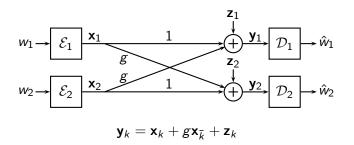
# The Approximate Sum Capacity of the Symmetric Gaussian K-User Interference Channel

Or Ordentlich Joint work with Uri Erez and Bobak Nazer

> July 5th, ISIT 2012 MIT, Cambridge, Massachusetts



# The symmetric Gaussian 2-user IC: channel model



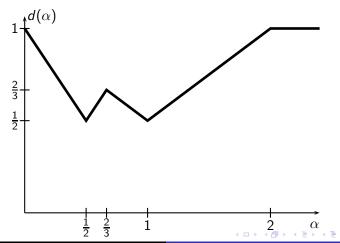
- Channel is static and real valued.
- Gaussian noises  $\mathbf{z}_k$  are of zero mean and variance 1.
- All users are subject to the power constraint  $\|\mathbf{x}_k\|^2 \leq n \mathsf{SNR}$ .
- Define INR  $\triangleq g^2$ SNR and  $\alpha \triangleq \frac{\log(INR)}{\log(SNR)}$ .

# Channel is symmetric:

sum capacity  $= 2 \times \text{symmetric capacity}$ 

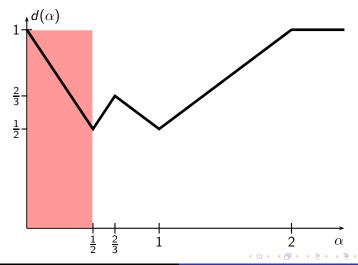
# GDoF of symmetric Gaussian 2-user IC

- Symmetric capacity is known to within 1/2 bit (Etkin et al. 08).
- DoF for each user is 1/2.
- GDoF gives more refined view



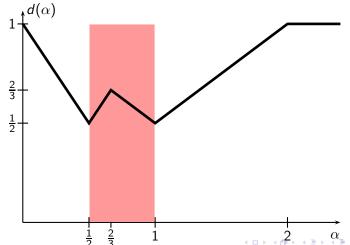
#### Noisy interference regime

• Treat interference as noise



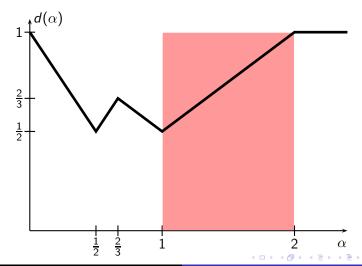
#### Weak interference regime

 Jointly decode intended message and part of interference (Han-Kobayashi).



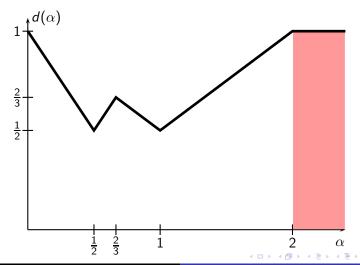
#### Strong interference regime

Jointly decode intended message and interference

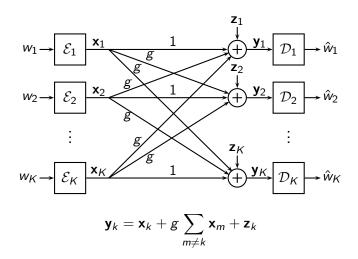


Very strong interference regime

Decode interference and then successively decode intended message



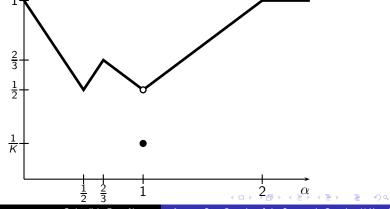
## The symmetric Gaussian K-user IC : channel model



• INR  $\triangleq g^2$ SNR and  $\alpha \triangleq \frac{\log(INR)}{\log(SNR)}$ .

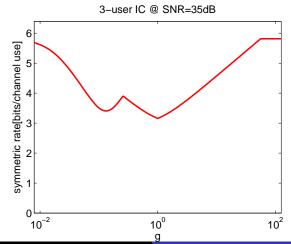


- DoF is discontinuous at the rationals (Etkin and E. Ordentlich 09, Wu et al. 11).
- GDoF of the symmetric K-user IC is independent of K, except for discontinuity at  $\alpha = 1$  (Jafar and Vishwanath 10).



