  $\{s\} \times T$  in which the weight of an edge  $\langle s, t \rangle$  is the length of the shortest path from  $s$  to  $t$  maintains  $P$ .  $\square$

Consider two complexity functions  $g_s : \mathbb{N} \rightarrow \mathbb{N}$  and  $g_t : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ , where  $s$  and  $t$  stand for *size* and *time*, respectively. Note that  $g_t$  has two parameters. We say that a problem  $P$  is  $(g_s, g_t)$ -compressible if there is a function  $f : \mathcal{G} \rightarrow \mathcal{G}$  such that the following hold.

1.  $f$  maintains  $P$ ,
2. For every graph  $G = \langle V, s, T, E \rangle$ , we have that  $|f(G)| \leq g_s(|T|)$ , and
3. For every graph  $G = \langle V, s, T, E \rangle$ , the complexity of calculating  $f(G)$  is  $g_t(|G|, |T|)$ .

We then say that  $P$  is  $(g_s, g_t)$ -compressible with witness  $f$ .

Note that, by Condition 2, the size of  $f(G)$  depends only on the number of exit vertices in  $G$ . In particular, if  $G$  has a constant number of exit vertices, then  $f(G)$  is of constant size.

**Theorem 1.** *Let  $\mathcal{G}$  be an HG. If a problem  $P$  is  $(g_s, g_t)$ -compressible, then we can generate a flat graph  $G$  such that  $P(\mathcal{G}) = P(G)$ ,  $|G| \leq g_s(|\text{Exit}_1|)$ , and the complexity of calculating  $G$  is  $\sum_{i=1}^n g_t(|G_i| - |B_i| + \sum_{b \in B_i} g_s(|\text{Exit}_{\tau_i(b)}|), |\text{Exit}_i|)$ .*

**Proof:** Let  $\mathcal{G} = \langle G_1, \dots, G_n \rangle$ , with  $G_i = \langle V_i, B_i, in_i, \text{Exit}_i, \tau_i, E_i \rangle$ . We prove that for every  $0 \leq j \leq n - 1$ , we can generate an HG  $\mathcal{G}'_j = \langle G'_1, G'_2, \dots, G'_{n-j} \rangle$  such that the following hold.

1.  $P(\mathcal{G}) = P(\mathcal{G}'_j)$ ,
2.  $|G'_{n-j}| \leq g_s(|\text{Exit}_{n-j}|)$ , and
3. The complexity of calculating  $\mathcal{G}'_j$  is  $\sum_{i=n-j}^n g_t(|G_i| - |B_i| + \sum_{b \in B_i} g_s(|\text{Exit}_{\tau_i(b)}|), |\text{Exit}_i|)$ .

The theorem then follows by taking  $j = n - 1$ . Indeed,  $\mathcal{G}'_{n-1} = \langle G_1^{n-1} \rangle$  consists of a single subgraph, which must be flat.

Let  $f : \mathcal{G} \rightarrow \mathcal{G}$  be such that  $P$  is  $(g_s, g_t)$ -compressible with witness  $f$ . The proof proceeds by an induction on  $j$ . For  $j = 0$ , we define  $\mathcal{G}'_0 = \mathcal{G}[G_n \leftarrow f(G_n)]$ . The claim follows immediately from the fact  $P$  is  $(g_s, g_t)$ -compressible with witness  $f$ .

Assume the claim holds for  $j \in \{0, \dots, k - 1\}$ . We prove it holds for  $j = k$ . We define  $\mathcal{G}'_k = \mathcal{G}'_{k-1} \downarrow [G_{n-k}^k \leftarrow f(G_{n-k}^k)]$ . That is, we flatten all boxes that call the bottom subgraph in  $\mathcal{G}'_{k-1}$ , obtain an HG with  $n - k$  subgraphs, and then apply  $f$  to the new bottom subgraph, namely on  $G_{n-k}^k$ . We prove that all three conditions hold.

1. Since flattening maintains  $P$  and so does an application of  $f$ , then  $P(\mathcal{G}'_k) = P(\mathcal{G}'_{k-1})$ . Thus, by the induction hypothesis,  $P(\mathcal{G}) = P(\mathcal{G}'_k)$ .
2. All subgraphs  $G_{n-k}^0, G_{n-k}^1, \dots, G_{n-k}^k$  have the same set of exit vertices, namely  $\text{Exit}_{n-k}$ . By the definition of  $(g_s, g_t)$ -compressibility, we have that  $|f(G_{n-k}^k)| \leq g_s(|\text{Exit}_{n-k}|)$ .
3. In particular, note that  $|G_{n-k}^k|$  does not depend on the size of internal subgraphs, as  $f$  does not change the number of exit vertices. Finally, the calculation of  $\mathcal{G}'_k$  involves a calculation of  $\mathcal{G}'_j$  for all  $j \in \{0, \dots, k - 1\}$ . For every  $j \in \{0, \dots, k - 1\}$ , the calculation of  $f$  on  $G_{n-j}^j$ , which is required in order to obtain  $\mathcal{G}'_j$ , is done on a

graph of size  $|G_{n-j}| - |B_{n-j}| + \sum_{b \in B_{n-j}} g_s(|Exit_{\tau_{n-j}(b)}|)$  with  $|Exit_{n-j}|$  exit vertices. Hence, the time complexity of calculating  $G'_j$  is  $\sum_{i=n-j}^n g_t(|G_i| - |B_i| + \sum_{b \in B_i} g_s(|Exit_{\tau_i(b)}|), |Exit_i|)$ .

□

We focus on linear, polynomial, and exponential functions. For classes  $\gamma_G, \gamma_T \in \{\text{LIN}, \text{POLY}, \text{EXP}\}$ , we say that a problem  $P$  is  $(\gamma_G, \gamma_T)$ -compressible if  $P$  is  $(g_s, g_t)$ -compressible for  $g_s$  whose complexity is  $\gamma_T$  in its parameter, and  $g_t$  that is  $\gamma_G$  in its first parameter and  $\gamma_T$  in its second parameter. For example, if  $g_s(y) = 2^y$  and  $g_t(x, y) = x + 2^y$ , then  $P$  is  $(\text{LIN}, \text{EXP})$ -compressible.

**Theorem 2.** *Let  $P$  be a  $(\gamma_G, \gamma_T)$ -compressible problem on graphs.*

1. *If  $\gamma_G$  and  $\gamma_T$  are LIN (respectively, POLY), then the complexity of solving  $P$  in HGs is linearly- (polynomially-) reducible to the problem of solving  $P$  in flat graphs.*
2. *If  $\gamma_G$  is LIN (respectively, POLY), then the complexity of solving  $P$  in CE-HGs is linear (polynomial).*

**Proof:** Consider an HG  $\mathcal{G} = \langle G_1, \dots, G_n \rangle$  and a  $(g_s, g_t)$ -compressible problem  $P$ . By Theorem 1, we can generate a flat graph  $G$  of size at most  $g_s(|Exit_1|)$ , such that  $P(\mathcal{G}) = P(G)$  and the complexity of calculating  $G$  is  $\alpha = \sum_{i=1}^n g_t(|G_i| - |B_i| + \sum_{b \in B_i} g_s(|Exit_{\tau_i(b)}|), |Exit_i|)$ .

When  $g_s$  and  $g_t$  are such that both  $\gamma_G$  and  $\gamma_T$  are LIN (POLY), then so are  $\alpha$  and  $|G|$ , which implies the required reduction to the flat case. Moreover, when the number of exit vertices is bounded by a constant, then  $|G|$  is constant too, and  $\alpha = \sum_{i=1}^n g_t(|G_i| - |B_i| + c, |Exit_i|)$ , for some constant  $c$ . Thus,  $\alpha$  is the only factor of the complexity of solving  $P$ , and is linear (polynomial) whenever  $\gamma_G$  is. □

### 3.1 Applications

**Shortest path** The problem  $P$  from Example 2 is  $(\text{POLY}, \text{POLY})$ -compressible. Indeed, calculating a tree  $\{s\} \times T$  with the length of the shortest path from  $s$  to  $t$  as the weight of every edge  $\langle s, t \rangle$  can be done in polynomial time, and the size of such a tree is clearly linear in  $|T|$ . Theorem 2 thus implies that the shortest-path problem for HGs can be solved in polynomial time.

