# An Improved Upper Bound for the Most Informative Boolean Function Conjecture

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- $\mathbf{X} \sim \text{Unif}(\{0,1\}^n)$  (*n* i.i.d. Bernoulli $(\frac{1}{2})$  RVs)
- $Z_i \sim \text{Bernoulli}(\alpha)$ , i.i.d.

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In other words: no function is better than  $f(\mathbf{X}) = X_i$ 

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#### This work:

New upper bound *l*(*f*(**X**); **Y**) ≤ *g*(α) that holds for all balanced functions

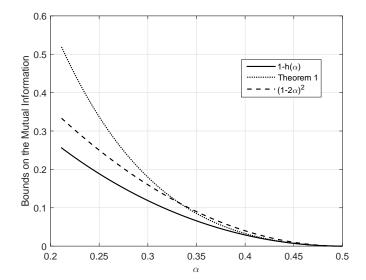
• 
$$\lim_{\alpha \to 1/2} \frac{g(\alpha)}{1-h(\alpha)} = 1$$

# Main Result

#### Theorem

For any balanced function  $f : \{0,1\}^n \mapsto \{-1,1\}$  and any  $\frac{1}{2}\left(1-\frac{1}{\sqrt{3}}\right) \le \alpha \le \frac{1}{2}$ , we have that  $I(f(\mathbf{X}); \mathbf{Y}) \le \frac{\log_2(e)}{2}(1-2\alpha)^2 + 9\left(1-\frac{\log_2(e)}{2}\right)(1-2\alpha)^4.$ 

## Main Result



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Let

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$$\mathcal{A}_{-1} \triangleq f^{-1}(-1) \triangleq \{x : f(x) = -1\}$$
  
•  $\mathcal{A}_1 \triangleq f^{-1}(1) \triangleq \{x : f(x) = 1\}$ 

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$$U_{-1} \sim \operatorname{Unif}(\mathcal{A}_{-1})$$
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Mrs. Gerber's Lemma [WZ73]

$$H(\mathbf{W} \oplus \mathbf{Z}) \ge nh\left(lpha * h^{-1}\left(\frac{H(\mathbf{W})}{n}\right)
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#### Weakness: MGL not tight for W uniform on subsets

# Simple Attempts: SDPI

Let

$$\eta_{\mathsf{KL}}(P_{Y|X}, Q) \triangleq \sup_{P: 0 < D(P||Q) < 1} \frac{D(P_{Y|X} \circ P||P_{Y|X} \circ Q)}{D(P||Q)}$$

•  $\eta_{\mathsf{KL}}(P_{Y|X}, Q)$  tensorizes:  $\eta_{\mathsf{KL}}(P_{Y|X}^n, Q^n) = \eta_{\mathsf{KL}}(P_{Y|X}, Q)$ 

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$$\eta_{\mathsf{KL}}(P_{Y|X}, Q) = \sup_{\substack{U-X-Y:\\P_{XY}=Q\times P_{Y|X}}} \frac{I(U;Y)}{I(U;X)}$$

Conclusion For all  $f : \{0,1\}^n \mapsto \{-1,1\}$  $\frac{I(f(\mathbf{X});\mathbf{Y})}{I(f(\mathbf{X});\mathbf{X})} \leq \eta_{\mathsf{KL}}(\mathrm{BSC}(\alpha),\mathrm{Bernoulli}(\frac{1}{2})) = (1-2\alpha)^2$ 

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$$I(f(\mathbf{X}); \mathbf{Y}) = H(f(\mathbf{X})) - H(f(\mathbf{X})|\mathbf{Y}) = 1 - \mathbb{E}h(P_{\mathbf{Y}}^{f})$$
$$h(p) \triangleq -p \log_{2}(p) - (1-p) \log_{2}(1-p)$$

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Recall:  $h(p) \ge 4p(1-p)$ 

$$I(f(\mathbf{X}); \mathbf{Y}) = H(f(\mathbf{X})) - H(f(\mathbf{X})|\mathbf{Y}) \le 1 - \mathbb{E}4P_{\mathbf{Y}}^{f}(1 - P_{\mathbf{Y}}^{f})$$
  
