Virtual reality for diagnostic assessment of schizophrenia deficits

Thesis submitted for the degree of

"Doctor of Philosophy"

by

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Submitted to the Senate of the Hebrew University of Jerusalem

November 2006

This work was carried out under the supervision of Prof. Daphna Weinshall

Abstract

Schizophrenia is a severe brain disorder comprised of numerous, diverse symptoms. The disease has no genetic or biological marker and the diagnosis of schizophrenia is made on the basis of a psychiatric interview and profiling of manifest symptoms. However individual patients tend to have a different subset of symptoms, none of which is unique to schizophrenia or present in all patients, thus making the diagnosis process subjective and somewhat unreliable. However, accurate and early diagnosis is crucial for a successful long- term outcome in schizophrenia.

My research centers on the development and use of advances in technology in particular virtual reality tools, to develop a new approach to the diagnosis of schizophrenia. The approach is three-pronged. First, I suggest basing diagnosis on a cognitive performance profile composed of objective measures collected during cognitive tests. Second, I conceptualize schizophrenia as a disintegration of neuronal systems in the brain; therefore, a schizophrenia diagnostic profile should include cognitive functions challenging integration processes. Finally, I use virtual reality technology to create a complex multi-modal experimental environment that allows for abnormal integration or interactions among different cognitive processes to be revealed and measured.

To achieve these goals, two key dimensions that should be a part of a schizophrenia diagnostic profile were assessed: sensory integration within working memory, and reality perception. Because auditory hallucinations are the strongest psychotic symptom of schizophrenia, I chose to study audio-visual integration at different cognitive levels. Thus the working memory experiment addresses low level perceptual integration, where a subject needs to remember a combination of sounds, colors and shapes to exit a maze. The reality perception experiment challenges conceptual integration involving bottom-up and top-down processes in the task, which requires the detection of incoherencies in the environment. In this task the participant navigates in a virtual world where a cat barks, the leaves on a tree are red and cows stand at a bus station, creating audio-visual and visual-visual incoherencies of color and location.

For each cognitive dimension I developed a procedure that classifies participants into schizophrenia patients and controls based on their performance on the task. Both cognitive dimensions emerged as good diagnostic tools, predicting correctly 85-88% of the patients. Combining these two dimensions resulted in even better prediction accuracy, as seen in schizophrenia patients who were tested for both cognitive dimensions. Several performance variables showed significant correlations with scores on a standard diagnostic measure, suggesting the potential use of these measurements in the diagnosis of schizophrenia.

This work establishes a framework for the development of a schizophrenia diagnostic profile. The final diagnostic profile of schizophrenia should include additional cognitive dimensions to account for the broad spectrum of schizophrenia symptoms such as executive, emotional and social functions.

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Chapter 1

Introduction

1.1 Schizophrenia

Schizophrenia is a complex disorder, influencing the highest mental functions to the extent that a personality is lost. It involves multiple symptoms, which are usually divided into positive and negative¹. The hallmarks of positive symptoms are an excess or distortion in normal function, and include hallucinations (mostly auditory, though visual, tactile or olfactory varieties can occur) and delusions (false unshakable beliefs). Hallucinations and delusions are so strong that they dominate the perception, actions and behavior of the patient^{2,3}. Negative symptoms refer to a decrease in normal function and include disorganized thinking and speech, social withdrawal, absence of emotion and expression, reduced energy, motivation and activity⁴.

In general, the first episode tends to occur in late childhood or early adolescence, (18-25 in males and 25-35 in females)^{5,6}. Schizophrenia has a deteriorating course with psychotic and post-psychotic episodes alternating over time. 22% recover completely after one psychotic episode (Group 1 Figure 1). The remainder experience recurrent psychotic episodes with different extents of impairment accumulating after each episode. 35% of all patients continue to deteriorate in cognitive, social and self-caring functions after each episode (Group 4 Figure 1). About half of all patients require hospitalization or extensive support environment.



Figure 1 Schizophrenia: Course of Illness

4 typical courses of schizophrenia. **Group 1** – singly psychotic episode with full recovery; **Group 2** – recurrent psychotic episodes without cognitive or functional impairment; **Groups 3** – recurrent psychotic episodes with impairment after first episode only; **Group 4** – recurrent psychotic episodes with deterioration of cognitive function after each episode.

Janice C. Jordan, a schizophrenic, describes her inner world in the book A drift in An

Anchorless Reality.

"During my adolescence, I thought I was just strange. I was afraid all the time. I had my own

fantasy world and spent many days lost in it. I had one particular friend. I called him the

"Controller." He was my secret friend... I could see him and hear him, but no one else

could...

He spent a lot of time yelling at me and making me feel wicked. I didn't know how to stop him from screaming at me and ruling my existence... I really thought that other "normal" people had Controllers too...

I thought the world could read my mind and everything I imagined was being broadcast to the entire world. I walked around paralyzed with fear... At one point, I would look at my coworkers and their faces would become distorted. Their teeth looked like fangs ready to devour me. Most of the time I couldn't trust myself to look at anyone for fear of being swallowed... I knew something was wrong, and I blamed myself."

Schizophrenia affects 1% of the world's population, regardless of such factors as geography, race, or socioeconomic status. There is, however, a genetic factor: 6-17% o first-degree relatives of schizophrenia patients develop schizophrenia, whereas this figure can reach 46% when both parents are affected and 48% in monozygotic twins ⁷. Another 5% of the world's population meet certain criteria for schizophrenia and are classified as exhibiting a schizoid personality, schizotypal personality disorder, schizoaffective disorder, or having atypical psychoses or a delusional disorder⁸.

The term schizophrenia was introduced by the psychiatrist Eugene Bleuler in the beginning of the 20th century. It is derived from the Greek words 'schizo' (split) and 'phrene' (mind) and refers to the lack of interaction between thought processes and perception. However, schizophrenia was identified as a mental disease even earlier, by Emile Kraepelin in 1887. Since then, after over a hundred years of research, many deficiencies of schizophrenia patients have been characterized and many models proposed. However, even today the etiology of schizophrenia remains a mystery, and the disease has no cure.

1.2 Schizophrenia as Disintegration Disorder

The leading theories today portray schizophrenia as a disturbance in integration. There is growing evidence that supports the hypothesis that schizophrenia is associated with some disturbance in brain connectivity:

1. Principal component analysis of PET data suggests that the normal inverse relationship between frontal and temporal activation during verbal fluency task is

disturbed, showing a weak positive correlation between cerebral activation and frontal and temporal areas in schizophrenia patients. This suggests a possible dissociation between the two areas in these patients⁹. This finding was replicated with fMRI studies¹⁰.

2. Phencyclidine (PCP), a potent inhibitor of NMDA receptors to glutamate, induces schizophrenia-like symptoms. Glutamate neurotransmission plays an important role in cortico-cortical interactions¹¹.

3. Many studies show a reduced level of activation in cortical areas engaged in a target task, as well as poor correlation or synchronization between brain areas during different tasks. Many involve temporal-frontal activation on language related tasks, from verbal recall and associations to mental imagery^{12,13}.

4. Tononi and Edelman¹⁴ defined a measure of integration in the brain – a functional cluster - as a subset of regions that are much more strongly interactive among themselves than with the rest of the brain. When analyzing the PET data from healthy controls and schizophrenia patients they found a significant difference between the two groups in functional interactions within the activated cluster, in spite of similar activation values.

As a result, a number of theories have implicated a disruption in connectivity (under different guises) as the cause of the disease, e.g., the "cognitive dysmetria" theory proposed by Nancy Andreasen¹⁵, the "disconnection syndrome" coined by Frith and Friston¹⁶. Peled¹⁷ suggested viewing the disturbance in connectivity as "Multiple Constraint Organization" (MCO) breakdown. These theories will be described briefly below.

Disconnection Syndrome

Frith and Friston^{9,16} term schizophrenia a "disconnection syndrome". They used PET and fMRI measurements during verbal tasks to demonstrate reduced correlation between frontal and temporal area activation. Abnormal integration of dynamics between these two regions led them to suggest that schizophrenia may be best understood in terms of abnormal interactions between different areas, not only at the levels of physiology and functional anatomy, but at the level of cognitive and sensorimotor functioning.

Cognitive Dysmetria

Nancy Andreasen¹⁵ defines schizophrenia as "cognitive dysmetria": "poor mental coordination" in prioritizing, processing and responding to information. These features help account for broad diverse symptoms in schizophrenia. The network responsible for coordination is distributed not only among cortical but also sub-cortical areas (thalamus and basal ganglia) and the cerebellum, whose role in cognition has attracted growing recognition. Substantial anatomical connections make their way from the cortex to the cerebellum and back to the cortex via sub-cortical nuclei. Cortical areas exchanging reciprocal connections with the cerebellum include motor, sensory, limbic and prefrontal and parietal association areas. Andreasen's group showed that in normal subjects the level of cerebellar activation correlates with prefrontal cortex activation on a number of cognitive tasks that were unrelated to motor activity. For this reason, she suggested studying cortico-thalamic-cerebellar-cortical circuitry in schizophrenia.

MCO breakdown

Another re-conceptualization of schizophrenia was proposed by Avi Peled¹⁷. The organization of numerous interconnected networks in the brain can be viewed as a Multiple

Constraint Organization (MCO). Each connection between two units A and B defines a constraint. The activity of unit B is constrained by the activity of unit A and by the strength of the connection. Thus the activity of every unit is a result of multiple constraints. The concordance of one unit's activity with all its neighbors results in multiple constraint satisfaction. The compliance of all units achieves MCO. This model can be readily transferred to neural connectivity. The breakdown of MCO results in dis-connectivity or over-connectivity that can lead to numerous symptoms of schizophrenia and a diversity of different breakdown patterns. A detailed description is provided below.

Schizophrenia and MCO breakdown

Conceptualizing schizophrenia as Multiple Constraint Organization (MCO) breakdown, we use the map of hierarchical and integrative organization of the brain as proposed by Mesulam⁴⁹ to define breakdown patterns. The map is shown in Figure 3. The hierarchy is depicted as a centrifugal arrangement. The lowest hierarchical areas are on the outmost circle, with complexity increasing toward the center. The second dimension in this map is a division by senses, each occupying a different sector. The first hierarchical level is occupied by primary sensory areas, which contain modality-specific topographic maps of the outside world as perceived by the sensory organs. Next are the unimodal association areas - areas encoding for basic features within the same modality, such as color and shape in vision.



Figure 3 Hierarchy of Brain Areas

This map, taken from Mesulam¹⁴, conveniently represents hierarchical brain organization. Brain areas are organized on centrifugal circles from the lowest hierarchical areas, such as primary sensory areas, - on the outer circle to the highest hierarchical transmodal association areas – on the innermost circle. Each sense is represented by a sector.

Next are the multi-modal association areas, comprised of regions in prefrontal, temporal and parietal cortices and the parahippocampal complex; these areas participate in the transformation of perception into recognition; for example, acoustic symbols into word meanings. The highest level of the hierarchy includes the transmodal association areas, such as the limbic cortex. These areas constitute the highest mental functions and cover conceptual and emotional sensation, uniting the external and internal states into a single personal reality. This map encompasses parallel paths of information flow, intramodal as well as multimodal areas, and bottom-up and top-down processes, thus providing a convenient framework for the determination of the sub-types of MCO breakdown resulting in schizophrenia symptoms.

For example, the left temporal cortex is responsible for retrieving word meanings from perceived sounds, and for associating auditory perception with our knowledge about the world. The disintegration of language perception from primary auditory areas and from higher centers may account for auditory hallucinations. Delusions may result from MCO breakdown in the highest association areas, by allowing states that are "wrong" or impossible given the constraint system. Usually our perception of reality is limited or even corrected by sensory information from the outside world and our internal knowledge about the world. The breakdown of these constraints will create delusional concepts and percepts in spite of any information suggesting otherwise, thus making them unshakable. This pattern may define a "reality-distortion" type of schizophrenia, as illustrated in Figure 4b.