#### What about finite SNR?

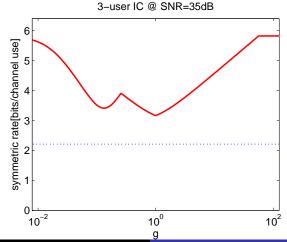
- Adding interference cannot increase capacity
  - $\rightarrow$  Outer bounds for K=2 remain valid for K>2.



#### What about finite SNR?

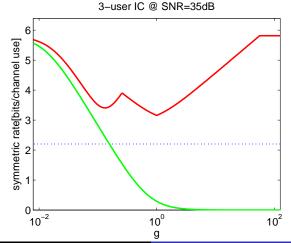
Can always use time-sharing

$$\rightarrow C_{\mathsf{SYM}} > \frac{1}{2K} \log(1 + K\mathsf{SNR}).$$



#### What about finite SNR?

- Can treat interference as noise
  - ightarrow achieves the approximate capacity for noisy interference regime



- For the other regimes lattice codes are useful.
- Closed under addition  $\implies K-1$  interferers folded to one effective interferer.
- Each receiver sees a K-user MAC

$$\mathbf{y}_k = \mathbf{x}_k + g \sum_{m \neq k} \mathbf{x}_m + \mathbf{z}_k,$$

- For the other regimes lattice codes are useful.
- Closed under addition  $\implies K-1$  interferers folded to one effective interferer.
- Assume x<sub>1</sub>,...,x<sub>K</sub> ∈ Λ.
   ⇒ Effective 2-user MAC at each receiver

$$\mathbf{y}_k = \mathbf{x}_k + g\mathbf{x}_{\mathsf{int},k} + \mathbf{z}_k,$$

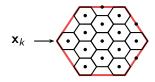
where 
$$\mathbf{x}_{\text{int},k} = \sum_{m \neq k} \mathbf{x}_m \in \Lambda$$
.

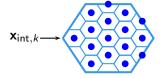
- For the other regimes lattice codes are useful.
- Closed under addition  $\implies K-1$  interferers folded to one effective interferer.
- Assume  $\mathbf{x}_1, \dots, \mathbf{x}_K \in \Lambda$ .  $\Longrightarrow$  Effective 2-user MAC at each receiver

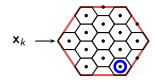
$$\mathbf{y}_k = \mathbf{x}_k + g\mathbf{x}_{\mathsf{int},k} + \mathbf{z}_k,$$

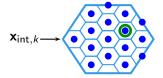
where 
$$\mathbf{x}_{\text{int},k} = \sum_{m \neq k} \mathbf{x}_m \in \Lambda$$
.

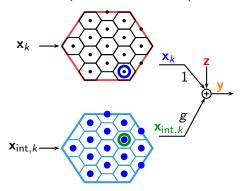
How to decode  $\mathbf{x}_k$ ?

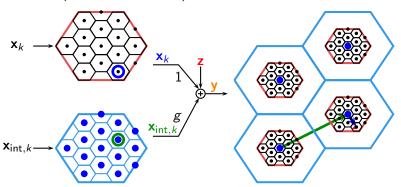




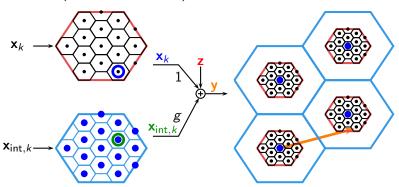








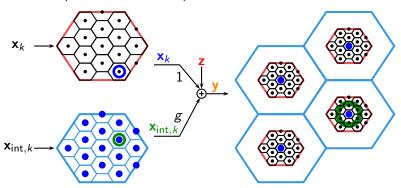
For large g, can decode sum of interferences, subtract and decode desired codeword (Sridharan *et al.* 08)



Decode **x**<sub>int,k</sub>

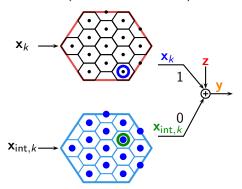


For large g, can decode sum of interferences, subtract and decode desired codeword (Sridharan *et al.* 08)



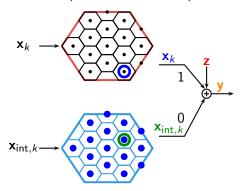
Decode  $\mathbf{x}_{int,k}$ 

For large g, can decode sum of interferences, subtract and decode desired codeword (Sridharan *et al.* 08)