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Assume f is balanced and let  $P_{\mathbf{y}}^{f} = \Pr(f(\mathbf{X}) = -1 | \mathbf{Y} = \mathbf{y})$ 

 $I(f(\mathbf{X}); \mathbf{Y}) = H(f(\mathbf{X})) - H(f(\mathbf{X})|\mathbf{Y}) \le \mathbb{E}(\mathbb{E}(f(\mathbf{X})|\mathbf{Y}))^2$ Note:  $\mathbb{E}(f(\mathbf{X})|\mathbf{Y} = \mathbf{y}) = 1 - 2P_{\mathbf{y}}^f$ 

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# Conclusion For all balanced $f : \{0,1\}^n \mapsto \{-1,1\}$ $I(f(\mathbf{X}); \mathbf{Y}) \leq \max_{\substack{f:\{0,1\}^n \mapsto \{-1,1\}\\ \mathbb{E}f(\mathbf{X}) = 0, \mathbb{E}f^2(\mathbf{X}) = 1}} \mathbb{E} \left(\mathbb{E}(f(\mathbf{X})|\mathbf{Y})\right)^2$

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Rényi maximal correlation

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$$= \max_{\substack{f: \{0,1\} \mapsto \mathbb{R} \\ \mathbb{E}f(X_1) = 0, \mathbb{E}f^2(X_1) = 1}} \mathbb{E} \left( \mathbb{E}(f(X_1)|Y_1) \right)^2$$

[Witsenhausen'75]

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Weakness: the approximation  $h(p) \ge 4p(1-p)$  is loose

We will first find tighter bounds on h(p)

$$h(p)=h\left(\frac{1}{2}+\frac{(1-2p)}{2}\right)$$

$$h(p) = h\left(\frac{1}{2} + \frac{(1-2p)}{2}\right) = 1 - \sum_{k=1}^{\infty} c_k (1-2p)^{2k}$$
$$c_k = \frac{\log_2(e)}{2k(2k-1)}$$

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Taylor expansion around 1/2:

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for t = 1 this gives  $h(p) \ge 4p(1-p)$ Larger t, better bounds

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Recall:  $I(f(\mathbf{X}); \mathbf{Y}) = 1 - \mathbb{E}h(P_{\mathbf{Y}}^{f}); \quad 1 - 2P_{\mathbf{Y}}^{f} = \mathbb{E}(f(\mathbf{X})|\mathbf{Y})$ 

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$$I(f(\mathbf{X});\mathbf{Y}) \leq \sum_{k=1}^{t} c_{k} \mathbb{E}\left[\mathbb{E}^{2k}\left(f(\mathbf{X})|\mathbf{Y}\right)\right] + \left(1 - \sum_{k=1}^{t} c_{k}\right) \mathbb{E}\left[\mathbb{E}^{2t}\left(f(\mathbf{X})|\mathbf{Y}\right)\right]$$

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For channel w(x|y) and function  $g:\mathcal{X}\mapsto\mathbb{R}$  define

$$(T_wg)(y) \triangleq \mathbb{E}(g(X)|Y=y) = \sum_{x \in \mathcal{X}} w(x|y)g(x)$$

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 $||T_wg||_p \triangleq \left(\mathbb{E}\left[\mathbb{E}^p\left(g(X)|Y\right)\right]\right)^{1/p}$ 

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 $\|T_wg\|_{\rho} \triangleq \left(\mathbb{E}\left[\mathbb{E}^{\rho}\left(g(X)|Y\right)\right]\right)^{1/\rho}$ 

For us:  $\mathbf{Y} \sim \text{Bernoulli}^n(\frac{1}{2}), w = \text{BSC}^n(\alpha)$ 

Need to upper bound  $||T_w f||_{2k}$ , for  $k = 1, \ldots, t$ 

Given  $Y \sim Q$  and channel w = w(x|y), define for p > 1

 $S_p(w, Q) \triangleq \inf \{r : \|T_w g\|_p \le \|g\|_r \ \forall g : \mathcal{X} \mapsto \mathbb{R} \}$ 

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#### Theorem: Bonami-Beckner

Let  $\mathbf{Y} \sim \text{Bernoulli}^n(\frac{1}{2})$  and  $w(\mathbf{x}|\mathbf{y}) = \text{BSC}^n(\delta)$ , and let  $1 \le r . If <math>(1 - 2\delta) \le \sqrt{\frac{r-1}{p-1}}$ , then for any  $g : \{0, 1\}^n \mapsto \mathbb{R}$ 