Disorganized schizophrenia is manifested by a mixture of conditions, when delusions or hallucinations may be over-imposed by a weakening of associations or by unorganized behavior. This symptomatic profile may be conceptualized as extensive breakdown both between and within numerous areas, as in Figure 4a.

A profile involving a poverty of symptoms (both volition and emotions) is illustrated in Figure 4c; it can be explained as a breakdown of connectivity in high association areas such as prefrontal cortex, connecting sensation and action. Stimuli from the psychosocial environment fail to activate responses in the patient, causing a volitional and emotional deficit.



Figure 4 Types of Schizophrenia as Defined by MCO-breakdown Theory

A. Disorganized schizophrenia, manifesting a wide variety of symptoms, may be explained by extensive breakdown of MCO. **B**. Reality Distortion schizophrenia, mainly manifested in auditory hallucinations and delusions, can be explained by a breakdown of constraints in the auditory speech perception area and the highest association areas. **C**. Poverty schizophrenia, exhibiting negative symptoms, can be modeled as a breakdown between action and sensation networks.

1.3 Cognitive Impairment in Schizophrenia

Over a hundred years of research characterized many cognitive deficiencies of schizophrenia patients. As a group, schizophrenia patients are impaired on almost every cognitive task possible. In 2004 the National Institute of Mental Health established the key cognitive dimensions compromised in schizophrenia – the MATRICS consensus cognitive battery¹⁸, see Table 1, where speed of processing, memory and attention are considered the most compromised dimensions¹⁹.

Neurocognitive correlates of schizophrenia symptoms are extensively studied. It is generally agreed that the severity of negative symptoms correlates with most cognitive deficits²⁰,

including: executive function, Wisconsin card sorting test (WCST), trail making test, verbal fluency, working memory, attention, and motor speed. The results are less clear cut regarding positive symptoms. While some studies report the correlation of positive symptoms with working memory²¹, attention²²⁻²⁴ and verbal memory^{25,26}, other researches did not find correlation of positive symptoms with working memory^{20,27} or attention²⁰. For example, in a work²⁰ aimed to study the relationship between psychopathology and cognitive functioning, 58 schizophrenia patients were assessed for: executive function, verbal and visual working memory, verbal and visual memory, attention, visuo-spatial ability and speed of processing. Only two measures were found to be correlated with the severity of positive symptoms (mean of a group), including poor performance on semantic verbal fluency (r=0.35, P=0.005) and Trail Making Part A (r=0.43, P=0.001). No correlation was found between positive symptoms and working memory or attention as reviewed in the literature²¹⁻²⁴.

obgination in ochizophrenia/ consense	as cognitive battery
	Category Fluency
Speed of Processing	Brief Assessment of Cognition in
speed of Frocessing	Schizophrenia (BACS) - Symbol-Coding
	Trail Making A
Attention (Vigilance	Continuous Performance Test - Identical
Attention/vignance	Pairs (CPT-IP)
	Verbal: University of Maryland - Letter-
Working Momony	Number Span
working memory	Nonverbal: Wechsler Memory Scale
	(WMS) - III Spatial Span
Varhal Laarning	Hopkins Verbal Learning Test (HVLT) -
verbai Learning	Revised
Visual Learning	Brief Visuospatial Memory Test (BVMT) -
v Isuar Lear ning	Revised
Reasoning and Problem Solving	Neuropsychological Assessment Battery
Reasoning and Froblem Solving	(NAB) - Mazes
	Mayer-Salovey-Caruso Emotional
Social Cognition	Intelligence Test (MSCEIT) - Managing
	Emotions

Table 1The MATRCIS (the Measurement and Treatment Research to ImproveCognition in Schizophrenia) consensus cognitive battery

Other studies give a mixed picture. In one study, positive symptoms were correlated with Digit Span (r=- 0.42, p = 0.02) – a working memory measure, but not correlated with WCST, Trail making A and B, Verbal Fluency and WAIS-R²⁴. In a study dedicated to the relationship between symptoms and working memory, the severity of positive symptoms was found to be uncorrelated with performance on any of the measures²⁷. In another study, no clear association was found between positive symptom scores and neurocognitive deficits²⁸.

Overall, the extensive review of verbal declarative memory by Cirillo²⁹ reveals that positive symptoms showed correlation with memory measures in 8 out of 29 studies. However, two main issues complicate the comparison between different studies. First, the positive symptoms group may contain different symptoms in different studies, with some disagreement regarding such measures as depression, disorganization and excitement. Second, many studies test correlation with a group of symptoms, usually summing over all symptoms in a group, and only some look into the correlation with specific symptoms.

Auditory hallucinations are of particular interest. Brebion et al³⁰⁻³² found a number of measures correlated with auditory hallucinations, including: poor temporal context discrimination (remembering to which of two lists a word belonged), and increased tendency to make false recognition of words not present in the lists or misattributing the items to another source¹. An association between hallucinations and response bias (reflecting the tendency to make false detections) was also reported in a signal detection paradigm. Bentall and Slade³³ used a task in which participants were required to detect an acoustic signal randomly presented against a noise background. The authors then compared two groups of schizophrenia patients, who differed in the presence or absence of auditory hallucinations, on

¹ For example, they may confuse the speaker - experimenter or subject, or they may confuse the modality - was an item presented as a picture or a word.

the same task. The two groups were similar in their perceptual sensitivity, but differed in their response bias. Not surprisingly, patients with hallucinations were more willing to believe that the signal was present.

Very few studies examined the diagnostic value of the cognitive tests battery. One possible reason is that any given patient may fall within the normal range in many tasks. The common way to report a cognitive deficiency compares the means of the patient and control populations, measuring the statistical significance of the difference. This procedure blurs out individual differences, i.e. how many patients performed in the normal range, and how many control subjects fell out of the normal range. Some reviews report that less than 40% of schizophrenia patients are impaired^{34,35}, while others state that a fraction of 11% up to 55% of schizophrenia patients perform in the normal range on different tasks³⁶⁻³⁸. It is therefore not clear whether each patient manifests some subset of cognitive impairments, or whether some patients may preserve a completely normal cognitive function.

In an extensive study Palmer et al³⁹ aimed to explore the prevalence of neuropsychological (NP) normal subjects among the schizophrenia population. The authors examined 171 schizophrenia patients and 63 healthy controls using an extensive neuropsychological battery, measuring performance on eight cognitive dimensions: verbal ability, psychomotor skill, abstraction and cognitive flexibility, attention, learning, retention, motor skills and sensory ability. Each dimension was measured by a number of tests. A neuropsychologist rated functioning in each of the eight NP domains described above, using a 9-point scale ranging from 1 (above average) to 9 (severe impairment). A participant was classified as impaired if s/he had impaired score (\geq 5) on at least two dimensions. Following this procedure, 27.5% of the schizophrenia patients and 85.7% of the controls were classified as

NP-normal. 11.1% of the patients and 71.4% of the controls had unimpaired ratings in all 8 dimensions. The proportion of impaired patients in each dimension varied from 9% to 67%.

In light of these disturbing results, it has been argued by Wilk et al⁴⁰ that although there exists a sub-group of patients that achieves normal scores relatively to the general population, their score may nevertheless be lower than expected from premorbid functioning. In other words, this sub-group might have had a higher than average premorbid score. To test this assumption the authors tested 64 schizophrenia patients and 64 controls individually matched by their Full-Scale IQ score. Now the patient group showed markedly different neuropsychological profile. Specifically, these patients performed worse on memory and speeded visual processing, but showed superior performance on verbal comprehension and perceptual organization. These finding support the hypothesis that cognitive functioning was impaired in these patients relatively to their premorbid level. It's worth emphasizing that the control group showed a consistent level of performance on all measures, while the patients exhibited a non-uniform pattern, with some measures matching or superior to the controls group, and some inferior.

In summary, although many cognitive deficits were established among schizophrenia patients, the majority of them are correlated with negative symptoms, and each one is only exhibited by a fraction of the patients. Without individual adjustments taking account of one's IQ and possibly other factors, cognitive tests are unable to reliably discriminate schizophrenia patients from the remaining population. Thus there is still a need for cognitive tests that will correlate with positive symptoms, especially with hallucinations, and for tests which will show impairment in a greater part of the patient group.

1.4 The Problem of Diagnosis

Schizophrenia is expressed in numerous and diverse symptoms. Many of the positive and negative manifestations combine to different extents throughout the course of the disease. Each patient manifests a different sub-set of symptoms. On the other hand none of the symptoms exhibited is unique to the disorder. Hallucinations, for example, may occur as a result of drug or alcohol abuse. Delusions are present in manic depressive patients. Negative symptoms are more subtle and harder to define; they may be misinterpreted as personality traits, or may be confused with a reaction to certain life situations.

There is no biological marker to diagnose schizophrenia, and today diagnosis is made primarily by psychiatric evaluation which relies on symptoms, medical history, interviews, and observation. The diagnosis of all mental disorders in general, and of schizophrenia in particular, is based on criteria specified in the Diagnostic Statistical Manual-IV (DSM). The psychiatrist basically uses the DSM-IV as a flowchart of 'NO'/'YES' question to reach a final node containing the diagnosis. Schizophrenia diagnostic criteria mainly rely on the manifestation, and duration of symptoms and the exclusion of other medical conditions that can result in similar symptoms. This procedure is difficult and somewhat unreliable, since each patient's subset of symptoms may be evaluated differently even by expert observers.

In recent years the diagnostic approach to mental disorders in general, and to schizophrenia in particular, has come under massive attack^{41,42}. The recently appointed National Institute of Mental Health agenda for the upcoming DSM-V (the fifth edition of the diagnostic statistical manual, which is to be issued in 2010) states that the DSM-defined syndromes have been unsuccessful in forming distinct classifiable entities. More crucially, none of the DSM-defined syndromes have been found to be related to any neurobiological phenotypic marker

or gene that could have etiological relevance. DSM-IV entities cannot be the equivalent of diseases and are more likely to obscure than to elucidate research findings. Criticism has reached a level where the Research Agenda for DSM-V calls for a paradigm shift in psychiatric diagnosis⁴³.

Schizophrenia is a major economic liability in the western world: in 2002 in the US alone, overall costs linked to schizophrenia were estimated at \$62.7 billion⁴⁴. Even though much progress has been made in therapeutic treatment, schizophrenia still has no cure. Nevertheless, early and accurate diagnosis is critical for a better outcome of schizophrenia-related deficits⁴⁵.

1.5 Approach: Schizophrenia Diagnostic Profile

This dissertation describes a novel diagnostic approach that aims to combine the latest neuroscientific insights into schizophrenia with leading edge technology. It has three main components: (i) describing the patient by personal cognitive profile; (ii) viewing schizophrenia as a disruption in integration; and (iii) using virtual reality as a testing tool. Cognitive functions rather than symptoms are used as a basis for describing a patient by a cognitive performance profile. The success of such a cognitive profile greatly depends on its ability to capture the main impairments of schizophrenia.

One of our routine brain functions involves the constant integration of parallel independent information streams into a unified coherent percept of reality. Recent theoretical models portray schizophrenia as a disruption in this global brain integration, whose breakdown seems clinically evident in schizophrenia^{46,47}. For example, the auditory hallucinations typical of schizophrenia patients can occur when speech perception is not constrained by

primary visual and auditory inputs, enabling the individual to experience voices of nonexistent speakers⁴⁸.

Therefore any schizophrenia diagnostic profile must rely on integrative tests. Further, to test the hypothesis of disrupted integration, theoretical modeling must be backed by a powerful measurement tool that challenges the brain in an integrative manner. Virtual Reality (VR) technology provides the ultimate experimental environment that can reveal abnormal integration because it is complex and multi-modal on the one hand, and fully controllable on the other.