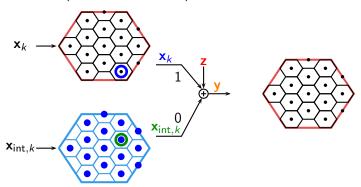


Cancel  $\mathbf{x}_{\text{int},k}$ 

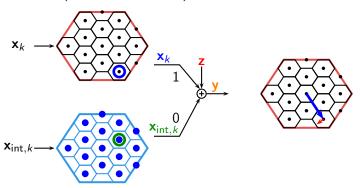
For large g, can decode sum of interferences, subtract and decode desired codeword (Sridharan *et al.* 08)



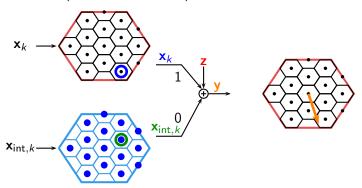
For large g, can decode sum of interferences, subtract and decode desired codeword (Sridharan *et al.* 08)



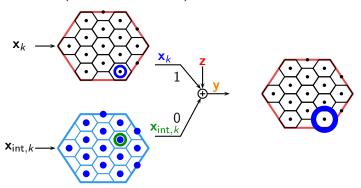
For large g, can decode sum of interferences, subtract and decode desired codeword (Sridharan *et al.* 08)



For large g, can decode sum of interferences, subtract and decode desired codeword (Sridharan *et al.* 08)

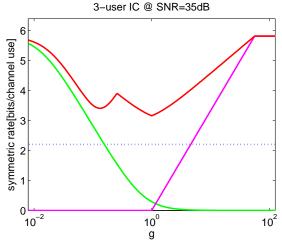


For large g, can decode sum of interferences, subtract and decode desired codeword (Sridharan *et al.* 08)



#### What about finite SNR?

• Successive decoding is optimal in the very strong interference regime.



# The symmetric Gaussian K-user IC: strong interference

$$\mathbf{y}_k = \mathbf{x}_k + g\mathbf{x}_{\text{int},k} + \mathbf{z}_k, \quad \mathbf{x}_k, \mathbf{x}_{\text{int},k} \in \Lambda$$

- Assume strong interference: g > 1 but not  $\gg 1$ .
- For 2-user IC jointly decoding intended message and interference is optimal.
- For K-user IC jointly decoding  $\mathbf{x}_k$ ,  $\mathbf{x}_{\text{int},k}$  seems like a good idea.

#### Question

What rates are achievable?



# The symmetric Gaussian K-user IC: strong interference

$$\mathbf{y}_k = \mathbf{x}_k + g\mathbf{x}_{\text{int},k} + \mathbf{z}_k, \quad \mathbf{x}_k, \mathbf{x}_{\text{int},k} \in \Lambda$$

- Assume strong interference: g > 1 but not  $\gg 1$ .
- For 2-user IC jointly decoding intended message and interference is optimal.
- For K-user IC jointly decoding  $\mathbf{x}_k$ ,  $\mathbf{x}_{\text{int},k}$  seems like a good idea.

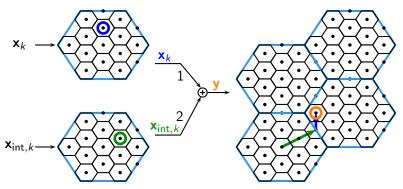
MAC capacity theorem does not hold when both transmitters use the same lattice codebook

 $\Longrightarrow$  Need a new coding theorem.



#### MAC with same lattice code

What's the problem with using the same lattice code?

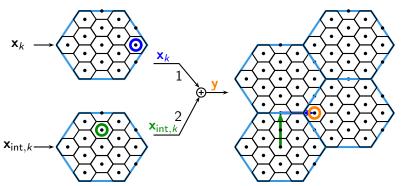


Assume there is no noise at all



#### MAC with same lattice code

What's the problem with using the same lattice code?



**AMBIGUITY!** 



#### MAC with same lattice code: new decoder

Decoding the two lattice points directly is difficult. Instead...

#### New decoder based on compute-and-forward

Decode two equations with integer coefficients and solve for desired codeword.

$$\mathbf{y}_k = \mathbf{x}_k + g\mathbf{x}_{\mathsf{int},k} + \mathbf{z}_k,$$

#### MAC with same lattice code: new decoder

Decoding the two lattice points directly is difficult. Instead...