**Eulerian Cycle** An Eulerian cycle is a cycle in the graph that traverses all edges, each edge exactly once. The degree of a vertex  $v$  is the number of edges that have  $v$  as an endpoint, thus  $|\{e \in E : v \in e\}|$ . For directed graphs, the in-degree (out-degree, respectively) of a vertex  $v$  is the number of edges that enter (leave)  $v$ . Let EC be the problem of deciding whether a given graph has an Eulerian cycle. It is well known that an undirected graph has an Eulerian cycle iff every vertex has even degree, and all the vertices with nonzero degree belong to a single connected component. For a directed graph, a necessary and sufficient condition for the existence of an Eulerian cycle is that all vertices agree on the in- and out-degrees, and all of its vertices with

nonzero degree belong to a single strongly connected component. We show that EC is polynomially-compressible for undirected HGs. For that, we present a polynomial function  $f$  that maintains EC. That is, given a graph  $G = \langle V, s, T, E \rangle$ , the function  $f$  constructs a graph  $G' = \langle V', s, T, E' \rangle$  such that for every HG  $\mathcal{G}$  with bottom subgraph  $G$ , we have  $P(\mathcal{G}) = P(\mathcal{G}[G \leftarrow G'])$ . Intuitively,  $f$  preserves both the parity of the degree of every interface vertex, and the connectivity of the graph. Technically, this is done by maintaining the interface vertices of  $G$  and adding internal vertices for each maximal set of connected interface vertices. The new vertices are connected in a way that preserves connectivity and parity of degrees (parity of both in- and out-degrees, in the case of a connected graph).

The function  $f$  proceeds as follows. Let  $V^{face}$  be the interface vertices of  $G$ . First, we set the interface vertices of  $G'$  to  $V^{face}$ . If  $G$  includes a vertex that is not an interface vertex and has an odd degree, we add to  $G'$  two vertices  $v_1, v_2$  with an edge  $(v_1, v_2)$  connecting them, and we are done. Otherwise, we proceed as follows. Consider a partition of  $V^{face}$  to subsets  $U_1, \dots, U_m$  such that for every  $1 \leq j \leq m$ , the vertices in  $U_j$  are in the same connected component in  $G$  and  $U_j$  is of maximal size. Namely, there are no vertices in  $V^{face} \setminus U_j$  in the same connected component as the vertices in  $U_j$ . For every  $1 \leq j \leq m$ , we add to  $G'$  a vertex  $v^j$ . In addition, we add edges  $(u_1, u_2)$  for every two vertices  $u_1, u_2 \in U_j$ . Then, if  $|U_j|$  is odd then we add edges  $(u, v^j)$  for every vertex  $u \in U_j$  that is with odd degree in  $G$ . Otherwise, we add edges  $(u, v^j)$  for every vertex  $u \in U_j$  that is with even degree in  $G$ . Finally, we check for connected components in  $G$  that include more than one vertex and do not include an interface vertex. If there are no such components, we are done. If there is a single such component and the degree of every vertex in  $V^{face}$  is zero, we add to  $G'$  a triangle. That is, we add three vertices  $v_1, v_2, v_3$  to  $V'$  and three edges  $(v_1, v_2), (v_2, v_3), (v_3, v_1)$  to  $E'$ . Otherwise, we add to  $G'$  two vertices  $v_1, v_2$  with an edge  $(v_1, v_2)$  connecting them. Note that in the last two cases, the added component is disconnected from the interface vertices in  $G'$ .

We show that  $f$  maintains EC. First, it is clear that  $f$  is polynomial and that every graph  $G$  is substitutable by  $f(G)$ . We prove that for every hierarchical graph  $\mathcal{G}$  with bottom subgraph  $G$ , we have  $P(\mathcal{G}) = P(\mathcal{G}[G \leftarrow f(G)])$ . Let  $\mathcal{G}$  be a hierarchical graph with bottom subgraph  $G$ , and assume that  $\mathcal{G}$  has an Eulerian cycle. It follows that every vertex in  $\mathcal{G}^f$  has even degree, and all the vertices in  $\mathcal{G}^f$  with nonzero degree belong to a single connected component. In particular, every interface vertex in  $G$  of nonzero degree has even degree. We denote by  $V^{face}$  the interface vertices of  $G$ , and consider, for every vertex in  $V^{face}$ , its *internal* degree, that is, the number of vertices in  $G$  that are connected to it. It is easy to see that if  $f$  preserves the parity of the internal degree for every vertex in  $V^{face}$  and does not add any connected components or vertices of odd degree, then  $\mathcal{G}[G \leftarrow f(G)]$  has an Eulerian cycle. Recall that for every maximal subset  $U \subseteq V^{face}$  such that the vertices in  $U$  are in the same connected component, the function  $f$  adds to  $f(G)$  a vertex  $v$  and a clique with  $U$ . By the handshaking lemma, if all the non-interface vertices in  $G$  are with even degree, then  $U$  has an even number of vertices with internal odd degree. Therefore,  $|U|$  is odd iff it has an odd number of vertices with internal even degree. Note that  $|U|$  is odd iff every vertex in the clique  $U$  in  $f(G)$  is with even degree. Recall that if  $|U|$  is odd then  $f$  adds edges  $(u, v)$  for every vertex  $u \in U$  that is with odd degree in  $G$ . It follows that if  $|U|$  is odd then  $f$  preserves

the parity of the internal degree of every vertex in  $U$ . If  $|U|$  is even then  $f$  adds edges  $(u, v)$  for every vertex  $u \in U$  that is with even degree in  $G$ . Therefore,  $f$  preserves the parity of the internal degree of every vertex in  $U$  also if  $|U|$  is even. Note that in both cases, the degree of  $v$  is even. This implies that if there is an Eulerian cycle in  $\mathcal{G}$  then  $f$  preserves the parity of the internal degree for every vertex in  $V^{face}$  and does not add any connected components or vertices of odd degree. Note that if a Eulerian cycle in  $\mathcal{G}$  includes only vertices in  $G$  without any interface vertices, then  $f$  replaces this cycle with a triangle, which is an Eulerian cycle.

Now, assume that  $\mathcal{G}$  does not have an Eulerian cycle. It follows that it has either a vertex of odd degree or several connected components with more than one vertex. First, assume that it has a vertex of odd degree. If this vertex is not in  $G$  then it is both in  $\mathcal{G}$  and in  $\mathcal{G}[G \leftarrow f(G)]$ , so  $\mathcal{G}[G \leftarrow f(G)]$  does not have a Eulerian cycle either. If this vertex is a non-interface vertex in  $G$ , then  $f(G)$  includes a disconnected component of size 2 and therefore  $\mathcal{G}[G \leftarrow f(G)]$  does not have a Eulerian cycle. Recall that  $f$  preserves the parity of the internal degree for every interface vertex. Therefore, if this vertex is an interface vertex then it has an odd degree also in  $\mathcal{G}[G \leftarrow f(G)]$ , so  $\mathcal{G}[G \leftarrow f(G)]$  does not have a Eulerian cycle and we are done. We left to show that if  $\mathcal{G}$  has several connected components with more than one vertex then so does  $\mathcal{G}[G \leftarrow f(G)]$ . Recall that if there is a connected component in  $G$  that is disconnected from its interface vertices, then  $f$  replaces it with a connected component of a constant size. In addition,  $f$  preserves the connectivity of the interface vertices of  $G$ . Therefore, the claim follows.

**Alternating Reachability** A directed AND-OR graph  $\langle V, E \rangle$  is a graph whose vertices are partitioned into AND and OR vertices. Thus,  $V = V_{and} \cup V_{or}$ , with  $V_{and} \cap V_{or} = \emptyset$ . We say that a set  $T$  of vertices is alternating-reachable from a vertex  $u$ , denoted  $ar(u, T)$ , if  $u \in T$ ,  $u \in V_{or}$  and  $T$  is alternating-reachable from some successor of  $u$ , or  $u \in V_{and}$  and  $T$  is alternating-reachable from all the successors of  $u$ . The AR problem is to decide, given  $G$ ,  $u$ , and  $T$ , whether  $ar(u, T)$ . The problem is PTIME-complete [13] for flat graph and PSPACE-complete for HGs [17]. We prove that AR is (LIN, EXP)-compressible, implying it is PTIME-complete for CE-HGs.