Personal profile

Although there is a general consensus that schizophrenia is a brain disorder, the diagnosis and evaluation of a patient's condition does not rely on brain functions or anatomical regions. Diagnosis is based on the symptoms which for the most part (with the possible exception of hallucinations and delusions) are not connected to the compromised brain mechanism and provide no indication as to which medication would help best. We propose to describe a patient by a performance profile, containing measurements taken during cognitive tests. For example, a diagnostic profile of schizophrenia may contain an evaluation of working memory, executive function, learning abilities and emotional function (see Figure 2). Though as a group schizophrenia patients are impaired on almost every cognitive task possible, a given person can fall within the normal range on many tasks. A subject will thus be described individually by his/her deficiencies.

Human cognitive functions are widely studied in a number of ways, including in healthy subjects, and in those suffering from brain injuries, neurological diseases and mental

disorders. Describing a patient by cognitive profile will allow for a better integration of existing knowledge in both directions: a better understanding of schizophrenia based on other areas of research, and more complete description of cognitive function based on a research on a schizophrenia population. This approach is not specific to schizophrenia and may be applied to mental disorders in general. The benefits of such a profile to both the patient and a treating psychiatrist are manifold: the measures are objective, each patient receives a unique characterization and cognitive deficiencies are readily related to neuroscientific knowledge.



Figure 2 Diagnostic Profile of Schizophrenia

The diagnostic profile should consist of cognitive functions impaired in schizophrenia. Examples of such functions, such as working memory and reality perception, are shown as sectors in a polar plot. A personal profile of a hypothetical subject, containing measurements collected during different cognitive tasks, is shown as a red line. The distance from the center indicates the degree of impairment, with larger distance indicating greater impairment.

To build a successful diagnostic profile a comprehensive theoretical perspective is required.

The leading theories today portray schizophrenia as a disturbance in integration. Therefore

the diagnostic profile of schizophrenia should address integrative functions.

Virtual reality

Immersive virtual reality is a term describing systems in which the user becomes fully immersed in an artificial, three-dimensional world generated by a computer. The sensation of immersion is typically achieved through the use of a head-mounted display (HMD). A typical HMD contains two miniature display screens and an optical system that channels the images from the screens to the eyes, thereby presenting a view of a virtual world. A motion tracker continuously measures the position and orientation of the user's head and allows the image-generating computer to adjust the scene representation to the current view. As a result, the viewer can look around and walk through the surrounding virtual environment in a similar fashion to the real world.

Virtual reality technology is especially suitable for studying schizophrenia for two main reasons. First, schizophrenia primarily involves high-level brain functions, and therefore some of its symptoms (such as abnormal integration) may be manifested only in an ecologically valid environment with a strong sense of presence. Tapping multiple cognitive and sensorimotor processes within the same testing environment makes it possible for abnormal integration or interactions among different cognitive processes to be revealed and measured.

Second, by replacing the traditional "boring" testing procedure with a "fun" game in a virtual environment, the notoriously low motivation and lack of concentration exhibited by schizophrenia patients can be better overcome. In the standard tests with buttons to press for 'YES'/'NO' answers, a subject can press buttons without being involved in the task. In populations with low motivation, it is crucial to measure true inability to perform a target task and not general impairment in motivation and concentration. To assure maximal subject

involvement in a task, we combined an attractive game with a test design that requires completing a mission.

1.6 Overview of the Results

Following the Methods description, in Chapter 2, we describe the findings of the two main experiments: the experiment studying sensory integration within working memory in Chapter 3, and the Incoherencies Detection task measuring reality perception in Chapter 4. We further discuss how these cognitive dimensions can be combined in a schizophrenia diagnostic profile in Section 4.2 and compare their discriminative power with standard cognitive tests in Section 4.3. During the Working Memory experiment we found that schizophrenia patients did not differ from the controls on the perseveration measure, as was expected from reports in the literature on similar tasks. We investigated the reason for the lack of perseveration in an additional experiment, Section 3.2. Finally, in Chapter 5, we report the results on audio-visual integration in normal subjects studied using the incoherencies detection paradigm.

Chapter 2

Methods

2.1 Goal

Our goal was to develop cognitive tests that could establish a partial diagnostic profile of schizophrenia. Taking Multiple Constraint Organization breakdown as our working hypothesis, we aim to create a disintegration profile of a subject by assessing integration at different hierarchical levels of brain organization. The disintegration profile is complete when the battery of psychophysical experiments covers all the integrative processes tentatively involved in schizophrenia. Given that the most common psychotic symptom is auditory hallucinations, we focused on testing the interaction of the auditory modality with other areas.

We designed two experiments that reflect two dimensions of the schizophrenia diagnostic profile: working memory and reality perception. The first test – the Working Memory Experiment – was designed to test sensory integration within working memory - a simple form of integration that occurs at low cognitive levels: intra-modal integration within the visual domain such as color and shape, and multi-modal audio-visual integration. The second experiment – the Incoherencies Detection Task - addressed audio-visual integration in combination with higher associative areas in top-down and bottom-up processes, by means of incoherency detection in the environment.

2.2 Experimental Design

An additional goal of the first experiment was to establish construct validity of Virtual Reality in relation to standard diagnostic criteria and commonly used tools for assessing symptoms and signs in schizophrenia. To the best of our knowledge this is the first attempt to use VR for measuring schizophrenia deficits. Thus we sought to demonstrate that working memory impairment, already established in schizophrenia patients⁵⁰, would be manifested in virtual reality setup similarly to what is exhibited in the standard test.

We designed a working memory task that extends the standard test and exploits the advantages of virtual reality: (i) we use a complex game environment to activate multiple processes instead of isolating a specific process; (ii) subjects need to remember both auditory and visual features at the same time, whereas standard measures are either pure visual or pure auditory memory tasks; (iii) while maintaining data in working memory, subjects must use visual-motor skills to navigate in the maze.

In the Working Memory test the subject navigates in the virtual maze using a joystick and head movements. To exit the maze s/he needs to remember a door-opening rule - a combination of color, shape and sound, which changes from time to time. (The detailed description of the experiment will follow in Section 3.1.1).

The Incoherencies Detection Task measures abnormal reality perception in schizophrenia patients using a detection paradigm within real-world experiences. A subject is required to detect various incoherent events inserted into a normal virtual environment. Everything is possible: a guitar can sound like a trumpet, causing audio-visual incoherency; a passing lane can be pink, and a house can stand on its roof, resulting in visual-visual incoherencies of

color and location respectively. A well-integrated brain should easily detect these incoherencies, whereas a disturbed, incoherently acting brain should demonstrate poor detection ability. Such failures presumably reflect disturbances of brain organization, and could therefore provide a diagnostic tool for schizophrenia. (The full description of the task is given in Section 4.1.1).

2.3 Virtual Reality Development

The Virtual Reality environments used in the experiments were fully in-house developed. The Virtual Reality includes hardware elements: a Head Mounted Display, positional tracker and joystick, and software – a 3D-grpahics computer game. The computer games were developed in C++, using graphics packages DirectX and OpenGL. The computer game had three main functions: generating a realistic and interactive 3D world, coordination with navigation devices, and measuring all required parameters.

The working memory experiment had a relatively simple 3D world, containing only a few rooms that were relocated to create continuity of the maze as the user proceeded. Figure 5A shows an example of a room with three doors. The navigation and collection of measures were the most challenging parts of the technical preparation of this experiment. The navigation was implemented by two devices: the joystick that allowed movement in four directions, and the head tracker that allowed for movement change accordingly to the user's head orientation. The experimental setup is shown in Figure 5B, where a subject sits in a swivel chair and cables hang from the ceiling to enable convenient rotation in the virtual room.



Figure 5 Virtual Maze Environment

A. A room in the virtual maze used in the working memory task. The room contains three doors displaying a colored shape and a sound is played when a subject looks at a door. The subject needs to choose one door and open it to continue navigating in the maze. **B**. During the task the subject sits in the swivel chair, wearing an HMD with a positional tracker attached to it, and uses a joystick to navigate.

During navigation a subject passes through "challenge" rooms, where s/he needs to remember a door-opening rule and make decisions, and "delay" rooms, whose purpose was to create a delay between "challenge" rooms. We needed to keep a constant 20 second delay throughout the task and across the subjects. This was complicated by the fact that, the speed of navigation differed across subjects, and even for a given subject at different times. We therefore developed a heuristic procedure to achieve an average delay of 20 seconds. After each "delay" room the decision was reached as to whether to add another "delay" room, based on the average speed of the subject in last five rooms and the duration of the current delay. In addition, after a decision on last "delay" room was made, we manipulated the speed of door opening as well as the subject's speed to keep the delay as close to 20 seconds as possible.

Due to the use of virtual reality we could collect non-standard measurements. For example, by recording head position at any time we could evaluate the subject's decision strategy – how many doors s/he examined before making the decision, length of gaze at each door, etc. The Incoherencies Detection Task contains a very complex 3D world. Obviously for an incoherent event to pop-out the remaining virtual world has to be highly coherent and realistic. The main technological challenge that we encountered was to build an attractive and realistic environment that works in real-time. Unlike the Maze world that is based on closed-space objects – the rooms, where the program has to render one or two rooms at any given time, the Incoherencies Detection world is an open space consisting of numerous 3D objects. The elements that contribute to realism such as good quality images, complex 3D objects, and animation are very expensive in terms of rendering time and as a result affect the ability to react to the user's actions in real time. The solution to this problem included components at all levels: from hardware - using a stronger computer and graphics card, to software: graphic techniques for "smart" rendering, and embedding videos for motion scenes instead of complex object animation.

The virtual world for the Incoherencies Detection Task contained a "living" neighborhood, shopping streets and a market. To achieve maximal realism we used texture mapping of carefully designed photos wherever possible (see Figure 6 A&B). To enhance the realism of the virtual city, we included three dimensional moving vehicles, some with normal and some with incoherent sounds. One example is the police car passing by, shown in Figure 6C. However, as three dimensional object animation is expensive in rendering time, and most of the time a naturalistic animation of 3D objects is very difficult to achieve, we used video extensively. A video of a market vendor, embedded into a shop window, is shown in Figure 6D. Overall, the virtual city contained 22 embedded videos (see two additional examples in Figure 6 E&F).



Figure 6 Incoherencies Detection Task Environment

A. A living neighborhood. B. Shopping street. C. Police car going through an intersection – an example of a complex 3D animated object. D. A video scene – a market vendor, embedded in the environment. E. A woman washing the floor – a video embedded into a door frame. F. A talking parrot sits in a window, another example of a video scene.

Designing audio properties of the virtual environment was another serious challenge that we encountered. First of all we added a constant ambient sound as a background. Creating sound incoherencies turned out to be the most difficult part. We conducted a number of pilot trials on students to create sound incoherency events that are perceived as such. Specifically, the

difficulty lies in achieving a compelling perception that a specific object emits an incoherent sound. We found that a number of aspects help foster such a perception: (i) a moving object is more readily linked to a sound synchronized with a source object's movements than a static object; (ii) localizing the sound in space along the left-right axis significantly contributed to the desired sound-object linking, (we used a specialized sound package to create different left and right audio streams that were delivered through two loudspeakers located on the left and right sides of the subject); (iii) a sound should have some properties. For example, a sound that can be easily heard on the streets, such as human voices or traffic sounds, will not be linked to any object and will not create incoherency. On the other hand, we noticed that an incoherency is more successful if an incoherent sound shares some similarity with a source object.

2.4 Algorithmic Tools

In the Working Memory experiment we characterized each subject by a performance profile consisting of 26 measurements. We developed a procedure classifying subjects into schizophrenia patients or controls based on estimation of the distribution of performance profiles of the healthy population. However, we had only 21 control subjects, which is much too small a sample to evaluate the distribution. We therefore investigated different techniques for feature selection to find a smaller subset of features that would give good classification results. We further describe the algorithms for feature selection which were used for data analysis in Section 3.1.5.

2.4.1 Mutual Information Algorithms

The information approach to feature selection is based on a calculation of the Mutual Information between a feature (X) and a class label (Y):

$$I(X,Y) = \sum_{x} \sum_{y} P(x,y) \log(\frac{P(x,y)}{P(x)P(y)})$$

The Mutual Information is calculated for each feature, and the features are graded from best to worst. A simple improvement in feature selection based on mutual information would be to take a feature that adds maximal information to the existing feature set.