 $\|T_wg(\mathbf{Y})\|_p \leq \|g(\mathbf{X})\|_r$ 

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#### Apply with r = 2

Given  $Y \sim Q$  and channel w = w(x|y), define for p > 1

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Denote  $T_{BSC^n(\delta)} = T_{\delta}$ 

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Quite useless for  $g: \{-1,1\}^n \mapsto \{-1,1\}$  as  $\|g\|_q = 1, \forall q$ 

- Let w'(z|y) be a channel with input  ${\mathcal Y}$  and output  ${\mathcal Z}$
- Let w''(x|z) be a channel with input  ${\mathcal Z}$  and output  ${\mathcal X}$

• let 
$$w(x|y) = w'' \circ w' = \sum_{z \in \mathcal{Z}} w''(x|z)w'(z|y)$$

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Proposition

$$(T_w f)(y) = (T_{w'}g(z))(y),$$

where

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• Set  $\sigma(z) = (T, rf)(z)$  such that  $(T, f)(\alpha) = (T, rg)(\alpha)$ 

• Set 
$$g(z) = (T_{\alpha''}f)(z)$$
 such that  $(T_{\alpha}f)(y) = (T_{\alpha'}g)(y)$ 

$$\|(T_{\alpha}f)(\mathbf{Y})\|_{p} = \|(T_{\alpha'}g)(\mathbf{Y})\|_{p}$$

#### degraded channel proposition

If 
$$\delta \geq \frac{1}{2} \left( 1 - \sqrt{\frac{1}{p-1}} \right)$$
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$$\| (T_{\alpha}f)(\mathbf{Y}) \|_{p} = \| (T_{\alpha'}g)(\mathbf{Y}) \|_{p} \leq \| g(\mathbf{Z}) \|_{2}$$

#### hypercontractivity

If 
$$\delta \geq \frac{1}{2} \left( 1 - \sqrt{\frac{1}{p-1}} \right)$$
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$$\begin{split} \| \left( T_{\alpha} f \right) (\mathbf{Y}) \|_{p} &= \| \left( T_{\alpha'} g \right) (\mathbf{Y}) \|_{p} \leq \| g(\mathbf{Z}) \|_{2} \\ &= \| g(\mathbf{X}) \|_{2} \end{split}$$

### **Z** and **X** are both Bernoulli<sup>*n*</sup> $\left(\frac{1}{2}\right)$

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definition of g

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$$egin{aligned} &\|\left(\mathcal{T}_{lpha}f
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maximal correlation (recall: f balanced)

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Note: derivation only used  $\mathbb{E}f(\mathbf{X}) = 0$  and  $\mathbb{E}f^2(\mathbf{X}) = 1$ 

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#### Theorem

Let 
$$\mathbf{X} \sim \text{Bernoulli}^n(\frac{1}{2})$$
,  $p \ge 2$  and  $\frac{1}{2}\left(1 - \sqrt{\frac{1}{p-1}}\right) \le \alpha \le \frac{1}{2}$ . For  
any  $f : \{0,1\}^n \mapsto \mathbb{R}$  with  $\mathbb{E}f(\mathbf{X}) = 0$  and  $\mathbb{E}f^2(\mathbf{X}) = 1$   
 $\|(T_{\alpha}f)(\mathbf{X})\|_p \le (p-1)(1-2\alpha)^2$ .

We have found:

- $I(f(\mathbf{X}); \mathbf{Y}) \leq \sum_{k=1}^{t} c_k \|T_{\alpha}f\|_{2k}^{2k} + (1 \sum_{k=1}^{t} c_k) \|T_{\alpha}f\|_{2t}^{2t}$
- $\|(T_{\alpha}f)(\mathbf{X})\|_{p} \leq (p-1)(1-2\alpha)^{2}$  for  $p \geq 2$

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• 
$$\|(T_{lpha}f)(\mathbf{X})\|_{p}\leq (p-1)(1-2lpha)^{2}$$
 for  $p\geq 2$ 