We describe a function  $f$  that maintains  $P$ . Consider an AND-OR graph  $G = \langle V, s, T, E \rangle$ , with a partition  $V_{and} \cup V_{or}$ . Let  $T_1, \dots, T_m$  be the subsets of  $T$  that are alternating-reachable from  $s$ . We define  $f(G) = \langle \{s, u_1, \dots, u_m\} \cup T, s, T, E' \rangle$ , where the OR-vertices are  $\{s\} \cup (T \cap V_{or})$ , and the AND-vertices are  $\{u_1, \dots, u_m\} \cup (T \cap V_{and})$ . The edge relation  $E'$  consists of edges  $\{s\} \times \{u_1, \dots, u_m\}$  and  $\{u_j\} \times T_j$  for every  $1 \leq j \leq m$ . That is, there are edges from  $s$  to every vertex  $u_j$ , and from  $u_j$  to every vertex in  $T_j$ , where  $1 \leq j \leq m$ . It is easy to see that calculating  $f(G)$  is polynomial in  $|G|$  and exponential in  $|T|$ , and its size is exponential in  $|T|$ . We show that  $f$  maintains  $P$ . Assume that in an AND-OR graph  $\mathcal{G}$  with bottom graph  $G = \langle V, s, T, E, l \rangle$ , it holds that  $ar(v, U)$  for some vertex  $v$  and set  $U$ . It is easy to see that if for every subset  $T' \subseteq T$  it holds that  $ar(s, T')$  in  $G$  iff  $ar(s, T')$  in  $f(G)$ , then  $ar(v, U)$  also in  $\mathcal{G}[G \leftarrow f(G)]$ . Recall that for every subset  $T' \subseteq T$  such that  $ar(s, T')$ , there is an AND- vertex  $u'$  in  $f(G)$  and edges  $u' \times T'$ . In addition, there is an edge from the OR- vertex  $s$  to  $u'$ . By the definition of alternating-reachability, it follows that  $ar(s, T')$  in  $f(G)$ . For the other

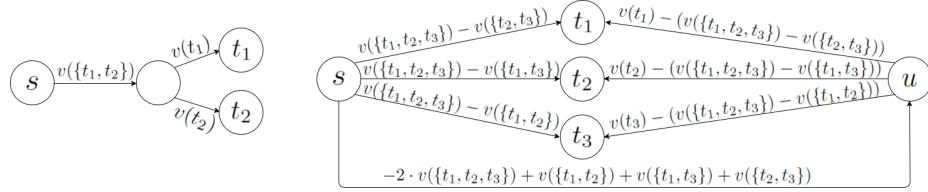
direction, it is easy to see that if  $ar(s, T')$  for some  $T' \subseteq T$  in  $f(G)$  then there is a set of AND- vertices  $u'_1, \dots, u'_l$  with edges to exactly all the vertices in  $T'$ . Therefore, it holds that  $ar(s, T')$  also in  $G$ .

**Maximal Flow** A flow network is a weighted directed graph  $G = \langle V, s, \{t\}, E, c \rangle$ , where the weight function  $c : E \rightarrow \mathbb{N}$  maps each edge to its capacity, namely the maximum amount of flow that can travel through it, the source edge  $s$  has no incoming edges, and the target vertex  $t$  has no outgoing edges. A *flow* is a mapping  $f : E \rightarrow \mathbb{R}^+$  that satisfies the following two constraints: (1) For every edge  $(u, v) \in E$ , it holds that  $f(u, v) \leq c(u, v)$ , and (2) For every  $v \in V \setminus \{s, t\}$ , it holds that  $\sum_{u:(u,v) \in E} f(u, v) = \sum_{u:(v,u) \in E} f(v, u)$ . The *value of flow* is defined by  $|f| = \sum_{v:(s,v) \in E} f(s, v)$ . The problem of finding a maximal flow (MF, for short) is to find, given a flow network  $G$ , the maximal value of a flow for it. For flat graphs, the problem can be solved in polynomial time (cf., the Ford-Fulkerson algorithm [10]). It is shown in [17] that the problem is PSPACE-complete for hierarchical graphs. Here, we conjecture that the problem is (POLY,EXP)-compressible, implying that it can be solved in PTIME for CE-HGs. We prove that for graphs with at most 3 exit vertices, it is even (POLY,POLY)-compressible, implying it can be solved in PTIME for such graphs. For the general case, the problem is strongly related to the problem of polytop optimization (cf., [22]).

Consider a flow graph with source and several target vertices  $G = \langle V, s, T, E, c \rangle$ . The *characteristic function*  $v : 2^T \rightarrow \mathbb{R}^+$  of  $G$  maps each a set  $U \subseteq T$  of target vertices to the maximal flow that can be routed to  $U$ . That is,  $v(U)$  is the maximal flow in a network  $G' = \langle V \cup \{t'\}, s, \{t'\}, E', c' \rangle$ , obtained from  $G$  by defining a new single sink vertex  $t'$  and adding edges with capacity  $\infty$  from every vertex in  $U$  to  $t'$ . In particular,  $v(T)$  is the maximal flow that can be routed to all target vertices together. Also, for a single vertex  $t \in T$ , we have that  $v(t)$  is the maximal flow that can be routed to  $t$ . It is known that the characteristic function is necessary and sufficient in order to represent a flow network [19]. Therefore, the equivalence of two flow networks can be proved by proving that their characteristic functions are identical.

We show a function  $f$  that maintains the MF problem for flow networks with up to three sink vertices: given a flow network  $G = \langle V, s, T, E, w \rangle$ , where  $|T| \leq 3$ , the function  $f(G)$  constructs in polynomial time a flow network  $G'$  of constant size such that every flow in  $G$  is a flow in  $G'$ , and vice versa. It is easy to see that every flow network with one sink  $t$  is equivalent to a flow network with two vertices,  $s$  and  $t$ , connected by an edge with capacity  $v(t)$ . Formally, if a given flow network  $G = \langle V, s, \{t\}, E, w \rangle$  has one exit vertex, then  $f(G) = \langle \{s, t\}, s, \{t\}, \langle s, t \rangle, v(t) \rangle$ . In Figure 3, we describe the flow network that  $f$  returns for flow networks with two (left) and three (right) sinks. For the one in the left, it is easy to see that the maximal flow in this network is  $v(\{t_1, t_2\})$ , while the maximal flow to the sinks  $t_1$  and  $t_2$  is  $v(t_1)$  and  $v(t_2)$ , respectively. For the one in the right, we prove that for every subset  $U \subseteq \{t_1, t_2, t_3\}$ , the maximal flow that can be routed to  $U$  is indeed  $v(U)$ . Since the flow network is symmetrical, it is sufficient to show this for one subset of every size from 1 to 3. We show this for  $\{t_1\}$ ,  $\{t_1, t_2\}$  and  $\{t_1, t_2, t_3\}$ . First, the maximal flow that can be routed to  $t_1$  is  $w(s, t_1) + \min(w(s, u), w(u, t_1))$ . We show that  $w(u, t_1) \leq w(s, u)$ . This holds iff  $v(\{t_1\}) \leq -v(\{t_1, t_2, t_3\}) + v(\{t_1, t_2\}) + v(\{t_1, t_3\})$ , which holds

iff  $v(\{t_1, t_2, t_3\}) - v(\{t_1, t_2\}) \leq v(\{t_1, t_3\}) - v(\{t_1\})$ . Note that the left side of the last inequality is the minimal flow that can be routed to  $t_3$ , while its right side is some flow that can be routed to  $t_3$ . Therefore, the maximal flow that can be routed to  $t_1$  is  $w(s, t_1) + w(u, t_1) = v(\{t_1\})$ . We turn to  $\{t_1, t_2\}$ . The maximal flow that can be routed to  $\{t_1, t_2\}$  is  $w(s, t_1) + w(s, t_2) + \min(w(s, u), w(u, t_1) + w(u, t_2))$ . Since  $v(\{t_1, t_2\}) \leq v(t_1) + v(t_2)$ , we have that  $w(s, u) \leq w(u, t_1) + w(u, t_2)$ . Therefore, the maximal flow that can be routed to  $\{t_1, t_2\}$  is  $w(s, t_1) + w(s, t_2) + w(s, u) = v(\{t_1, t_2\})$ . Finally, it is easy to see that the maximal flow in this network is  $w(s, t_1) + w(s, t_2) + w(s, t_3) + w(s, u) = v(\{t_1, t_2, t_3\})$ . Therefore,  $f$  maintains MF also for three sinks. Note that calculating  $f$  requires  $2^{|T|} - 1$  polynomial computations, where  $|T| \leq 3$ .