Let F be the feature set, F_i – individual feature, L – label, and G – a chosen set.

 $G = \{\}.$

Algorithm:

1. For each F_i in F\G calculate I, the information it adds to G

$$I(F_{i}, L/F_{1}...F_{k}) = \sum_{F_{1}...F_{k}} \sum_{L} P(F_{i}, L/F_{1}...F_{k}) \log \frac{P(F_{i}, L/F_{1}...F_{k})}{P(F_{i}/F_{1}...F_{k})P(L/F_{1}...F_{k})}$$

2. Choose F_i with maximal I.

2.4.2 Margin Based Feature Selection

RELIEF

RELIEF is a popular feature selection algorithm proposed by Kira and Rendell⁵¹. In RELIEF, each feature is assigned a weight indicating how well it separates neighboring examples. For every data point its nearest hit – the nearest point from the same class, and its nearest miss – a point from the opposite class are found for each feature. The feature's weight is updated based on the difference between the nearest hit and the nearest miss for that feature.

Algorithm:

For each data point X

For each feature F_i update its weight:

$$W_i = W_i + (X_i - nearmiss(x)_i)^2 - (X_i - nearhit(x)_i)^2$$

Simba

The Iterative Search Margin Based Algorithm (Simba) proposed by R. Gilad-Bachrach et al⁵² is one of the many enhancements that have been developed for RELIEF. Simba reevaluates the distances according to the updated weights and is better at eliminating redundant features.

Algorithm:

- 1. initialize w = (1, ..., 1)
- 2. for t=1...T
 - pick randomly an instance x from S
 - calculate nearmiss(x) and nearhit(x) with respect to S\x and the weight vector
 - for i=1...N calculate

$$\Delta_{i} = \frac{1}{2} \left(\frac{\left(x_{i} - nearmiss(x)_{i}\right)^{2}}{\|x - nearmiss(x)\|_{w}} - \frac{\left(x_{i} - nearhit(x)_{i}\right)^{2}}{\|x - nearhit(x)\|_{w}} \right) w_{i}$$

- $W = W + \Delta$
- 3. $w \le w^2 / ||w^2||$, where $(w^2)_i := (w_i)^2$

Greedy Feature Flip

Greedy Feature Flip (G-flip)⁵² is another algorithm proposed by the same group. It converges to a local maximum, and thus does not require a defined size of the feature set as an input. At each step, for every feature it evaluates a margin term with and without the feature, and

decides whether to keep or remove it. The algorithm stops when no change is made to the feature set.

Algorithm:

- 1. initialize the set of chosen features to the empty set: F = Ø
- 2. for t = 1, 2, ...
 - pick a random permutation *s* of {1...N}
 - for I = 1 to N,
 - (i) evaluate $e_1 = e(F \cup \{s(i)\})$ and $e_2 = e(F \setminus \{s(i)\})$
 - (ii) if $e_1 > e_2, F = F \cup \{s(i)\},\$

else if $e_2 > e_1, F = F \setminus \{s(i)\}$

• if no change made to F then break.

Optimal Feature Selection Algorithm

The Optimal Feature Selection Algorithm (OFSA) was suggested by D.Koller et al⁵³. It is based on a cross-entropy measure to minimize the information lost during feature elimination. This algorithm works in the opposite direction; specifically, it starts with a full set of features and removes one feature at a time. The algorithm receives 2 parameters the size of the desired subset and K – the number of features used for approximation of any given feature F_i . Starting from the full set of features in each step one feature is eliminated that can be predicted by the remaining K features; these K features are called the blanket. <u>Algorithm:</u>

Let $F=(F_1...F_n)$ be a set of features, $f=(f_1...f_n)$ set of assignment values. C_1 and C_2 are classes, G – subset of features.

1. Compute the correlation coefficient of every pair of features ρ_{ij} ; initiate G to F.

$$\rho_{ij} = \frac{\operatorname{cov}(F_i, F_j)}{SD(F_i)SD(F_j)}$$

- 2. For each feature F_i choose K features with highest ρ_{ij} to be $M_i.$
- 3. Compute $\delta_G(F_i/M_i)$ for each i.

 $\delta_G(F_i \mid M_i) = \sum_{f_{M_i}, f_i} P(M_i = f_{M_i}, F_i = f_i) D(P(C \mid M = f_M, F_i = f_i), P(C \mid M = f_M))$ where D is cross-entropy (or KL – Kullback Leibler distance), where μ is the right distribution and σ is its approximation, given by:

$$D(\mu,\sigma) = \sum_{x} \mu(x) \log \frac{\mu(x)}{\sigma(x)}$$

4. Remove from feature set G - F_i with minimal $\delta_G(F_i/M_i)$.
Chapter 3

Working Memory

The first cognitive dimension that we studied was sensory integration in working memory. The Working Memory Experiment consisted of three parts. First, we performed a pilot study to determine which door-opening rules (i.e. combinations of features to remember) best discriminated between the schizophrenia patients and the healthy controls. Second, we ran the Working Memory Experiment on a large number of subjects with rules selected to study sensory integration in working memory. We used measures collected during the task to classify participants as schizophrenia patients or healthy controls. Third, we used the virtual maze setup to test perseveration (a common characteristic of schizophrenia) in separate experiment.

3.1 Experiment 1: Working Memory

3.1.1 Experimental Design

The experiment involved a computer game requiring navigation in a virtual maze with "challenge" and "delay" rooms. Each challenge room had three doors, only one of which was the correct choice, while each delay room had a single door. The goal of the game was to reach the end of the maze as fast as possible, and the end was reached only after all the correct doors had been opened.



Figure 7 Virtual Maze Used to Study Sensory Integration in Working Memory

A-D. "Challenge" rooms illustrating four rule types used in the experiment. Each "challenge" room has three doors with up to three features displayed: sound, color and shape. **A**. A door-opening rule defined by sound, with no distractor present, so the shape and color remain constant throughout a session. **B**. A door-opening rule defined by sound and color serves as the distractor. **C**. A door-opening rule defined by sound and shape, no distractor. **D**. The most difficult door-opening rule: a subject needs to remember shape and sound and ignore color. **E**. A "delay" room. To create a load on working memory a subject goes through a few "delay" rooms with one door between the "challenge" rooms. **F**. Positive feedback. When a subject opens a correct door, an animation of girl clapping hands appears on the door accompanied by the sound of applause, and the subject is rewarded with a cigarette or a chocolate on a score board.

Each door in a challenge room was associated with up to three distinct features—shape

(triangle, square, or circle), color (red, green, or blue), and sound (three different sounds),

see Figure 7 A-D. The sound was played when the subject examined the door. At each point

in time, there was a certain door-opening rule, which determined which door should be used to exit a challenge room. For example, the rule might say that only red doors should be opened, in which case any red door, regardless of its shape or sound, could be used. There was always a single such door in each challenge room. The subject had to figure out the correct rule and open only the appropriate door (with the correct combination) in each challenge room. The rule randomly changed after 4–6 correct choices.

Number of features	No distractor	Distractor present			
1	Sound	Sound + Color as distractor			
2	Sound & Shape	Sound & Shape+ Color as distractor			

Table 2Four door-opening rule types used in the final experiment

The different door-opening rules were created by manipulating two factors: the number of features that defined the door-opening rule (one or two) and the presence or absence of a distractor feature on the doors (a feature that was not used in the rule). In the first stage, we created 9 experimental conditions. The four experimental conditions which discriminated best between the schizophrenia patients and healthy control populations were chosen for the final experiment (see Table 2 and Figure 7 A-D). The rule changed over time as indicated by a visual cue. When the correct door was chosen, the subject received a reward (cigarette or chocolate icon) and got encouragement (dancing figure with clapping hands), see Figure 7F.

Between challenge rooms, the subject passed through a few delay rooms, each of which had only one door. The door in a delay room was also associated with a colored shape and sound, and was consistently different from those used on doors in the challenge rooms (Figure 7E). The delay rooms masked the target stimulus and imposed an active load on working memory, because the subjects needed to remember the correct rule during navigation. We manipulated the number of delay rooms to achieve a constant 20-second delay between successive challenge rooms.

The design of the Working Memory experiment was inspired by the Wisconsin Card Sorting Test⁵⁴, in which the subject needs to sort a deck of cards into four piles. The cards display a number of colored shapes. At any given time, the sorting needs to be done according to one feature (out of three), which changes after 10 consecutive correct placements. In a similar manner, each room in our maze had three doors characterized by two visual features and one auditory feature (instead of three visual features in the Wisconsin Card Sorting Test).

While in the Wisconsin Card Sorting Test only one out of the three features displayed is important at any moment, we controlled both the number of features that defined the dooropening rule (one or two) and the number of features displayed (one, two, or three). There were two additional differences: 1) how the rule was defined—in the maze, the subjects needed to remember feature values (e.g., category values such as a red rectangle), while in the Wisconsin Card Sorting Test the task required of the subject is to remember a category, and 2) explanation—our subjects received detailed explanations of the task, followed by a training session, while no explanation is provided in the standard Wisconsin Card Sorting Test.

3.1.2 Methods

Subjects

The participants were 39 schizophrenia patients and 21 healthy comparison subjects matched by gender (male), age, and education level. The subjects' mean age was 32.3 years (SD=7.9), and the mean number of years of education was 10.6 (SD=2.6). 10 patients and 7 controls were exposed to 9 door-opening rule types; the remaining subjects experienced 4 door-opening rule types, chosen in the pilot stage.

The patients were diagnosed according to DSM-IV criteria⁵⁵ and were rated for symptom severity with the Positive and Negative Syndrome Scale (PANSS)⁵⁶ during an interview by a clinical psychiatrist (Avi Peled). Schizophrenia patients with a history of neurological disorders, co-morbidity, or drug abuse were excluded from the study. The patients were medicated with therapeutic doses of risperidone and olanzapine. Five patients were also taking long-acting medications (three patients were being treated with haloperidol decanoate, and two patients with long-acting fluphenazine). In all, the patients were receiving a mean daily dose equivalent to 414 mg of chlorpromazine.

All subjects volunteered and received payment. After a complete description of the study to the subjects, written informed consent was obtained. The study was approved by the internal review board of Sha'ar Menashe Mental Health Center and the Israeli Ministry of Health, in accordance with the Helsinki Declaration.

Procedure

The experiment included a training phase intended to bring all subjects up to their best level of performance, followed by the actual game. Training consisted of three stages. First, the subjects learned how to find the correct door and open it (without movement); during this stage the subjects experienced all types of door-opening rules. Second, the subjects learned how to navigate in the maze at the desired speed. Finally, they practiced in a game-like session, with emphasis on achieving the fewest errors (rather than speed). During training the

experimenter intervened when three or more consecutive errors occurred, in which case the subject was reminded of the goals of the task, was encouraged to verbalize his strategy, and received compliments on correct choices.

The duration of the sessions varied among subjects, since a session ended only after a fixed number of correct doors were chosen. Upon any incorrect door choice, the subject was presented with another challenge room with the same set of doors, shifted in position. Thus, the session duration was positively correlated with the number of errors. In general, it took the patients roughly twice as long to complete the training as the comparison subjects (58.6 and 28.6 minutes, respectively), while the durations of the test sessions were more similar (31.7 and 26.4 minutes, respectively). This difference was reflected in the set of measurements defining a subject's profile.

A sense of reality was obtained with three-dimensional glasses, a head tracker, and a joystick. The subjects used the joystick to navigate and to open doors. The navigation button enabled movement in four directions: forward, backward, left, and right. A change in the direction of movement could also be made by turning the head.

Measurements

We collected 26 measurements for each subject based on a variety of continuous physical measures. These included error score and response time, the position and direction of gaze at any time, and the rate of improvement over time. The 26 measurements defined the subject's performance profile and could be divided into three categories: working memory and integration, navigation and strategy, and learning.