#### New decoder based on compute-and-forward

Decode two equations with integer coefficients and solve for desired codeword.

$$\left[\begin{array}{c} \tilde{\mathbf{y}}_k^1 \\ \tilde{\mathbf{y}}_k^2 \end{array}\right] = \left[\begin{array}{c} a_{11} & a_{12} \\ a_{21} & a_{22} \end{array}\right] \left[\begin{array}{c} \mathbf{x}_k \\ \mathbf{x}_{\mathsf{int},k} \end{array}\right] + \left[\begin{array}{c} \mathbf{z}_{\mathsf{eff},1} \\ \mathbf{z}_{\mathsf{eff},2} \end{array}\right]$$

#### MAC with same lattice code: new decoder

Decoding the two lattice points directly is difficult. Instead...

#### New decoder based on compute-and-forward

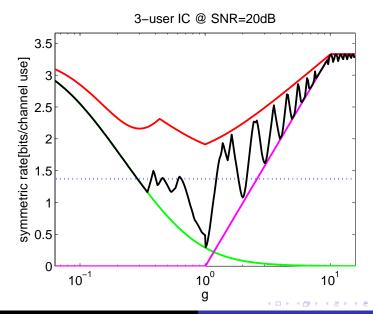
Decode two equations with integer coefficients and solve for desired codeword.

$$\left[\begin{array}{c} \tilde{\mathbf{y}}_k^1 \\ \tilde{\mathbf{y}}_k^2 \end{array}\right] = \left[\begin{array}{c} a_{11} & a_{12} \\ a_{21} & a_{22} \end{array}\right] \left[\begin{array}{c} \mathbf{x}_k \\ \mathbf{x}_{\mathsf{int},k} \end{array}\right] + \left[\begin{array}{c} \mathbf{z}_{\mathsf{eff},1} \\ \mathbf{z}_{\mathsf{eff},2} \end{array}\right]$$

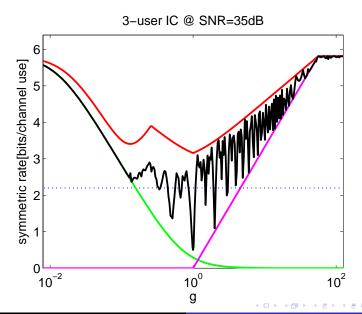
#### Main result

We use this approach to obtain the approximate symmetric capacity region of the K-user symmetric IC up to an outage set.

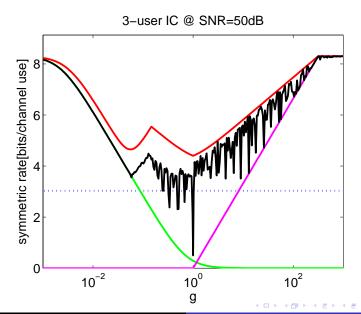
#### The symmetric Gaussian K-user IC: new inner bounds



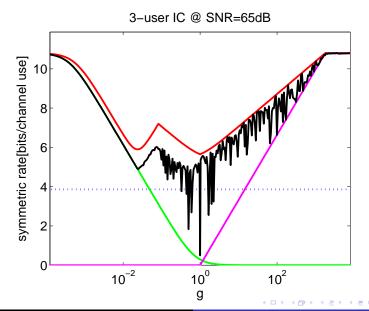
## The symmetric Gaussian K-user IC: new inner bounds



## The symmetric Gaussian K-user IC: new inner bounds



## The symmetric Gaussian K-user IC: new inner bounds



### Theorem - Nazer-Gastpar 11

For the channel  $\mathbf{y} = \sum_{k=1}^{K} h_k \mathbf{x}_k + \mathbf{z}$  the equation  $\sum_{k=1}^{K} a_k \mathbf{x}_k$  with

 $\mathbf{a} = [a_1 \ \cdots \ a_K] \in \mathbb{Z}^K$  can be decoded reliably as long as the rates of all users satisfy

$$R < rac{1}{2} \log \left( rac{\mathsf{SNR}}{\mathsf{SNR} \|eta \mathbf{h} - \mathbf{a}\|^2 + eta^2} 
ight)$$

for some  $\beta \in \mathbb{R}$ .

### Theorem - Nazer-Gastpar 11

For the channel  $\mathbf{y} = \sum_{k=1}^{K} h_k \mathbf{x}_k + \mathbf{z}$  the equation  $\sum_{k=1}^{K} a_k \mathbf{x}_k$  with

 $\mathbf{a}=[a_1\ \cdots\ a_K]\in\mathbb{Z}^K$  can be decoded reliably as long as the rates of all users satisfy

$$R < rac{1}{2} \log \left( rac{\mathsf{SNR}}{\mathsf{SNR} \|eta \mathbf{h} - \mathbf{a}\|^2 + eta^2} 
ight)$$

for some  $\beta \in \mathbb{R}$ .