**Fig. 3.** Compressing flow networks with two and three sinks

*Conjecture 1.* The problem of maximal flow is (POLY, EXP)-compressible. Thus, by Theorem 2 it can be solved in PTIME for CE-FGs.

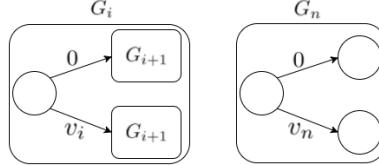
### 3.2 Not All Problems are Compressible

We conclude this section pointing to a problem for which the exponential penalty cannot be avoided even if the number of exit vertices is constant. The problem of *path of length in a given interval in a tree* (the PI problem, for short) is to decide, given a weighted directed tree  $G = \langle V, E, w \rangle$  and an interval  $[x, y]$ , whether there is a path of length in  $[x, y]$  in  $G$ . For flat graphs, we can solve the problem by computing the length of the path between every pair of vertices. Since the graph is a directed tree, there is at most one path between every two nodes, and therefore this algorithm is polynomial. A tree HG is an HG all whose subgraphs are trees.

**Lemma 1.** *The PI problem is NP-complete for CE-HGs.*

**Proof:** We describe a reduction from the subset-sum in an interval problem, known to be NP-hard. In this problem, given a set of values  $S = \{v_1, \dots, v_i\}$  and an interval  $[x, y]$ , we have to decide whether there is a subset of  $S$  such that the sum of the values in the subset is in  $[x, y]$ . Given a set of values,  $\{v_1, \dots, v_n\}$ , and an interval  $[x, y]$ , we construct a weighted hierarchical graph  $\mathcal{G} = \langle G_1, \dots, G_n \rangle$  as follows. For every  $1 \leq i \leq n - 1$ , the subgraph  $G_i$  consists of an entry vertex and two boxes, where there is an edge from the entry vertex to each of the boxes. For  $G_n$ , there

are three vertices, with edges from  $in_n$  to the other two vertices. The weight of the first edge in every  $G_i$  is 0, and the weight of the second is  $v_i$ . The boxes in every  $G_i$  are substituted by  $G_{i+1}$ . See Figure 4 for illustration. Formally, we have  $G_n =$



**Fig. 4.** An illustration of the reduction in Lemma 1

$\langle \{in_n, u_1, u_2\}, \emptyset, in_n, \emptyset, \tau_n, \{(in_n, u_1), (in_n, u_2)\}, w_n \rangle$ , where  $w_n(in_n, u_1) = 0$  and  $w_n(in_n, u_2) = v_n$ . For every  $1 \leq i \leq n - 1$ , we have  $G_i = \langle \{in_i\}, \{b_1, b_2\}, in_i, \emptyset, \tau_i, \{(in_i, b_1), (in_i, b_2)\}, w_i \rangle$ , where  $\tau_i(b_1) = \tau_i(b_2) = i + 1$ ,  $w_i(in_i, b_1) = 0$  and  $w_i(in_i, b_2) = v_i$ . We set the interval to  $[x, y]$ . It is easy to see that this reduction is polynomial. Note that  $|\mathcal{G}^f|$  is exponentially bigger than  $|\mathcal{G}|$ .

We prove that there is a subset of  $\{v_1, \dots, v_n\}$  of sum in  $[x, y]$  iff there is a directed path whose length is in  $[x, y]$  in  $\mathcal{G}$ . It is easy to see that every selection of values in  $v_1, \dots, v_n$  induces a path in  $\mathcal{G}$  and vice versa: every path in  $\mathcal{G}$  induces a selection of values. For a set of values  $\{v_{t_1}, \dots, v_{t_m}\}$ , a corresponding path in  $\mathcal{G}$  starts at  $in_{\min(t_1, \dots, t_m)}$ . Then, for every index  $i$  in  $\{t_1, \dots, t_m\}$ , the path go through the edge with weight  $v_i$ . For every index not in  $\{t_1, \dots, t_m\}$ , the path go through the edge with weight zero. Note that the length of such a path equals to  $v_{t_1} + \dots + v_{t_m}$ . Similarly, it is easy to see that given a directed path in  $\mathcal{G}$ , we can select a set of values that correspond to the non-zero edges in the path. This way we get a subset of  $\{v_1, \dots, v_n\}$  such that its sum equals to the length of the given path. Therefore, if there is a subset of  $\{v_1, \dots, v_n\}$  such that its sum is in  $[x, y]$ , then the length of the corresponding path is in  $[x, y]$ . For the other direction, if there is a directed path in  $\mathcal{G}$  of length in  $[x, y]$ , then the sum of the corresponding set of values is in  $[x, y]$ .  $\square$

## 4 Handling Labeled Hierarchical Graphs

A graph may be labeled by letters in some alphabet  $\Sigma$ . Then,  $G = \langle \Sigma, V, s, T, E, l \rangle$  includes also the set  $\Sigma$  and a labeling function  $l : E \rightarrow \Sigma$ . We extend  $l$  to paths in the expected way, thus for a path  $\pi = v_1, v_2, \dots, v_k$ ,  $l(\pi)$  is the word  $l(\langle v_1, v_2 \rangle) \cdot l(\langle v_2, v_3 \rangle) \cdot \dots \cdot l(\langle v_{k-1}, v_k \rangle)$ . A *labeled hierarchical graph* is a hierarchical graph  $\mathcal{G} = \langle G_1, \dots, G_n \rangle$ , where each of the subgraphs  $G_i$  is labeled, thus  $G_i = \langle \Sigma, V_i, B_i, in_i, Exit_i, \tau_i, E_i, \Sigma, l_i \rangle$ , with  $l_i : E_i \rightarrow \Sigma$  for all  $1 \leq i \leq n$ . Note that we assume that the subgraphs share an alphabet. Indeed, otherwise we can take  $\Sigma$  as the union of their alphabets.

Problems on labeled graphs include a *specification* that defines a “good” subset of paths, namely those whose labels form a word in the specification. Specifications

are typically regular languages over  $\Sigma$  and are given by *deterministic finite automata* (DFAs). The solution of problems on labeled graphs with a specification typically involves a *product* of the graph  $G$  and the DFA  $\mathcal{A}$  for the specification. We show how one can define also a product of an HG with a DFA and how the methodology described in Section 3 can be extended to replace, in each iteration, the bottom component of the product with a compressed version. Essentially, transitions in the compressed version correspond to the traversal of words (rather than single letters in the non-compressed version), and the update of  $\mathcal{A}$ 's states follows runs (rather than single transitions). We also demonstrate the application of the method on the problem of *constrained shortest path*, when the shortest path has to belong to the language of  $\mathcal{A}$ .

A DFA is a tuple  $\mathcal{A} = \langle \Sigma, Q, q_0, \delta, F \rangle$ , where  $\Sigma$  is an alphabet,  $Q$  is a finite set of *states*,  $q_0 \in Q$  is an *initial state*,  $\delta : Q \times \Sigma \rightarrow Q$  is a *transition function*, and  $F \subseteq Q$  is a set of *accepting states*. A run  $r$  of  $\mathcal{A}$  on a word  $w = \sigma_1 \cdots \sigma_n \in \Sigma^*$  is a sequence  $r = q_0, q_1, \dots, q_n \in Q^*$  such that for all  $0 \leq i < n$ , we have  $q_{i+1} = \delta(q_i, \sigma_{i+1})$ . A run  $r$  is *accepting* if  $q_n \in F$ . A run that is not accepting is *rejecting*. A word  $w$  is accepted by an automaton  $\mathcal{A}$  if the run of  $\mathcal{A}$  on  $w$  is accepting. The language of  $\mathcal{A}$ , denoted  $L(\mathcal{A})$ , is the set of words that  $\mathcal{A}$  accepts. We define the size of  $\mathcal{A}$ , denoted  $|\mathcal{A}|$ , as  $|Q|$ .