Working Memory & Integration

The variables reflecting working memory and integration included various error scores measuring perseveration and the distractor and complexity effects. In calculating error scores we differentiated 1) errors made while the subject was learning the rule (after the rule changed), 2) errors made during use of the rule, and 3) the number of consecutive errors. Perseveration errors occurred in all of these error categories and included any repeated selection of a previous incorrect choice and any erroneous choice that was consistent with a previous door-opening rule that had already changed. Perseveration was measured as the ratio between the number of perseveration errors and the total number of errors. The distractor effect (DE) was calculated as the error rate when the distractor was present minus the error rate when the distractor was absent (the rows in Table 2). Similarly, the Complexity Effect (CE) was measured as the difference in error rate between two conditions: two features define a rule minus one feature defines a rule (the first column in Table 2).

Navigation & Strategy

The measurements of navigation and strategy included response time, navigation profile, and strategy. The navigation profile included a measure combining navigation speed with the number of collisions with walls and a histogram of the subject's movements (forward, backward, or rotation). Decision strategy was measured by the number of doors inspected in each room and the time spent looking at each door. To assess the subject's selection strategy, we compared the histogram of the locations of all selected doors with the histogram of the locations of correct doors.

Learning

The measurements of learning included the rate of improvement over time in the variables reflecting working memory and integration, in response time, and in navigation speed.

All the data were normalized so that within the comparison group the values for each variable were distributed with a mean value of 0 and a standard deviation of 1. A subject was said to differ from the expected (normal) value for a given variable if his normalized absolute value exceeded 2.

3.1.3 Results of the pilot study

The only difference between the pilot and the main experiment was the number of dooropening rule types (and therefore the number of sessions) that was presented to each subject. Otherwise the procedure, the collected measurements and data analysis were the same for all subjects. The main results which are common to the pilot study and the main experiment will be presented in detail in the next sections. In this section only results relevant to the dooropening rule selection will be presented. In the pilot stage 9 door-opening rule types were designed, see Table 3. 10 schizophrenia patients and 7 healthy controls participated in the pilot. Each subject was exposed to all 9 rule types.

Number				
of	No distractor		Distractor present	
features				
	Auditory	(1Fa)	Auditory + visual distractor	(1Fa+vD)
1	Visual (1Fv)		Visual + visual distractor	(1Fv+vD)
			Visual + auditory distractor	(1Fv+aD)
2	Visual	(2Fv)	Visual + auditory distractor	(2Fv+aD)
	Audio-visual	(2Fav)	Audio-visual + visual distractor	(2Fav+vD)

Table 3The opening-door rule types used in the pilot experiment

Figure 8A shows the control and patient groups' error rate for all rule types. Two rule types were the most difficult for the patient group: the auditory rule with visual distractor (1Fa+vD) and the audio-visual rule with visual distractor (2Fav+vD). The patients exhibited the highest error rate for these two rule types, whereas there were no significant differences among different rule types for the controls.



Error Rate when Using the Rule in the Control and Patient Groups

Average error rate (when using the rule) for each of the 9 rule types in the control and patient groups. Abbreviations for rule types appear in Table 3. **A**. All patients (solid red line) are plotted vs. the control group (dotted blue line). **B**. The patients are divided into P1 - exhibiting distractor (solid red line) and complexity effects; and P2 - performing at control level (dashed green line).

Already at this stage the patients could be readily divided into two sub-groups: (i) the

patients who differed considerably from control group - P1, (n=4), and (ii) the patients that

performed at control level – P2, (n=6) (Figure 8B). The P1 group, unlike the controls and P2

group, showed a significant distractor effect; specifically they made more errors in the

presence of a distractor as compared to a non-distractor condition. The number of patients

exhibiting the distractor effect and its magnitude are summarized in Table 4. Half of the patients manifested the distractor effect for an auditory rule. However, for the two-feature rules the distractor effect was the greatest.

In addition, the P1 group showed a complexity effect (made more errors in two-feature-rule opening conditions as compared to one-feature rules) for the audio-visual rule as compared to the auditory rule, but not for the two-feature visual rule as compared to the one-feature visual rule (Figure 8B).

For the final experiment four door-opening rule types were used to measure the distractor and complexity effects that discriminated best between the patient and control groups. These four opening-door rules are summarized in Table 2.

	Visual Rule + Visual Distractor	Visual Rule + Auditory Distractor	Auditory Rule + Visual Distractor	Visual&Visual Rule + Auditory Distractor	Audio-Visual Rule + Visual Distractor
Number of patients	2	4	~	4	2
showing DE	3	4	2	4	3
Increase in error rate	10	0	10	21	22
(%) in presence of	12	8	13	21	22
(, .) - presence or	(SD=9)	(SD=6)	(SD=3)	(SD=11)	(SD=18)
distractor					

Table 4Distractor effect in the patient group

3.1.4 Results of the main experiment

Highlights of the performance profile

In general, the patients differed from the comparison subjects on most of the measured variables, while individually each patient differed on a unique subset of variables. Specifically, the patients exhibited higher rates of errors on most measurements of working memory and integration. The patients were significantly slower than the comparison subjects, as expressed by poorer values on the navigation and strategy measurements. Finally, the patients improved more than the comparison subjects, as manifested in some learning measurements. However, no single variable differentiated the patients and the comparison group. On any given variable, some patients differed substantially, while others performed like the comparison subjects, resulting in high variance in all of the measurements. Figure 9 summarizes the distributions of the comparison and patient groups on a number of variables; the full statistics on deviation from the normal range in the patient and control groups is given in Appendix A.

The most striking differences between the patients and comparison subjects (involving more than half of the patients) was manifested in a higher error rate when the rule was being used (Figure 9), more consecutive errors (Figure 9), and large head rotations (data not shown). The patients' higher error rate during use of the rule was maintained throughout both the training and experimental sessions. Some patients, however, showed a marked improvement during the training stage. In addition, a noticeable number of patients showed one or more of the following deficits: lesser ability to ignore irrelevant information (distractor effect), higher error rate during learning of the rule, longer response time, and poorer selection strategy (Figure 9).



Figure 9 Normalized Scores for Selected Measurement of Schizophrenia Patients and Healthy Comparison Subjects

Each circle/square represents a score of an individual subject. The scores of control (blue squares) and patient (red circles) groups were normalized so that within the control group each variable was distributed with a mean value of 0 and a standard deviation of 1. The scores of the control subjects were concentrated between -1 and 1. In contrast, the patients' scores show a much wider distribution.

We also noted an interesting dissociation between the patients' ability to learn a new rule and their ability to recover from a mistake. While 23 patients showed high rates of consecutive errors, only 15 patients showed high error rates when they were learning a new rule. Overall, the patients were significantly slower than the comparison subjects, as manifested in response time, speed, and time spent looking at doors. However, they also showed a much greater improvement than the comparison subjects in response time and navigation speed. Finally, there was no marked difference between the groups in decision strategy (Figure 9), movement profile (data not shown), or perseveration (Figure 9).

To illustrate the high variance across patients, several examples of individual performance plots are shown in Figure 10.



Figure 10 Polar Coordinates Profiling Performance of Five Schizophrenia Patients in Relation to Performance of Healthy Comparison Subjects

Each variable corresponds to a certain angle j, and the radius r reflects the subject's measurement value on the normalized scale for that variable. Thus, a subject's profile corresponds to a tight curve through 26 pairs of r, j coordinates. The scores were normalized as follows: 0=less than one standard deviation from the mean for the comparison subjects, 1=less than two standard deviations from the mean, 2=less than three standard deviations, 3=less than five standard deviations, 4=less than eight standard deviations, 5=more than eight standard deviations. The performance profiles of the comparison subjects concentrate by definition in the area r $\delta 2$.

For instance, patient 1 performed well within the range of the comparison subjects on all but two measurements, while patients 2, 3, and 4 deviated on a broad range of variables, each displaying his own unique profile. Patient 2, for example, had difficulties on variables concentrated in the upper right corner, most of which are measurements of working memory and integration. Patient 3 showed scattered deviations in all groups of measurements, while patient 4 differed mostly on navigation and strategy variables. Note that patient 5 performed like the comparison subjects on all measurements.



Figure 11 Histogram of Number of Parameters Deviating More than 2SD from the Control Mean among the Control and Patient Groups

The histogram shows how many parameters the patient and control groups deviated from the control group mean. Last column shows subjects who deviated on 10 or more parameters.

Each patient deviated from the normal range on a different number of parameters (Figure

11). While the majority of the control subjects deviated on 2 or fewer parameters with only 2

subjects deviating on 4 and 6 parameters, the patient group showed a broad distribution of

parameters outside the normal range. Only 7 (out of 39) patients deviated on 1 or 2

parameters, and none of the patients performed in the normal range on all 26 parameters. On

the other hand, none of the patients deviated on all 26 parameters, and the greatest number of deviating parameters -13 - was exhibited by two patients.

Distractor effect

The patient group demonstrated a somewhat lesser ability to ignore irrelevant information. Accordingly, in the distractor conditions they exhibited higher error rates when using the door-opening rules. The distractor effect varied greatly, with some patients exhibiting a distractor effect only when the rule specified just one feature, some only when the rule specified two features and some when the rule specified both one or two features. When the distractor was absent, some patients made many errors, while others performed like the comparison subjects. This measure—the number of errors when the distractor was absent reflects only the errors made after the subject had learned the rule, and therefore it mostly reflects impaired working memory rather than inference ability.

On the basis of these two measures, i.e., the distractor effect and the number of errors when the distractor was absent, the patients could be divided into four subgroups. Figure 12 shows that working memory impairment and the distractor effect exhibited a double dissociation in the schizophrenia patients. Some patients had impairment only in working memory, and some patients had impairment only in the presence of a distractor.



Figure 12 Division of Schizophrenia Patients into Four Sub-groups Based on Their Working Memory and Distractor Effect Scores

The plot shows Working Memory - WM (orange bars) and Distractor Effect - DE (brown bars) scores of the control group (first column on the left) and the four sub-groups of schizophrenia patients. Schizophrenia patients can be divided into four sub-groups (from left to right on the plot): i) patients who showed both DE and WM impairment; ii) patients who exhibited DE only; iii) patients with impairment only in WM; iv) patients who performed like controls.

The WM score was defined as the minimal error rate over two door-opening rule types without a distractor: the sound rule and the sound & shape rule. The DE was taken as the maximal increase in error rate as a result of the distractor over the same two conditions: the sound rule and the sound & shape rule. Any subject differing by more than 2.5 standard deviations from the mean value of the comparison subjects was considered impaired on the relevant measure.

- * Significantly different from the rate for the comparison subjects (F=65.7, df=1, 38, $p\delta 0.001$).
- ** Significantly different from the rate for the comparison subjects (F=43.9, df=1, 31, $p\delta 0.001$).

Complexity Effect

The rule complexity (number of features defining the rule) had no clear effect on error rate.

11 patients showed a significant difference between a one feature rule – the sound rule, and a

two feature rule - the sound & shape rule. However 5 subjects made more errors in the two-

feature rule condition and 6 subjects made more errors in the one-feature rule condition. The

control group showed no distractor or complexity effects, maintaining a constant level of

performance in all experimental conditions.

3.1.5 Analysis

Classification

We designed a classification routine based on the performance profiles. First, we estimated the distribution of performance profiles with the comparison group alone. For simplicity, we made the false assumptions that the variables were independent and that each variable had normally distributed values. We then estimated the probability of each subject's performance profile under the estimated distribution. Finally, we fixed a threshold to best discriminate between the comparison subjects and the patients in a leave-one-out paradigm. Specifically, we fixed a probability value that best separated the comparison and patient groups, using 38 out of the 39 patients; we then checked the prediction regarding the remaining patient. The sensitivity of this procedure was 0.85, with 33 out of 39 patients being predicted correctly. (Canonical variate analysis correctly classified 31 patients, for a sensitivity of 0.79. Multivariate analysis of variance indicated that the comparison and patient groups differed significantly with p=0.00002.)