Use one channel output to decode two equations

$$\mathbf{y}_k = \mathbf{x}_k + g\mathbf{x}_{\mathsf{int},k} + \mathbf{z}_k,$$



### Theorem - Nazer-Gastpar 11

For the channel 
$$\mathbf{y} = \sum_{k=1}^{K} h_k \mathbf{x}_k + \mathbf{z}$$
 the equation  $\sum_{k=1}^{K} a_k \mathbf{x}_k$  with

 $\mathbf{a} = [a_1 \ \cdots \ a_K] \in \mathbb{Z}^K$  can be decoded reliably as long as the rates of all users satisfy

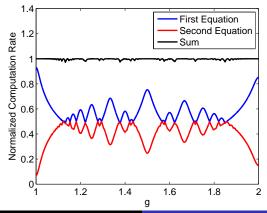
$$R < \frac{1}{2} \log \left( \frac{\mathsf{SNR}}{\mathsf{SNR} \|\beta \mathbf{h} - \mathbf{a}\|^2 + \beta^2} \right)$$

for some  $\beta \in \mathbb{R}$ .

Use one channel output to decode two equations

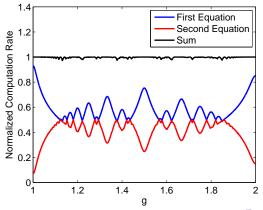
$$\left[\begin{array}{c} \tilde{\mathbf{y}}_k^1 \\ \tilde{\mathbf{y}}_k^2 \end{array}\right] = \left[\begin{array}{c} a_{11} & a_{12} \\ a_{21} & a_{22} \end{array}\right] \left[\begin{array}{c} \mathbf{x}_k \\ \mathbf{x}_{\mathsf{int},k} \end{array}\right] + \left[\begin{array}{c} \mathbf{z}_{\mathsf{eff},1} \\ \mathbf{z}_{\mathsf{eff},2} \end{array}\right]$$

- Decoding two equations is not very effective when channel gains are close to integers.
- This causes the notches in the achievable rate region.
- Fortunately, this rarely happens...



#### **PROMO**

To hear more about this come to "The Compute-and-Forward Transform" tomorrow at 15:20.



## Compute-and-forward for the symmetric K-user IC

Transmit Equations Decoded by Receivers 
$$\begin{bmatrix} \mathbf{x}_1 \\ \mathbf{x}_1 \end{bmatrix} \begin{bmatrix} \mathbf{a}_{11}\mathbf{x}_1 + a_{12} \sum_{\ell \neq 1} \mathbf{x}_\ell \\ a_{21}\mathbf{x}_1 + a_{22} \sum_{\ell \neq 1} \mathbf{x}_\ell \end{bmatrix}$$
$$\mathbf{x}_2 \begin{bmatrix} \mathbf{a}_{11}\mathbf{x}_2 + a_{12} \sum_{\ell \neq 2} \mathbf{x}_\ell \\ \vdots \\ \mathbf{x}_K \end{bmatrix} \begin{bmatrix} a_{21}\mathbf{x}_2 + a_{22} \sum_{\ell \neq 2} \mathbf{x}_\ell \\ a_{21}\mathbf{x}_2 + a_{22} \sum_{\ell \neq 2} \mathbf{x}_\ell \end{bmatrix}$$
$$\vdots \qquad \vdots$$
$$\mathbf{x}_K \begin{bmatrix} \mathbf{a}_{11}\mathbf{x}_K + a_{12} \sum_{\ell \neq 1} \mathbf{x}_\ell \\ a_{21}\mathbf{x}_K + a_{22} \sum_{\ell \neq 1} \mathbf{x}_\ell \end{bmatrix}$$

- From one real equation decode two linearly independent equations with integer coefficients.
- Corresponding computation rates are  $R_{comp,1}$ ,  $R_{comp,2}$ .

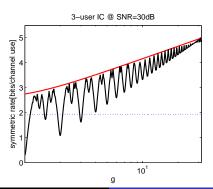


$$C_{\text{SYM}} \geq R_{\text{comp},2}$$

- R<sub>comp,2</sub> is the solution to an integer-least squares optimization problem.
- Inner bound can be found numerically and plotted.

$$C_{\text{SYM}} \geq R_{\text{comp},2}$$

- R<sub>comp,2</sub> is the solution to an integer-least squares optimization problem.
- Inner bound can be found numerically and plotted.