Given a labeled graph  $G = \langle V, in, Exit, E, \Sigma, l \rangle$  and a DFA  $\mathcal{A} = \langle \Sigma, Q, q_0, \delta, F \rangle$ , we define the product graph  $G \times \mathcal{A} = \langle V \times Q, \{in\} \times Q, Exit \times Q, E' \rangle$ , where  $E' \subseteq (V \times Q) \times (V \times Q)$  is such that  $(\langle v_1, q_1 \rangle, \langle v_2, q_2 \rangle) \in E'$  iff  $(v_1, v_2) \in E$  and  $\delta(q_1, l(v_1, v_2)) = q_2$ . It is not hard to see that for every two vertices  $v, v' \in V$  and two states  $q, q' \in Q$ , there is a path in  $G \times \mathcal{A}$  from  $\langle v, q \rangle$  to  $\langle v', q' \rangle$  iff there is a path  $\pi$  in  $G$  from  $v$  to  $v'$  such that  $\delta(q, l(\pi)) = q'$ .

The product operation can also be defined on weighted and hierarchical graphs, in exactly the same manner. Then, the weight of an edge  $(\langle v, q \rangle, \langle v', q' \rangle)$  in the product is the weight of  $(v, v')$  in  $G$ . Also, for every two vertices  $v, v' \in V$  and two states  $q, q' \in Q$ , there is a path in  $G \times \mathcal{A}$  from  $\langle v, q \rangle$  to  $\langle v', q' \rangle$  iff there is a path  $\pi$  in  $G^f$  from  $v$  to  $v'$  such that  $\delta(q, l(\pi)) = q'$ . Thus, the path  $\pi$  that generate the word leading from  $q$  to  $q'$  is in the flat expansion of  $G$ . Our goal is to reason about the product  $G \times \mathcal{A}$  without constructing the flat expansion. For this, we compress each subgraph in a way that maintains both the problem we want to solve and the effect of traversing the subgraph. The idea is very similar to the compression of graphs described in Section 3, except that now the components are taken from the product, and thus has interface vertices in  $\{in\} \times Q$  and  $T \times Q$ . In addition, the  $Q$ -components correspond to the states that are reached when the compressed paths are traversed.

Formally, consider a weighted and labeled hierarchical graph  $\mathcal{G} = \langle G_1, \dots, G_n \rangle$ , with  $G_i = \langle V_i, B_i, in_i, Exit_i, \tau_i, E_i, w_i, \Sigma, l_i \rangle$  and a DFA  $\mathcal{A}$ , and consider the product  $\mathcal{G} \times \mathcal{A}$ . We say that the product  $G_n \times \mathcal{A}$  is substitutable by a product  $G'_n \times \mathcal{A}$  if  $G_n \times \mathcal{A}$  and  $G'_n \times \mathcal{A}$  have the same interface vertices. Consider the set  $\mathcal{A} = \{\mathcal{A} : \mathcal{A} \text{ is a DFA}\}$ . We say that a function  $f : \mathcal{G} \times \mathcal{A} \rightarrow \mathcal{G} \times \mathcal{A}$  maintains  $P$  if for every graph  $G \in \mathcal{G}$  and DFA  $\mathcal{A} \in \mathcal{A}$ , we have that  $G \times \mathcal{A}$  is substitutable by  $f(G \times \mathcal{A})$  and for every HG  $\mathcal{G}$  with bottom subgraph  $G$ , we have  $P(\mathcal{G} \times \mathcal{A}) = P(\mathcal{G} \times \mathcal{A}[G \times \mathcal{A} \leftarrow f(G \times \mathcal{A})])$ .

For two complexity functions  $g_s : \mathbb{N} \rightarrow \mathbb{N}$  and  $g_t : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ , we say that a problem  $P$  is  $(g_s, g_t)$ -compressible-with-DFA if there is a function  $f : \mathcal{G} \times \mathcal{A} \rightarrow \mathcal{G} \times \mathcal{A}$  such that the following hold:

1.  $f$  maintains  $P$ ,
2. For every labeled graph  $G = \langle V, s, T, E, \Sigma, l \rangle$  and DFA  $\mathcal{A} = \langle \Sigma, Q, q_0, \delta, F \rangle$ , we have that  $|f(G \times \mathcal{A})| \leq g_s(|T| \cdot |Q|)$ , and
3. The complexity of calculating  $f(G \times \mathcal{A})$  is  $g_t(|G| \cdot |\mathcal{A}|, |T| \cdot |Q|)$ .

We then say that  $P$  is  $(g_s, g_t)$ -compressible-with-DFA with witness  $f$ .

We can now state the analogue of Theorem 1, adjusted to product graphs.

**Theorem 3.** *Let  $\mathcal{G}$  be an HG and  $\mathcal{A} = \langle \Sigma, Q, q_0, \delta, F \rangle$  a DFA. If a problem  $P$  is  $(g_s, g_t)$ -compressible-with-DFA, then we can generate a flat product graph  $G \times \mathcal{A}$  such that  $P(\mathcal{G} \times \mathcal{A}) = P(G \times \mathcal{A})$ ,  $|G \times \mathcal{A}| \leq g_s(|\text{Exit}_1| \cdot |Q|)$ , and the complexity of calculating  $G \times \mathcal{A}$  is  $\sum_{i=1}^n g_t(|Q| \cdot (|G_i| - |B_i| + \sum_{b \in B_i} g_s(|\text{Exit}_{\tau_i(b)}| \cdot |Q|)), |\text{Exit}_i| \cdot |Q|)$ .*

**Proof:** Let  $\mathcal{G} = \langle G_1, \dots, G_n \rangle$  with  $G_i = \langle V_i, B_i, in_i, \text{Exit}_i, \tau_i, E_i, \Sigma, l_i \rangle$  and  $\mathcal{A} = \langle \Sigma, Q, q_0, \delta, F \rangle$  a DFA. We prove that for every  $0 \leq j \leq n-1$ , we can generate a hierarchical product graph  $\mathcal{G}'_j \times \mathcal{A} = \langle G'_1 \times \mathcal{A}, G'_2 \times \mathcal{A}, \dots, G'_{n-j} \times \mathcal{A} \rangle$  such that:

1.  $P(\mathcal{G} \times \mathcal{A}) = P(\mathcal{G}'_j \times \mathcal{A})$ ,
2.  $|G'_{n-j} \times \mathcal{A}| \leq g_s(|\text{Exit}_{n-j}| \cdot |Q|)$ , and
3. The complexity of calculating  $\mathcal{G}'_j \times \mathcal{A}$  is  $\sum_{i=n-j}^n g_t(|Q| \cdot (|G_i| - |B_i| + \sum_{b \in B_i} g_s(|\text{Exit}_{\tau_i(b)}| \cdot |Q|)), |\text{Exit}_i| \cdot |Q|)$ .

The theorem then follows by taking  $j = n-1$ . Indeed,  $\mathcal{G}'_{n-1} \times \mathcal{A} = \langle G'_1 \times \mathcal{A} \rangle$  consists of a single flat product graph.

Let  $f : \mathcal{G} \times \mathcal{A} \rightarrow \mathcal{G} \times \mathcal{A}$  be such that  $P$  is  $(g_s, g_t)$ -compressible with witness  $f$ . The proof proceeds by an induction on  $j$ . For  $j = 0$ , we define  $\mathcal{G}'_0 \times \mathcal{A} = \mathcal{G}[G_n \times \mathcal{A} \leftarrow f(G_n \times \mathcal{A})]$ . The claim follows immediately from the fact  $P$  is  $(g_s, g_t)$ -compressible with witness  $f$ .

Assume the claim holds for  $j \in \{0, \dots, k-1\}$ . We prove it holds for  $j = k$ . We define  $\mathcal{G}'_k \times \mathcal{A} = \mathcal{G}'_{k-1} \times \mathcal{A} \downarrow [G_{n-k}^k \times \mathcal{A} \leftarrow f(G_{n-k}^k \times \mathcal{A})]$ . That is, we flatten all boxes that call the bottom subgraph in  $\mathcal{G}'_{k-1} \times \mathcal{A}$ , obtain a hierarchical product graph with  $n-k$  subgraphs, and then apply  $f$  to the new bottom product subgraph, namely on  $G_{n-k}^k \times \mathcal{A}$ . We prove that all three conditions hold.