In the preceding procedure we used all 26 measurements defining the performance profiles. However, with only 21 data points there is a high risk of over-fitting the distribution of the comparison group. We therefore looked for the minimal subset of features that would give the same classification accuracy. We applied the same procedure while using all subsets of two to seven features. The minimal subset of features that achieved the same accuracy contained four measures: distractor effect (sound and shape rule), error rate when the rule was used during training, consecutive error rate, and response time. This set of four features achieved same classification sensitivity as complete features set —0.85.

Finally, we tested the estimation procedure using a similar leave-one-out approach. Specifically, we estimated the distribution of the comparison group based on 20 of the 21 subjects, fixed the threshold on the basis of the same 20 comparison subjects and all of the patients, and checked the prediction regarding the missing comparison subject. As expected from the preceding counting argument, the reduced set of four features was more robust than the full set of 26 measurements to the leave-one-out test. The four features set achieved 100% correct classification of the comparison group (specificity, 1.00) through all leave-oneout runs (i.e. no matter what comparison subject was left out, the procedure resulted in 100% correct classification of the comparison group). With 26 measurements, 1 to 3 controls were misclassified, depending on which subject was left out, overall resulting in correct classification of only 86% (18 out of 21) of the comparison subjects. The patient group was equally robust to the leave-one-out test for both full and four features sets, resulting in the same number of misclassified patients through all leave-one-out runs.

Feature Selection

By testing all subsets of features of sizes 2-7 we found a set that achieved the same accuracy as 26 features and was robust to the leave-one-out test. An additional important parameter to consider is which subjects were misclassified; in this case we preferred to miss a patient than falsely identify a control as a patient, i.e. we do not want to improve sensitivity at the expense of specificity. Other subsets of 6-7 features gave us the same number of misclassified subjects - 6, but included misclassified controls. Can a larger size subset give us better accuracy, restore the specificity and maintain robustness to the leave-one-out test? To test all subsets of features 2-26 was too time -consuming. We therefore investigated a number of algorithms for feature selection (described in Section 2.3).

For each algorithm we chose the 10 best features, see Appendix C, and tested all subsets of sizes 4-10 in our classification procedure as described in the previous section. We further reported the best result of each algorithm in terms of classification accuracy and robustness to the leave-one-out test. The best result still remained the four features set found in the previous section that predicted correctly all the controls and 85% of the patients (6 patients misclassified) and maintained the same result through all leave-one-out runs.

We started with the most straightforward approach: to grade the features by mutual information of each feature and a class label. Though some of the subsets of features with the highest mutual information predicted overall more subjects correctly, they all falsely reported 2 controls as patients. The best result with 4 misclassified subjects was achieved by a set of 8 features. Improving the feature grading by choosing a feature that added maximal information to a chosen set at each step resulted in the best subset of 4 features with 7 (instead of 6) misclassified patients and all controls predicted correctly.

We further tried margin- based feature selection algorithms. We started with one of the most simple and popular algorithm – RELIEF. In RELIEF the feature score depends on how well it separates neighboring examples. The best subset was of size 10 and misclassified 8-9 subjects, among them 0-1 controls. The drawback of this algorithm is that predictive but correlated features are given high weight; in our case it twice chose pairs of highly correlated features: the error rate when the rule was used and the error rate when learning the rule during training and experimental sessions, see Appendix C for the chosen feature subsets.

We next used Simba – the Iterative Search Margin Based Algorithm, that should overcome the problem of redundant feature selection. The Simba indeed did not choose trivially

redundant features and improved prediction relative to RELIEF: the best set consisted of 10 features and misclassified 7 subjects, which is one subject more than our best four features set.

The Optimal Feature Selection Algorithm (OFSA) finds the optimal subset of features of given size. The OFSA starts with full set of features and eliminates one feature that will cause minimal loss of information. The optimal subsets of 4-10 features chosen by the OFSA are summarized in Appendix D. The best result of the OFSA was 8 misclassified patients (9 features) or 7 misclassified subjects, among them 2 controls (10 features).

The drawback of all the above algorithms is that they do not choose the optimal sub-set of features but either grade the features from worst to best or find an optimal sub-set of a given size. The Greedy Feature Flip (G-flip) converges to a local maximum, and thus does not require a defined size of features set as an input. The G-Flip belongs to the family of margin based algorithms: at each step, for every feature it evaluates a margin term with and without the feature, and decides whether to keep or remove it. The algorithm stops when no change is made to the feature set. We tested two versions of G-flip based on linear and sigmoid evaluation functions. The linear G-flip chose 8 feature, see Appendix C. This set was not very robust to the leave-one-out test and ranged from 5-11 missed patients and 1-3 controls falsely identified as patients. The sigmoid G-flip converged to 6 feature set. It misclassified 10 patients and up to 2 controls.

Correlations with symptoms

To study the correlation between our measurements and the subjects' PANSS scores,

we assigned "absent" to the comparison subjects on all symptoms, normalized the PANSS

scores and normalized measurement scores to a 0-5 range, see Table 5.

Table 5 The normalization that was applied to the performance profile normalized scores and PANSS values

Performance Profile		PANSS			
Score	Normalized score	Score	Normalized score		
s<1	0	1-2 Absent or Minimal	0		
$1 \le s < 2$	1	3 Mild	1		
$2 \le s < 3$	2	4 Moderate	2		
$3 \le s < 5$	3	5 Severe	3		
$5 \leq s < 8$	4	6 Severe	4		
s > 8	5	7 Extreme	5		

The correlation coefficients of all parameters of the performance profile with 7 positive and 7 negative symptoms are given in Appendix B. The analysis revealed a number of significant correlations (Spearman r \geq 0.4, t \geq 3.32, df=58, p<0.01, see Table 6): 1) the error rate during use of the rule (after the rule was learned) was significantly correlated with five positive and four negative symptoms, 2) the consecutive error rate was correlated with six negative symptoms, 3) the distractor effect for the sound-based door-opening rule was correlated with six positive symptoms, while the distractor effect for the sound-and-shape rule was correlated with only one positive symptom (conceptual disorganization), 4) longer response time was correlated with six negative symptoms, and 5) poor selection strategy was correlated with six positive symptoms. None of the variables showed any significant correlation with age. When using canonical correlation analysis to measure correlations

between mixtures of variables, we found two significant correlations including the same

group of highly correlated measures and symptoms.

Table 6

Summary of significant correlations for selected parameters

All correlations reported here have Spearman $r \ge 0.4$, $t \ge p < 0.01$. The four parameters used for classification are shown in bold. Positive symptoms include delusions, conceptual disorganization, hallucinatory behavior, excitement, grandiosity, suspiciousness and hostility. Negative symptoms include blunt affect, emotional withdrawal, poor rapport, passive, difficulty in abstract thinking, lack of spontaneous conversation and stereotyped thinking.

Parameter	Number of symptoms in correlation with a parameter			
	Positive (7)	Negative (7)	General (16)	
Distractor effect, sound & shape rule	1	-	-	
Errors when using the rule	5	4	6	
Consecutive errors	2	6	7	
Response time	1	6	10	
Distractor effect, sound rule	6	-	3	
Complexity effect	-	-	2	
Errors when learning the rule	-	-	1	
Poor selection strategy	6	1	3	
Over-rotation	4	7	9	

3.1.6 Discussion

We used virtual reality technology to design a complex environment for the study of schizophrenia. The technology made it possible to collect multiple measurements during a complex behavior, including multimodal interactions that place a high load on working

memory. In addition, the technology allowed us to conduct the experiment as a game and engage the patients in the task, which improved the subjects' concentration and motivation.

The most important finding from this experiment is that schizophrenia patients can be reliably separated from comparison subjects on the basis of the profile of their performance in the virtual maze. The classification procedure succeeded in predicting correctly 33 (85%) out of 39 patients and all of the comparison subjects, by using performance profiles consisting of four measures: distractor effect, error rate during use of the rule during training, consecutive error rate, and response time. A closer look at the performance profiles of the misclassified patients revealed that they fell in the normal range on almost all the variables studied.

This experiment concentrated on working memory, which is not the only known deficiency of schizophrenia patients. Thus, the schizophrenia diagnostic profile should evaluate a wider spectrum of cognitive functions. Feature selection analysis revealed that the majority of subsets are unstable to the leave-one-out test. This resulted from the small size of the data set relative to the number of parameters in the performance profile. Other powerful classification techniques that are based on training and test sets could not be applied for the same reason. The experiment should be run on a larger number of controls, to improve estimation of the control population distribution, and especially that of the patients, a group that presents great variability. Furthermore, the routine should be evaluated with additional comparison groups consisting of patients with different mental disorders.

On the positive side, some of the measured variables showed significant correlations with standard measures of schizophrenia (based on personal interviews), leading us to hope that similar tests may be able to replace subjective interviews in future diagnosis of the disease.

Finding functional sub-divisions within the patient group is another goal of our research. We divided the patients into 4 sub-groups based on the working memory measure and distractor effect; however this division did not result in clear separation on other parameters. Schizophrenia probably involves multiple sub-types. In order to characterize the sub-types a larger number of patients should be tested.

Two additional findings are worth noting: (i) decreased ability to ignore irrelevant information, measured by the distractor effect; and (ii) a complexity effect, measuring a simple form of integration of two features from different modalities: sound and shape. Schizophrenia patients are known to possess an increased tendency to react to irrelevant stimuli. About 40% of the patients manifested a distractor effect in at least one condition (one or two-feature rule).

Rule complexity had little effect on patients' performance and no effect on the controls. In general it isn't known what complexity effect is expected. On the one hand, a sound feature is more difficult to remember and distinguish than a visual feature. It is likely that a visual feature in sound & shape rule makes it easier to remember and recognize the correct door, thus turning sound & shape into an easier condition. On the other hand, if a constraining factor is load on working memory, then remembering two features could be a more difficult condition. There is a tradeoff between a single feature difficulty and load on working

memory. We did not observe the complexity effect in the healthy population, probably because of the simplicity of the task.

Knowing that schizophrenia involves an integration disturbance, we could expect to find a distractor effect in a larger number of patients or that sound & shape rule would be more difficult for the patients. However, the disorder is complex and it appears that the integration problems are manifested in higher functions rather than on the perceptual level.

3.2 Experiment 2: Perseveration

Perseveration is a common indicator of schizophrenia⁵⁷. In the working memory experiment the patient group did not differ from the control group on the perseveration measure. However, our approach to measuring perseveration differed in two ways from the classical procedure such as the one used in the Wisconsin Card Sorting Test. First, we measured perseveration by a ratio—the number of perseverative errors divided by the total number of errors. This is because when the total number of errors is high, the number of perseverative errors is expected to be high as well, irrespective of the source of error. Indeed, the numbers of total and perseverative errors showed a high correlation ($r_s=0.87$). Second, in our experiment the subjects received a detailed explanation of the task, in addition to extensive training. This difference might explain the discrepancy between our results and those in the relevant literature. To test this hypothesis, we designed an additional experiment, described below.

3.2.1 Design

This experiment was designed to investigate the underlying reason for the absence of perseveration in the working memory experiment and, specifically, the relation between task understanding and perseveration. We tried to replicate the standard Wisconsin Card Sorting Test as closely as possible in our virtual maze. The experiment was conducted in the same virtual maze used in the main experiment, with the rule defined by just one feature, color, and without a distractor. The goal of the game was to find the correct door by which to exit each room. Two experimental conditions were compared: 1) when the subjects were not told what defines a correct door and 2) when the subjects were told that the correct door is defined by color and that the correct color may change. If perseveration indeed results from the subject's inability to adapt his behavior to change, the number of perseverative errors should be the same in both conditions.

3.2.2 Method

The participants in this experiment were 21 schizophrenia patients and 19 comparison subjects. They are described in the methods section for the main experiment (most of them later participated in the working memory experiment). The subjects received some initial navigation training. The game ended after the opening of 50 doors. The correct color changed after 10 consecutive correct choices.