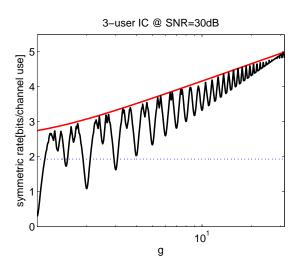
$$C_{\text{SYM}} \geq R_{\text{comp},2}$$

- R<sub>comp,2</sub> is the solution to an integer-least squares optimization problem.
- Inner bound can be found numerically and plotted.

### Question

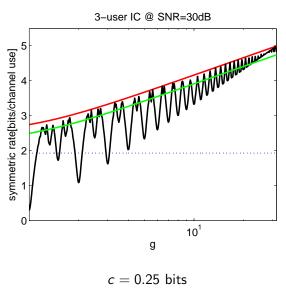
For c > 0 bits, what is the fraction of channel gains g for which

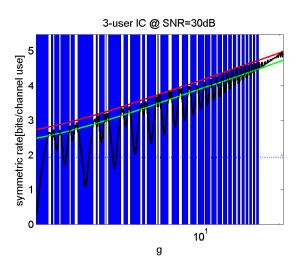
outer bound – inner bound > c bits?



Strong interference regime

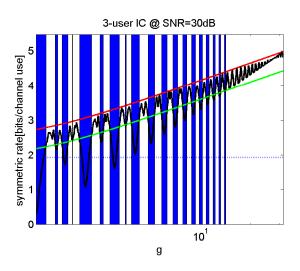






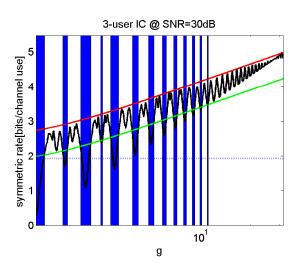
• 48% outage for c = 0.25 bits





• 22% outage for c = 0.5 bits





• 11% outage for c = 0.75 bits



### Theorem - inner bound for the strong interference regime

The symmetric capacity of the symmetric Gaussian K-user IC is lower bounded by

$$C_{\mathsf{SYM}} \geq \frac{1}{4} \log^+(\mathsf{INR}) - \frac{c}{2} - 3$$

for all values of  $1 \le g^2 < \mathsf{SNR}$  except for an outage set whose measure is a fraction of  $2^{-c}$  of the interval  $1 \le |g| < \sqrt{\mathsf{SNR}}$ , for any c > 0.

### Theorem - inner bound for the strong interference regime

The symmetric capacity of the symmetric Gaussian K-user IC is lower bounded by

$$C_{\mathsf{SYM}} \geq \frac{1}{4} \log^+(\mathsf{INR}) - \frac{c}{2} - 3$$

for all values of  $1 \le g^2 < \mathsf{SNR}$  except for an outage set whose measure is a fraction of  $2^{-c}$  of the interval  $1 \le |g| < \sqrt{\mathsf{SNR}}$ , for any c > 0.

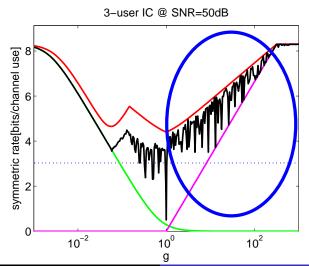
- Outage set approach appeared first in Niesen and Maddah-Ali 11 (next talk)
- The outage set phenomena seems inherent to the problem (Etkin and E. Ordentlich 09).

# Weak interference regime: Lattice Han-Kobayshi

- Similar approach works for the weak interference regime.
- Just choose public and private codewords from lattice codebooks.
- Decoding is done using compute-and-forward.
- Achievable rate is the solution to integer least-squares optimization problem.
- Can be shown to be within a constant gap from outer bound (except for an outage set).

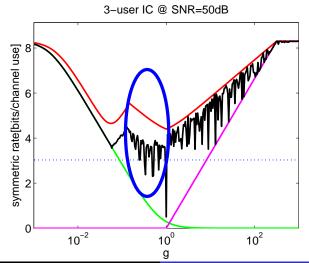
## Summary: new inner bounds

- New inner bound for strong interference regime.
  - Constant gap from outer bound except for outage set.



## Summary: new inner bounds

- New inner bound for moderately weak interference regime.
  - Constant gap from outer bound except for outage set.



## Summary: new inner bounds

- New inner bound for weak interference regime.
  - Constant gap from outer bound for all channel gains.