1. Since flattening maintains  $P$  and so does an application of  $f$ , then  $P(\mathcal{G}'_k \times \mathcal{A}) = P(\mathcal{G}'_{k-1} \times \mathcal{A})$ . Thus, by the induction hypothesis,  $P(\mathcal{G} \times \mathcal{A}) = P(\mathcal{G}'_k \times \mathcal{A})$ .
2. All subgraphs  $G_{n-k}^0 \times \mathcal{A}, G_{n-k}^1 \times \mathcal{A}, \dots, G_{n-k}^k \times \mathcal{A}$  have the same set of exit vertices, namely  $\text{Exit}_{n-k} \times Q$ . Hence, by the definition of  $(g_s, g_t)$ -compressibility, we have that  $|f(G_{n-k}^k \times \mathcal{A})| \leq g_s(|\text{Exit}_{n-k}| \cdot |Q|)$ .
3. In particular, note that  $|G'_i \times \mathcal{A}|$  does not depend on the size of internal subgraphs, as  $f$  does not change the number of exit vertices. Finally, The calculation of  $\mathcal{G}'_k \times \mathcal{A}$  involves a calculation of  $\mathcal{G}'_j \times \mathcal{A}$  for all  $j \in \{0, \dots, k-1\}$ . For every  $j \in \{0, \dots, k-1\}$ , the calculation of  $f$  on  $G_{n-j}^j \times \mathcal{A}$ , which is required in order to obtain  $\mathcal{G}'_j \times \mathcal{A}$  is done on a graph of size  $|Q| \cdot (|G_{n-j}| - |B_{n-j}| + \sum_{b \in B_{n-j}} g_s(|\text{Exit}_{\tau_{n-j}(b)}|))$ . Hence, the time complexity of calculating  $\mathcal{G}'_j \times \mathcal{A}$  is  $\sum_{i=n-j}^n g_t(|Q| \cdot (|G_i| - |B_i| + \sum_{b \in B_i} g_s(|\text{Exit}_{\tau_i(b)}| \cdot |Q|)), |\text{Exit}_i| \cdot |Q|)$ .

□

For classes  $\gamma_G, \gamma_T \in \{\text{LIN}, \text{POLY}, \text{EXP}\}$ , we say that a problem  $P$  is  $(\gamma_G, \gamma_T)$ -compressible-with-DFA if  $P$  is  $(g_s, g_t)$ -compressible-with-DFA for  $g_s$  whose complexity is  $\gamma_T$  in its parameter, and  $g_t$  that is  $\gamma_G$  in its first parameter and  $\gamma_T$  in its second parameter. We can now state the analogue of Theorem 2, adjusted to the labeled case. As in the case of Theorem 3, the proof follows the same lines.

**Theorem 4.** *Let  $P$  be a  $(\gamma_G, \gamma_T)$ -compressible-with-DFA problem on labeled graphs.*

1. *If  $\gamma_G$  and  $\gamma_T$  are LIN (respectively, POLY), then the complexity of solving  $P$  in HGs is linearly- (polynomially-) reducible to the problem of solving  $P$  in flat graphs.*
2. *If  $\gamma_G$  is LIN (respectively, POLY), then the complexity of solving  $P$  in CE-HGs is linear (polynomial) in the DFA specifying the constraint.*

**An application: Constrained shortest path** The problem of finding the shortest labeled path is to find, given a labeled weighted graph  $G = \langle V, s, T, E, w, \Sigma, l \rangle$  and a DFA  $\mathcal{A} = \langle \Sigma, Q, q_0, \delta, F \rangle$ , the shortest path in  $G$  from  $s$  to each of the vertices in  $T$ , that is labeled by a word in  $L(\mathcal{A})$ . The problem can be solved in time polynomial in  $|G| \cdot |\mathcal{A}|$ . Indeed, it is not hard to see that  $v$  is reachable from  $u$  by a path labeled by a word in  $L(\mathcal{A})$  iff a vertex in  $\{v\} \times F$  is reachable from  $\langle u, q_0 \rangle$  in the product graph  $G \times \mathcal{A}$  as defined above. Consequently, the problem can be solved by finding shortest paths in  $G \times \mathcal{A}$ . For a hierarchical labeled weighted graph  $\mathcal{G} = \langle G_1, \dots, G_n \rangle$ , the goal is to find the shortest path in  $\mathcal{G}$  from  $in_1$  to each of the exit vertices in  $Exit_1$  that is labeled by a word in  $L(\mathcal{A})$ , in time polynomial in  $|\mathcal{G}| \cdot |\mathcal{A}|$ , rather than in  $|\mathcal{G}^f| \cdot |\mathcal{A}|$ . We show that the problem is (POLY, POLY)-compressible-with-DFA. Then, it follows from Theorem 4 that the problem is polynomially-reducible to the flat case. Since finding the shortest path in  $G \times \mathcal{A}$  is polynomial in  $|G| \times |\mathcal{A}|$ , we have that the problem of finding the shortest labeled path is polynomial in  $|\mathcal{G}| \cdot |\mathcal{A}|$  for HG  $\mathcal{G}$ . A polynomial maintaining function for the problem proceeds as follows. Given a product graph  $G \times \mathcal{A}$  for  $G = \langle V, in, Exit, E, w, \Sigma, l \rangle$  and  $\mathcal{A} = \langle \Sigma, Q, q_0, \delta, F \rangle$ , it constructs a product graph  $G' \times \mathcal{A} = \langle (\{s\} \times Q) \cup (T \times Q), \{s\} \times Q, T \times Q, E', w' \rangle$ , where  $(\langle s, q_1 \rangle, \langle t, q_2 \rangle) \in E'$  iff  $t \in T$  and  $\langle t, q_2 \rangle$  is reachable from  $\langle s, q_1 \rangle$  in  $G \times \mathcal{A}$ . The weight  $w'(\langle s, q_1 \rangle, \langle t, q_2 \rangle)$  is set to the length of the shortest path from  $\langle s, q_1 \rangle$  to  $\langle t, q_2 \rangle$  in  $G \times \mathcal{A}$ . Note that  $G' \times \mathcal{A}$  is a bipartite graph that consists only of the interface vertices of  $G \times \mathcal{A}$ . Therefore, it is clearly of size linear in  $|T| \cdot |Q|$  and  $G \times \mathcal{A}$  is substitutable by  $G' \times \mathcal{A}$ . The time complexity of constructing  $G' \times \mathcal{A}$  is polynomial in  $|G| \cdot |\mathcal{A}|$ , since finding shortest paths in  $G \times \mathcal{A}$  is polynomial. We left to prove that for every hierarchical graph  $\mathcal{G}$  with bottom subgraph  $G$ , we have  $P(\mathcal{G} \times \mathcal{A}) = P(\mathcal{G} \times \mathcal{A}[G \times \mathcal{A} \leftarrow G' \times \mathcal{A}])$ . Since every shortest path from  $\langle s, q_1 \rangle$  to  $\langle t, q_2 \rangle$  in  $G \times \mathcal{A}$  is replaced with an edge  $(\langle s, q_1 \rangle, \langle t, q_2 \rangle)$  of the same weight in  $G' \times \mathcal{A}$ , it is easy to see that every shortest path in  $\mathcal{G} \times \mathcal{A}$  has a corresponding shortest path in  $\mathcal{G} \times \mathcal{A}[G \times \mathcal{A} \leftarrow G' \times \mathcal{A}]$ .

## 5 Nondeterministic Compression of Hierarchical Graphs

In Section 3, we studied problems  $P$  for which the HG can be iteratively flattened by replacing bottom subgraphs by smaller ones that maintain the properties required for

solving  $P$ . We showed that when the replacing can be done in linear or polynomial time, then this is also the factor added to the complexity of solving  $P$  in flat graphs. In this section we study problems  $P$  for which a polynomial verifier is known in the flat setting. We argue that when the number of exit vertices is bounded by a constant, this can be used in order to verify that a suggested compression of the bottom subgraph indeed maintains  $P$ . This enables us to show membership in NP for the hierarchical setting for several problems for which membership in NP is known in the flat setting, improving the PSPACE upper bound for the general case (that is, when the number of exit vertices is not a constant).