We collected the same standard measurements as used in the Wisconsin Card Sorting Test, among them the number of colors completed, maintaining set (the number of consecutive correct choices), perseveration to previous color (the number of times that the previous correct color is chosen after the rule changes), perseveration to previous incorrect color (the number of times that some incorrect choice is repeated), the number of steps to learn the first color, the number of steps to find the correct color after the first change, and the average number of steps to learn a new color after a change. In addition, the navigation and strategy variables used in the working memory experiment were measured. The data were analyzed by two-way analysis of variance for the effect of two factors: explanation and group type (patient or comparison).

3.2.3 Results

In the patient group, the scores on a number of variables were affected by explanation (Figure 13). On the other hand, the comparison group performed equally well in the two experimental conditions on all of the measurements.

In addition, the patients differed from the comparison subjects on navigation and strategy variables regardless of the experimental condition, in a way similar to that in the working memory experiment. Only patients who did not receive the explanation exhibited high perseveration rates of both kinds (Figure 13). The explanation effect in the patient group was also manifested in 1) the number of colors learned, 2) maintaining set (number of consecutive correct choices), 3) the number of steps to learn a new door-opening rule after the initial change, and 4) the average number of steps to learn a new rule after a change. The first three effects are shown in Figure 13; significant effects are denoted by asterisk. In addition, the patient group had a longer response time (Figure 13) and slower navigation speed, made more collisions, and inspected more doors in the challenge rooms before making a decision.



Figure 13 Effect of Explanation on Performance of Schizophrenia Patients and Control Subjects

*1Significant difference between conditions (F=18.75, df=1, 19, p=0.0004).

^{*2}Significant difference between conditions (F=6.40, df=1, 17, p=0.03).

*3Significant difference between conditions (F=20.89, df=1, 19, p=0.0002).

^{*4}Significant difference between conditions (F=17.77, df=1, 38, p=0.0001).

3.2.4 Discussion

Our results indicate the need to clarify the notion of perseveration. In the working memory

experiment, the patients did not differ from the comparison group on the perseveration

measure. In the perseveration experiment, we found high numbers of perseveration errors only when the patients did not receive an explanation of the task. This deficiency was correlated with other measures related to task understanding. This finding implies that perseveration as measured in the standard Wisconsin Card Sorting Test may indicate a deficiency in problem solving, rather than the patients' inability to adjust to changes (as is usually understood). It is consistent with other reports that schizophrenia patients can dramatically improve after training on different tasks^{58,59}.

Chapter 4

Reality Perception

In this experiment we investigate audio-visual and visual-visual integration tapping higher cognitive processes using an Incoherencies Detection Task (IDT), Section 4.1. In Section 4.2 we discuss how working memory and reality perception can be combined in a schizophrenia diagnostic profile.

4.1 Reality Perception in Schizophrenia Patients

4.1.1 Experimental Design

This experiment was designed to measure abnormal reality perception in schizophrenia patients using a detection paradigm that incorporates real-world experiences. Subjects were required to detect various incoherent events inserted into a normal virtual environment. There were three categories of incoherent events: (i) an incoherent sound, for example, an ambulance with a horn playing an ice-cream truck melody, resulting in an audio-visual incoherency; (ii) an incoherent color, such as blue potatoes, or (iii) an incoherent location, such as a giraffe in a store, The latter two categories are examples of visual-visual incoherency (see Figure 14).

Subjects sat comfortably in a reclining chair, wearing a Head Mounted Display (HMD) containing the audio and visual devices and a position tracker. The HMD delivered the virtual reality and created a vivid sense of orientation and presence. Subjects navigated along a predetermined path through a residential neighborhood, shopping centers and a street

market (Figure 14). Aside from the incoherencies which were deliberately planted, the virtual environment was designed to resemble the real world as closely as possible.



Figure 14 Examples from the Virtual World Used in the Incoherencies Detection Task Three categories of incoherencies are shown: **A**. incoherent color; **B**. incoherent location; **C**. incoherent sound: a guitar making trumpet sounds, and an ambulance sounding like an ice-cream truck.

Whenever the path traversed an incoherent event, progress was halted and a one-minute timer appeared, during which the subject had to detect the incoherency. The response included marking the whereabouts of the incoherent event by a mouse click, and an accompanying verbal explanation which was recorded. A response was only scored as correct if the subject provided an acceptable explanation. If the explanation wasn't clear the experimenter could pose clarification questions. We gave no examples before the test as guidelines, and no feedback indicating correct or incorrect detection. (A demonstration movie of the virtual world can be found at

http://www.cs.huji.ac.il/~daphna/movies/vr_inconsistencies/Demo_best.swf).

The three categories of incoherent events are depicted in the following figures: sound (Figure 14C), color (Figure 14A) and location (Figure 14B). The virtual world contained 50 incoherencies: 16 involving color, 18 concerning location and 16 related to sound

4.1.2 Method

Subjects

43 schizophrenia patients were recruited for the study - 23 in-patients from the inpatient population of the Shaa'r Menashe Mental Health Center, and 20 out-patients from the "Hesed veEmuna" hostel in Jerusalem. 29 healthy controls were matched by age, education level and gender to the patient group. Mean age was 32.6 (SD=8.5), with an average of 11.1 (SD=1.8) years of schooling; 19% were females.

All patients had a psychiatric interview with a senior psychiatrist (Avi Peled), which adhered to the guidelines of the Structured Clinical Interview for DSM-IV Axis I Disorders³², and the diagnosis of schizophrenia was established according to DSM-IV-TR criteria. The severity of schizophrenia was assessed by the Positive and Negative Syndromes Scale (PANSS)³³. Exclusion criteria included history of neurological disorders and substance abuse in the previous 3 months. The patients were medicated.

The study was approved by the Sha'ar Menashe Mental Health Center Review Board, and informed consent was obtained from all participants after the nature of the study was fully explained to them. All subjects volunteered and received payment. They were tested for color blindness by a color naming procedure and anamnesis.

Incoherencies Set

The pilot study was performed on student volunteers to choose incoherencies that were

recognized by 90% of the subjects. The complete list of incoherencies used in the experiment

is given in Table 7. To balance incoherent sound events we included 12 normal sound events

that were inserted into scenes with incoherent color or location (as noted in 'Remarks'

column in Table 7). All subjects navigated along the same path in the virtual world and saw

the incoherent events in the same order.

Table 7List of incoherencies used in the experiment

The incoherencies are listed in their order of presentation. For each incoherency an object and its incoherent property is listed. For the sound incoherencies a categorical relation between a source object and a sound is given in 'Remarks' column. Normal sounds are also indicated in the 'Remarks' column.

	Incoherent Object	Incoherent Property	Category	Remarks
1	Roof	Under the house	Location	
2	Dog	Cow	Sound	Same Category
3	Palm	Purple	Color	
4	Passing Lane	Purple	Color	Police car – normal sound
5	Chair	On the roof	Location	
6	Street Sign	Upside down	Location	Cat – normal sound
7	Cloud	Red	Color	
8	Lawnmower?	Fax	Sound	Same Category
9	Baby tapping on plastic can	Cymbals	Sound	Same Category
10	Hydrant	In the middle of the road	Location	Car – normal sound

11	Airplane	Bombing	Sound	Same Object
12	Plant	Blue	Color	Dog – normal sound
13	Floor washing	Toilet flushing	Sound	Same Object
14	Traffic Light	Sidewalk	Location	
15	Ball	Bells	Sound	Different Category
16	Israeli Flag	Red	Color	Wind Chimes – normal sound
17	Parrot	Chicken	Sound	Same Category
18	Cat	Dog	Sound	Same Category
19	Cows	Bus station	Location	
20	Guitar	Trumpet	Sound	Same Category
21	Cannon	Clothes store	Location	
22	Ambulance	Ice-cream truck	Sound	Same Category + traffic light – normal sound
23	Face	Green	Color	
24	Dog	Office	Location	
25	Closing Door	Breaking glass	Sound	Same Category
26	W.C.	Book store	Location	
27	Bus	Elephant	Sound	Different Category
26	Traffic Light	Purple and red	Color	Traffic light - normal sound
29	Coca-cola vending machine	Blue	Color	Rotating door –normal sound
30	Cabbage	Blue	Color	Drumming – normal sound
31	Penguins	Store counter	Location	
32	Potatoes	Blue	Color	Merchant – normal sound
33	Eggs	Pink	Color	
34	Tomatoes	Blue	Color	
35	Bird Nest	Merchant's head	Location	

36	Merchant	Lion	Sound	Different Category
37	Apples	Purple	Color	
38	Child's face	Watermelons	Location	Merchant – normal sound
39	Bananas	Purple	Color	
40	Soldier's uniform	Purple	Color	Tower clock – normal sound
41	Giraffe	Art Gallery	Location	
42	Orthodox Jew's coat	Multicolored	Color	(instead of black)
43	Pig	Store counter	Location	
44	Car	Train	Sound	Same Category
45	Construction Truck	Gun fire	Sound	Different Category
46	Shop Sign	Reversed left- right	Location	
47	Eye (advertisement stand)	Mouse	Location	
48	Adults Laughing	Baby	Sound	Same Object
49	Koala	Baby Pouch	Location	
50	Lion's head (advertisement stand)	Woman's body	Location	

Data Analysis

Three incoherencies were excluded from the final analysis: two (blue cabbage and soldiers in purple shirts (see Figure 15, incoherencies 30 and 40 respectively), due to the high miss rate (\geq 25%) among the control subjects: and one due to repeated reports of its being confusing – a woman holding a koala in a frontal baby pouch. This resulted in 14 incoherencies for color, 17 - location, 16 – sound, for a total of 47.



Figure 15 Hits and Misses Histogram per Incoherency among the Control and Patient Groups

The hit (in red) and miss (in blue) rates are shown for each of the 50 incoherencies. The control group histogram is shown on the upper plot and the patient group – on the lower plot. The border line depicts the exclusion threshold which was set at 25% misses.

We measured detection rates separately for the sound, color and location categories, as well as the total detection rate. We defined another parameter, *gap*, to indicate whether some specific categorical deficiency. A *gap* was measured relative to individual performance levels, indicating whether the subject's detection rate in one category differed significantly from the remaining detection rates. Thus a subject could have uniform performance, a *gap* in one category, or a *gap* in 2 categories. For example, if a subject detected color and location incoherencies at a rate of 93% and 88% respectively, and sound at a rate of 25%, s/he was said to have a gap in the sound category. In addition, we recorded reaction time and the number of *partial detections*, defined as correct mouse clicks associated with failure to provide a plausible explanation.
The normal range for a given parameter was defined as the mean of the control group ± 2.5 SD, the range that included roughly 99% of the normal population.

4.1.3 Results

We analyzed the results in a number of ways. First, we analyzed the detection rates, which showed a very clear and significant difference between the control group (with close to perfect performance) and the patient group (with typically poor performance). Second, we defined and analyzed the *gap* phenomenon, which showed that patients had much larger variability in their responses as compared to the control group. Third, we analyzed verbal responses and found that the patient group had difficulty in explaining an incoherency even when the object was identified correctly. Fourth, we measured the correlations between the patients' PANSS scores and the measurements obtained in our experiments. Notably, there was a strong correlation between increased hallucinations and poor detection rate in our experiments. Finally, we analyzed the various types of incoherent events, categorizing them and ranking them according to their discriminability.

Detection Rates

The control group easily identified most of incoherencies; the hit and miss rates for all incoherencies are shown in Figure 15. Only two incoherencies were detected by fewer than 75% of the controls, and were therefore excluded from the final analysis. About 50% of the incoherencies were detected by all control subjects, while none of the incoherencies was detected by all the patients. About a third of the incoherencies were detected by less than 50% of the patients.

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Histograms of Hit Rates among the Control (on the left) and Patient (on the right) Groups

Horizontal axis represents detection rates, and vertical axis shows the number of subjects with this score. The different plots show total hit rate, as well as hit rate in each category: color, location and sound. The red (grey in B&W) bars show subjects who performed in the normal range (defined as the mean value of the controls group ± 2.5 SD). The blue (black in B&W) bars show subjects who performed below the normal range.