#### Theorem

For any balanced function  $f: \{0,1\}^n \mapsto \{-1,1\}$ , any integer  $t \ge 1$ and any  $\frac{1}{2}\left(1 - \frac{1}{\sqrt{2t-1}}\right) \le \alpha \le \frac{1}{2}$ , we have that

$$U(f(\mathbf{X}); \mathbf{Y}) \le \sum_{k=1}^{t-1} \frac{\log_2(e)}{2k(2k-1)} (2k-1)^k (1-2\alpha)^{2k} + \left(1 - \sum_{k=1}^{t-1} \frac{\log_2(e)}{2k(2k-1)}\right) (2t-1)^t (1-2\alpha)^{2t}.$$

We have found:

• 
$$I(f(\mathbf{X}); \mathbf{Y}) \leq \sum_{k=1}^{t} c_k \|T_{\alpha}f\|_{2k}^{2k} + (1 - \sum_{k=1}^{t} c_k) \|T_{\alpha}f\|_{2t}^{2t}$$

• 
$$\|(T_{\alpha}f)(\mathbf{X})\|_{p} \leq (p-1)(1-2\alpha)^{2}$$
 for  $p \geq 2$ 

#### Theorem

For any balanced function  $f:\{0,1\}^n\mapsto\{-1,1\}$  and any  $0\leq\alpha\leq\frac{1}{2},$  we have that

 $I(f(\mathbf{X});\mathbf{Y}) \le (1-2\alpha)^2.$ 

t = 1

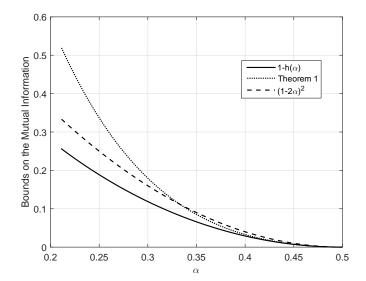
We have found:

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$$I(f(\mathbf{X}); \mathbf{Y}) \leq \sum_{k=1}^{t} c_k \|T_{\alpha}f\|_{2k}^{2k} + (1 - \sum_{k=1}^{t} c_k) \|T_{\alpha}f\|_{2t}^{2t}$$

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$$\|(T_{\alpha}f)(\mathbf{X})\|_{p} \leq (p-1)(1-2\alpha)^{2}$$
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#### Theorem

For any balanced function  $f : \{0,1\}^n \mapsto \{-1,1\}$  and any  $\frac{1}{2}\left(1-\frac{1}{\sqrt{3}}\right) \leq \alpha \leq \frac{1}{2}$ , we have that  $I(f(\mathbf{X}); \mathbf{Y}) \leq \frac{\log_2(e)}{2}(1-2\alpha)^2 + 9\left(1-\frac{\log_2(e)}{2}\right)(1-2\alpha)^4.$ 



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For  $\alpha$  close enough to 1/2 our bound is less than  $1 - h(\alpha)$  for any function that is not dictatorship

#### Corollary

For all 
$$\frac{1}{2}(1-2^{-(n+2)}) \le \alpha \le \frac{1}{2}$$
 and all balanced functions  $f: \{0,1\}^n \mapsto \{-1,1\}$ 

$$I(f(\mathbf{X}); \mathbf{Y}) \leq 1 - h(\alpha)$$
 (\*)

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It is now known that (\*) holds for all boolean functions and  $\frac{1}{2}(1-\delta) < \alpha \leq \frac{1}{2}$  ( $\delta > 0$  and indep. of *n*) [Samorodnitsky'15]

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To prove the conjecture using this approach, we must show that  $\rho(2k, \alpha) = (1 - 2\alpha)^{2k}$ In other words, that for any k dictatorship maximizes  $||T_{\alpha}f||_{2k}$  provided that n is large enough

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Less plausible to believe that  $\rho(2k,\alpha) = (1-2\alpha)^{2k}$  for all k...

# Summary

- We have studied the most informative boolean function conjecture
- Derived a new upper bound on  $I(f(\mathbf{X}); \mathbf{Y}))$  for balanced f
- Bound becomes tight as channel becomes noisier
- Main ingredient was to bound high moments of  $T_{lpha}f$
- This was done by
  - Markov operator for degraded channels
  - hypercontractivity
  - maximal correlation