Consider a decision problem  $P$  and a graph with entry and exit vertices  $G$ . We say that  $G$  is *hopeful with respect to  $P$*  if there is an HG in which  $G$  is called and  $P(\mathcal{G})$  holds. For example, if  $P$  is 3-coloring, and  $G$  includes a 4-clique, then  $G$  is not hopeful. We say that a relation  $R : \mathcal{G} \times \mathcal{G}$  *maintains  $P$*  if for every graph  $G \in \mathcal{G}$  that is hopeful with respect to  $P$  there is at least one graph  $G' \in \mathcal{G}$  such that  $\langle G, G' \rangle \in R$ , and for every pair of graphs  $\langle G, G' \rangle \in R$ , we have that  $G$  is substitutable by  $G'$  and for every HG  $\mathcal{G}$  with bottom subgraph  $G$ , we have  $P(\mathcal{G}) = P(\mathcal{G}[G \leftarrow G'])$ .

Consider three complexity functions  $g_s : \mathbb{N} \rightarrow \mathbb{N}$ ,  $g_t : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  and  $g_w : \mathbb{N} \rightarrow \mathbb{N}$ , where  $s$ ,  $t$  and  $w$  stand for *size*, *time* and *witness*, respectively. We say that a problem  $P$  is *nondeterministically-( $g_s, g_t, g_w$ )-compressible* if there is a relation  $R : \mathcal{G} \times \mathcal{G}$  such that the following hold.

1.  $R$  maintains  $P$ ,
2. For every graph  $G = \langle V, s, T, E \rangle$  and pair  $\langle G, G' \rangle \in R$ , we have that  $|G'| \leq g_s(|T|)$ ,
3. There is a verifier  $\mathcal{V}$  that runs in time  $g_t(|G|, |T|)$  such that whenever  $\langle G, G' \rangle \in R$ , there is a witness  $w$  such that  $\mathcal{V}$  returns “yes” on  $(\langle G, G' \rangle, w)$ , and
4. For every graph  $G = \langle V, s, T, E \rangle$ , we have  $|\{G' : \langle G, G' \rangle \in R\}| \leq g_w(|T|)$ .

We then say that  $P$  is nondeterministically-( $g_s, g_t, g_w$ )-compressible with witness  $R$ .

**Theorem 5.** *Let  $\mathcal{G}$  be an HG. If a problem  $P$  is nondeterministically-( $g_s, g_t, g_w$ )-compressible and  $P(\mathcal{G})$  holds, then there is a flat graph  $G$  such that  $|G| \leq g_s(|\text{Exit}_1|)$ , and there is a verifier  $\mathcal{V}$  that runs in time  $\sum_{i=1}^n g_w(|\text{Exit}_i|) \cdot (g_t(|G_i| - |B_i| + \sum_{b \in B_i} g_s(|\text{Exit}_{\tau_i(b)}|), |\text{Exit}_i|))$  such that if  $P(\mathcal{G}) = P(G)$ , then there is a witness  $w$  such that  $\mathcal{V}$  returns “yes” on  $(G, w)$ .*

**Proof:** Let  $\mathcal{G} = \langle G_1, \dots, G_n \rangle$ , with  $G_i = \langle V_i, B_i, \text{in}_i, \text{Exit}_i, \tau_i, E_i \rangle$ , and let  $R : \mathcal{G} \times \mathcal{G}$  be such that  $P$  is nondeterministically-( $g_s, g_t, g_w$ )-compressible with witness  $R$ . We prove that if  $P(\mathcal{G})$  holds, then for every  $0 \leq j \leq n-1$ , we can generate an HG  $\mathcal{G}'_j = \langle G'_1, G'_2, \dots, G'_{n-j} \rangle$  such that:

1.  $P(\mathcal{G}) = P(\mathcal{G}'_j)$ ,
2.  $|G'_{n-j}| \leq g_s(|\text{Exit}_{n-j}|)$ , and
3. There is a verifier  $\mathcal{V}_j$  that runs in time  $\sum_{i=n-j}^n g_t(|G_i| - |B_i| + \sum_{b \in B_i} g_s(|\text{Exit}_{\tau_i(b)}|), \text{Exit}_i) \cdot g_w(|\text{Exit}_i|)$  such that if  $\langle G_{n-j}, G'_{n-j} \rangle \in R$ , then there is a witness  $w_j$  such that  $\mathcal{V}_j$  returns “yes” on  $(\langle G_{n-j}, G'_{n-j} \rangle, w_j)$ .

The theorem then follows by taking  $j = n - 1$ . Indeed,  $\mathcal{G}'_{n-1} = \langle G_1^{n-1} \rangle$  consists of a single subgraph, which must be flat.

The proof proceeds by an induction on  $j$ . Let  $j = 0$ . If  $G_n$  is not hopeful, then  $P(\mathcal{G})$  does not hold, and we are done. Otherwise, let  $G'_n$  be such that  $\langle G_n, G'_n \rangle \in R$ . We define  $\mathcal{G}'_0 = \mathcal{G}[G_n \leftarrow G'_n]$ . Since  $P$  is nondeterministically- $(g_s, g_t, g_w)$ -compressible with witness  $R$ , we have that  $|G'_n| \leq g_s(|\text{Exit}_n|)$ , and there is a verifier  $\mathcal{V}_0$  that runs in time  $g_t(|G_n|, |\text{Exit}_n|)$  and there is a witness  $w_0$  such that  $\mathcal{V}_0$  returns “yes” on  $(\langle G_n, G'_n \rangle, w_0)$ . Also, as  $R$  maintains  $P$ , we have  $P(\mathcal{G}) = P(\mathcal{G}[G_n \leftarrow G'_n])$ .

Assume the claim holds for  $j \in \{0, \dots, k-1\}$ . We prove it holds for  $j = k$ . Let  $G'_{n-k}$  be such that  $\langle G_{n-k}^k, G'_{n-k} \rangle \in R$ . Again, if  $G_{n-k}^k$  is hopeful, then such  $G'_{n-k}$  exists. We define  $\mathcal{G}'_k = \mathcal{G}'_{k-1} \downarrow [G_{n-k}^k \leftarrow G'_{n-k}]$ . That is, we flatten all boxes that call the bottom subgraph in  $\mathcal{G}'_{k-1}$ , obtain a hierarchical graph with  $n-k$  subgraphs, and then substitute the new bottom subgraph  $G_{n-k}^k$  with  $G'_{n-k}$ .

We prove that the three conditions hold.

1. Since flattening maintains  $P$  and so does  $R$ , it follows that  $P(\mathcal{G}'_k) = P(\mathcal{G}'_{k-1})$ . Thus, by the induction hypothesis,  $P(\mathcal{G}) = P(\mathcal{G}'_k)$ .
2. All subgraphs  $G_{n-k}^0, G_{n-k}^1, \dots, G_{n-k}^k$  have the same set of exit vertices, namely  $\text{Exit}_{n-k}$ . Hence, by the definition of nondeterministically- $(g_s, g_t, g_w)$ -compressibility, we have that  $|G'_{n-k}| \leq g_s(|\text{Exit}_{n-k}|)$ .
3. The calculation of  $\mathcal{G}'_k$  involves a calculation of  $\mathcal{G}'_j$  for all  $j \in \{0, \dots, k-1\}$ .

For every  $j \in \{0, \dots, k-1\}$ , verifying that  $\langle G_{n-j}, G_{n-j}^j \rangle \in R$ , which is required in order to verify that  $\langle G_{n-k}, G_{n-k}^k \rangle \in R$ , is done on a graph of size  $|G_{n-j}| - |B_{n-j}| + \sum_{b \in B_{n-j}} g_s(|\text{Exit}_{\tau_{n-j}(b)}|)$  with  $|\text{Exit}_{n-j}|$  exits. Note that for every  $j \in \{0, \dots, k-1\}$ ,  $G_{n-j}$  might be substituted in  $G_{n-k}$  several times, such that every substitution require a separate verification. However, since  $|\{G' : \langle G_{n-k}, G' \rangle \in R\}| \leq g_w(|\text{Exit}_{n-j}|)$ , we need at most  $g_w(|\text{Exit}_{n-j}|)$  different verifications for  $G_{n-j}$ . Therefore, the time complexity of verifying  $\langle G_{n-k}, G_{n-k}^k \rangle \in R$  is  $\sum_{i=n-k}^n g_w(|\text{Exit}_i|) \cdot (g_t(|G_i| - |B_i| + \sum_{b \in B_i} g_s(|\text{Exit}_{\tau_i(b)}|), |\text{Exit}_i|))$ , and it holds that if  $\langle G_{n-k}, G_{n-k}^k \rangle \in R$ , then there is a witness  $w_k$  such that the verifier returns “yes” on  $(\langle G_{n-k}, G_{n-k}^k \rangle, w_k)$ .

□

**Theorem 6.** *If a problem  $P$  is nondeterministically- $(g_s, g_t, g_w)$ -compressible for  $g_t$  polynomial in its first parameter, then  $P$  is in NP for CE-HGs.*

**Proof:** Consider a problem  $P$  that is nondeterministically- $(g_s, g_t, g_w)$ -compressible for a  $g_t$  polynomial in its first parameter and a CE-HG  $\mathcal{G}$ . Applying Theorem 5, we have that if  $P(\mathcal{G})$  holds, then there is a flat graph  $G$  of constant size, and there is a verifier  $\mathcal{V}$  that runs in polynomial time such that if  $P(\mathcal{G}) = P(G)$ , then there is a witness  $w$  such that  $\mathcal{V}$  returns “yes” on  $(G, w)$ . □

## 5.1 Applications

In this section we describe NP-complete problems that stay in NP for CE-HGs. We rely on Theorem 6, showing that the problems are nondeterministically- $(g_s, g_t, g_w)$ -compressible for  $g_t$  that is polynomial in its first parameter in  $|G|$ .

**$k$ -coloring** A valid  $k$ -coloring for a graph is a labeling of the vertices of the graph by  $k$  colors so that adjacent vertices are mapped to different colors. We define a relation  $R : \mathcal{G} \times \mathcal{G}$  such that for every graph  $G = \langle V, s, T, E \rangle$ , we have that  $\langle G, G' \rangle \in R$  iff  $G' = \langle \{s, v_1, v_2, \dots, v_k\} \cup T, s, T, E' \rangle$ , there is exactly one valid coloring of  $G'$  with  $k$  colors, and there is a valid coloring of  $G$  that agrees with the coloring of the interface vertices in  $G'$ . Note that if there is a valid coloring of the interface vertices in  $G$ , then the edge relation  $E'$  can force it on the interface vertices in  $G'$  using a “ $k$ -colors plate” clique  $\{v_1, v_2, \dots, v_k\}$ . Namely, the set  $E'$  includes an edge between every pair of vertices in  $\{v_1, v_2, \dots, v_k\}$ , and in addition it includes edges from every vertex  $v \in \{s\} \cup T$  to the colors in  $\{v_1, v_2, \dots, v_k\}$  that  $v$  is not colored in. It is easy to see that  $R$  maintains  $P$ . Note that for every graph  $G = \langle V, s, T, E \rangle$  and pair  $\langle G, G' \rangle \in R$ ,  $|G'|$  is clearly of size linear in  $|T|$ , and verifying that  $\langle G, G' \rangle \in R$  can be done in polynomial time, given a witness that shows a coloring of  $G$  that agrees with  $G'$  on the coloring of the interface vertices. Finally, for every graph  $G$ , the number of graphs  $G'$  such that  $\langle G, G' \rangle \in R$  is bounded by  $k^{|T|}$ , which is constant for CE-HGs.

**Independent Set** The independent set problem is to decide, given a graph  $G = \langle V, E \rangle$  and number  $k \geq 0$ , whether  $G$  contains an independent set  $S \subseteq V$  of size at least  $k$ , where  $S$  is independent if for all two vertices  $v$  and  $v'$  in  $S$ , we have  $(v, v') \notin E$ . Note that the input to the problem contains a parameter  $k$ . We extend our definition of maintenance to also account for the parameter. Thus, the relation  $R : (\mathcal{G} \times \mathbb{N}) \times (\mathcal{G} \times \mathbb{N})$  maintains  $P$  if for every graph  $G \in \mathcal{G}$  and parameter  $k \in \mathbb{N}$ , such that  $\langle G, k \rangle$  is hopeful with respect to  $P$ , there is at least one graph  $G' \in \mathcal{G}$  and parameter  $k' \in \mathbb{N}$ , such that  $\langle (G, k), (G', k') \rangle \in R$ . In addition, we allow  $R$  to refer to labeled versions of  $G$  and  $G'$ . Formally, we rephrase the independent set problem as follows: given  $G = \langle V, E \rangle$  and  $k$ , decide whether there is a labeling function  $l : V \rightarrow \{0, 1\}$  such that  $l^{-1}(1)$  forms an independent set of size at least  $k$ . Now, whenever we compress a graph  $G$ , we mark the interface vertices of  $G'$  by 0's and 1's, serving as identifiers as to whether these vertices participate in the witness independent set of  $G$ . Thus,  $\langle (G, k), (G', k - k') \rangle \in R$  iff  $G' = \langle \{s\} \cup T, s, T, \emptyset, l \rangle$ , where  $l$  is such that there is an independent set  $S$  in  $G$  of size  $k' + |\{s\} \cup T \cap l^{-1}(1)|$  such that for all  $v \in \{s\} \cup T$ , we have that  $v \in S$  iff  $l(v) = 1$ . Note that  $l$  uniquely defines  $k'$ , thus for each  $l : \{s\} \cup T \rightarrow \{0, 1\}$ , there is at most one graph  $G'$  such that  $\langle (G, k), (G', k - k') \rangle \in R$ .

Now, when  $G$  is replaced by  $G'$ , the search for a labeling function in  $\mathcal{G}$  that serves as a characteristic function of the independent set takes the labels of  $G'$  into account. Thus, edges that lead to an interface vertex of  $G'$  that is labeled by 1 cannot be labeled by 1. Since there are at most  $2^{|T|+1}$  possible labeling functions, all the conditions on  $R$  are satisfied.

**Hamilton Path** A Hamiltonian path in the graph is a path that traverses all the vertices of the path, each vertex exactly once. For directed graphs, the hierarchical setting is less challenging, as a subgraph may be entered only once. We consider here also the more challenging undirected cases, where a subgraph may be entered via all its interface vertices. There, a Hamiltonian path in an HG may enter a subgraph more than once, and it may visit an interface vertex of a box without entering or leaving it. For an HG  $\mathcal{G}$  with a box  $b$  that is substituted by  $G$ , restricting a Hamiltonian path to  $b$  yields a set of paths in  $G$ , where every vertex in  $G$  appears exactly in one path. This set induces a partition of the interface vertices of  $G$ , where the vertices in every subset in the partition are ordered. For a Hamiltonian path with sub-paths  $p_1, \dots, p_m$  in  $G$ , we denote this partition as *the restriction of  $p_1, \dots, p_m$  to the interface vertices of  $G$* . For example, assume that  $G$  has six interface vertices,  $v_1, \dots, v_6$ , and assume that there is a Hamiltonian path in  $\mathcal{G}$  that enters to  $b$  twice. First, it enters through  $v_1$ , passes  $v_2$  and part of the non-interface vertices, and exits through  $v_3$ . Then it visits  $v_4$  without entering  $b$ , and later it enters  $b$  through  $v_5$ , visits the rest of the non-interface vertices and exits through  $v_6$ . The restriction of such a path to the interface vertices of  $G$  is  $\{(v_1, v_2, v_3), v_4, (v_5, v_6)\}$ . We define a relation  $R : \mathcal{G} \times \mathcal{G}$  such that for every graph  $G = \langle V, s, T, E \rangle$ , we have that  $\langle G, G' \rangle \in R$  iff  $G' = \langle \{s\} \cup T, s, T, E' \rangle$ , and  $E'$  is such that there are two sets of paths  $\{p_1, \dots, p_m\}$  in  $G$  and  $\{p'_1, \dots, p'_m\}$  in  $G'$  with the same restriction to the interface vertices  $\{s\} \cup T$ . It is easy to see that this relation maintains the problem of Hamiltonian path both for directed and undirected graphs and for every graph, there is a bounded number of graphs that are in relation  $R$  with it. In addition, for every graph  $G = \langle V, s, T, E \rangle$  and pair  $\langle G, G' \rangle \in R$ ,  $|G'|$  is clearly of size linear in  $|T|$ . Finally, given a witness that shows set of paths in  $G$  that are restricted to the same partition as  $E'$  in  $G'$ , verifying that  $\langle G, G' \rangle \in R$  can be easily done in polynomial time.

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