The histogram of detection rates in the control and patient groups is shown in Figure 16. The control subjects detected incoherencies very well, with an accuracy level of 96% on average (SD=4) (left panel). In general, the patient group (right panel) differed significantly from the controls. Normal detection rates are shown in red for each category, whereas blue bars indicate the number of subjects who performed below normal. For example, the normal range for total detection rates is 87-100%. The upper plot shows that all but one of the control subjects performed in this range. Among the patients only 6 subjects (red bars) performed in the normal range, whereas 37 subjects (blue bars) had lower detection rates.

The patient group exhibited the most difficulty in the sound category: 30 patients performed below the normal range, and 19 had detection rates below 50%, compared to the location category, where only 10 patients detected fewer than 50% of the incoherencies. These and other statistics on the control and patient groups are summarized in Table 8.

	Controls	Patients		
	Mean(std) detection	Number, below	Number, below	
	rate in %	control mean +2.5	50% detection	
		std		
Total	96 (4)	37 (86%)	11 (26%)	
Sound	94 (7)	30 (70%)	19 (44%)	
Color	95 (7)	28 (65%)	15 (35%)	
Location	98 (4)	25 (58%)	10 (23%)	

 Table 8

 Detection rates and other statistics for the control and patient groups

Gap phenomenon and various divisions of the patient group

The control group showed similar detection rates in all three categories (Figure 17A). The patient group, on the other hand, could be divided into two major sub-groups based on the similarity in detection rates. (1) The *uniform* group – patients whose detection rates in all three categories were similar. (2) *Gap* – the group of patients having specific difficulty in one or two categories. A patient was defined



Figure 17 Individual Performance Plots of the Control Subjects and Schizophrenia Patients

The horizontal axis represents three detection categories: sound, color and location, while the vertical axis shows the detection rate of the subjects in a given category. **A.** The control subjects exhibit similar detection rates throughout the different categories. The patients are divided into two groups: subjects with uniform performance (**B**, **C** and **D**) and subjects having a gap in either one (**E**) or two categories (**F**). The patients with uniform performance can be further divided by level of performance to: **B**. normal range; **C**. fair performers (below normal range but above 50%), and **D**. poor performers (below 50%); **E**. The patients with a gap in the sound category; **F**. The patients with a gap in the sound and color categories.

as having a specific impairment in one category – or *gap* – if this category score was significantly below his/her best category (a significant difference is a difference exceeding the mean±2.5SD of the control group). The *uniform* group could be further divided into: i) *uniform normal*: patients performing at normal levels (N=5 subjects, Figure 17B); ii) *uniform fair*: patients with fair detection rates (50-87%) but below the normal range (N=10 subjects, Figure 17C); and finally iii) *uniform poor*: patients with poor uniform performance below 50% (N=8 subjects, Figure 17D). Almost half of the patients (the *gap* group) had specific difficultly in one or two categories. 16 patients (37%) had a specific difficulty in detecting

audio-visual incoherencies: 7 patients had difficulty in the sound category only (Figure 17E), 7 patients had difficulty in the sound and color categories as compared to the location category (Figure 17F) and 2 patients had difficulty in the sound and location categories. Only 4 patients had other specific difficulties. The number of patients belonging to each of the above groups is summarized in Table 9.

			-
		Number of patients	% of patients
	Normal	5	11.6%
	Fair	10	23%
Uniform	Poor	8	18.6%
	Total	23	53.5%
	in Sound category only	7	16.3%
	in one other category	3	7%
Gap	in 2 categories: Sound & Color/Location	7/2	20.9%
	in 2 categories: Color and Location	1	2.3%
	Total	20	46.5%

Table 9Sub-groups of patients defined by performance

Verbal Response Analysis

Detection was only scored as correct if the subject provided a plausible explanation. Each incoherency could be explained by two arguments: an object and an incoherent property. For example, for a red cloud, the explanation had to contain an object word – cloud or sky and a word referring to a color: considered acceptable were such phrases as "wrong color", "red", or "should be blue/grey/white". Thus, each incoherency was defined by two "anchor" words

that had to be present in the explanation. Based on this definition all responses were rated for correctness by the experimenter.

However, as the experimenter was aware of subject's assignment to the control or patient groups, her ratings could be biased. Therefore, a number of external observers, blind to the purpose of the experiment and the assignment to patient vs. control group, analyzed the (recorded) verbal response associated with each incoherency detection. They ranked the answer as correct or incorrect, and provided some additional ranking as explained below. The external observers rated the responses of 62 out of 72 subjects, 4 subjects could not be rated because of poor recording quality and 6 subjects who spoke Russian.

The compatibility of the experimenter and external observers' ratings is shown in Table 10. For the entire control group the experimenter's and the external observer's rating differed 13 times: 10 times the experimenter accepted an explanation and the external observer did not, and 3 times vice versa; overall resulting in 99% compatibility in judgments of the correctness of explanation. In the patient group the compatibility was 92%, which reached 94.3% when discarding disagreements that did not affect the final detection scores (second column in Table 10). For both groups the experimenter tended to accept explanations as correct more readily than the external observers. This effect had little impact on the control group where there was 99% compatibility.

Because in the patient group the experimenter scored more the patients more favorably, the rating differences were not detrimental to the results in any way. Taking into account that compatibility between the ratings was very high, and that the experimenter's rating is more

complete, the detection rates provided in the results are based on the experimenter's ratings

and include 72 subjects.

Table 10

Compatibility of the experimenter and external observer rating

The first column indicates experimenter - observer agreement. The second column indicates differences in rating that changed the final detection score. Thus if for the same subject for one color incoherency only the experimenter rated a correct detection and for another color incoherency only the observer rated correct detection, the overall color and total detection scores did not change. This would result in 2 mismatches in the first column (rating) and 0 mismatches (scores) in the second column.

	Compatibility of rating, %	Compatibility of scores, %	Number of responses erroneously classified by exp. as correct	Number of responses erroneously classified by exp. as incorrect
Controls, N=26	99 (SD=1.5)	99.6	10	3
Patients, N=36	92 (SD=4.2)	94.3	84	49
All subjects, N=62	95 (SD=4.8)	96.3	94	52

The analysis of verbal responses revealed that about two thirds of the patients experienced some difficulty in explaining the incoherencies, even when they correctly identified the incoherent events. Specifically, the control subjects had on average 1 partial detection, defined as a correct mouse click associated with failure to provide a plausible explanation, with a maximum of 4 partial detections. In contrast, 32 (74%) of 43 patients failed to explain 5 or more detected incoherencies, with some patients having more than 20 partial detections.

The greatest difficulty was seen in the sound category. This however could have been the result of an apparent attentional bias to sound objects, which led subjects to prefer soundemitting objects regardless of the presence (or absence) of incoherency. This is supported by the fact that both the control and patient groups showed a highly significant decrease in detection rate of color and location incoherencies when a normal sound event was present in the scene. The control group exhibited a 6% decrease (T-test t=3.0430, df=28, p=0.005), and the patient group – an 18% decrease (T-test t=5.5425, df=42, p=1.8024 e-006).

To test the assumption of bias to sound objects we verified which object was reported as incoherent in the case of wrong detection of color and location incoherencies accompanied by a normal sound event. Table 11 shows, for every incoherency, the number of times that a normal sound object was reported as incoherent for the patient and control groups. In addition it lists all other objects that were reported as incoherent and the number of times each object was mentioned. To test the bias to sound objects we compared the average frequency of sound object reports with all other object reports.

The control group did not show any clear bias to sound objects, with an average frequency of 1 for sound objects reports and 1.7 for other objects. However the control group was smaller and had many fewer misses, approximately 10%. On the other hand, the patient group mentioned a sound object as incoherent on average 5.8 times (SD=4.7), while other objects were mentioned with a frequency of 1.9 (SD=1.6), the most frequent non-sound object was reported on average 3.8 times. The patients' bias to sound objects was highly significant (df=60, F=23.47, p=9.3e-6).

Table 11

Objects identified as incoherent in presence of normal sound event The numbers show how many times an object was reported as incoherent. The objects that were indeed incoherent and were mentioned as such but no proper explanation was provided are marked in italics.

Incoherency – normal sound	Reports of object	sound	Reports of other objects		
	Controls, N=26	Patients, N=34	Controls	Patients	
Pink passing lane – police car	1	8	-	2 – <i>passing lane</i> 1 – fruits	
Up-side street sign – cat	1	15	-	1 – window 1 – traffic light 1 – street	
Hydrant – car	0	0	-	2 – <i>barrier</i> 1 – road, 1 – door 1 – tr. Light, 1 – doll 1 – passing lane	
Blue plant – dog	2	11	1 - plant	2 – plant	
Red Israeli flag – wind chimes	0	0	-	3 - flag 1 - clock 1 - grids 1 - fixing	
Ambulance with ice- cream truck sound – blind traffic light	1	5	3 – lights of ambulance	5 – <i>ambulance</i> 2 – passing lane 1 – driver, 1 - road	
Colors of traffic light – blind traffic light	0	3	1 – speaker 1 - cloth	 8 – mannequin 5 – store 4 – cloth, 1 - colors 1 – sign 1, – merchant 	
Blue coca-cola vending machine – rotating door	2	4	1 - woman	5 – <i>cola machine</i> 2 – people, 1 – clothes 1 – size, 1 – guard 1 – store	
Blue cabbage – drums	5	10	2 – seller 1 - <i>cabbage</i>	4 – people 1 – market 1 - vegetables, 1 - bags	
Blue potatoes – merchant	0	3	-	2 – price 1 – colors, 1 – selling 1 – beans	
Child in watermelon – merchant	1	2	-	5 – <i>watermelon</i> 1 – fruits, 1 – order 1 – seeds and nuts, 1 – something	
Soldiers in purple shirts - clock	0	8	6 – station 1 – gun 1 – trees 1 - shoes	4 - station 3 - people 2 - bus, 1 - street 1 - woman, 1 - gun	
Total	13	69	19 reports of 11 objects	95 reports of 50 objects	

We further performed a more detailed analysis of verbal responses on 15 incoherencies in 10 control subjects and 28 patients. We rated their verbal responses for: (i) distance from target (DT) – measuring the relation between response and target, from 0 to 3: 0– full and correct explanation, 1 – two "anchor" words were mentioned but not associated into coherent explanation, 2 – one "anchor" word mentioned, and 3 – completely unrelated; (ii) length – the number of words in a response, and (iii) jumps - the number of unrelated topics in the response. An example of a rated response is given in Table 12.

Table 12

Examples of verbal responses ratings

Incoherency	Explanation	С	DT	J
Israeli flag – red	"It's an Israeli flag its color is blue and white"	1	0	0
Floor washing and toilet flushing sound	"she's washing the house, she's washing in the toilet" – two anchor words are mentioned "washing" and "toilet" but explanation is not complete	0	1	0
Red cloud	"The windows there something red up there" – "what is it?" – "I don't know" – "it's a cloud" – "a cloud" – "is the cloud ok?" – "yes"	0	1	0
Hydrant in the middle of the road	"Here in the road no signs no road marks how to drive how not to drive" – the "road" one anchor word is mentioned-> DT=2	0	2	0
Baby tapping on plastic – cymbals sound	"Is there a "mezuzah"? I know let a cat go to the kid"	0	2	1
Guitar with trumpet sound	"Music, a man is playing guitar, the problem is he's near a store, he is playing in the middle of the street"	0	2	0
Blue plant	"The dog is making noise"	0	3	0
Red cloud	"There's no door bell"	0	3	0

The explanations are translated from Hebrew. C – correctness, DT – distance from target and J – is jumps. Length is not shown, as it differs in the translated text. Experimenter's speech is indicated in red.

The patient group deviated more often from the target stimulus: average DT = 1, as compared to the control group with average DT = 0.17 (ANOVA p=2.3536 e-004, df=36, F= 16.68). The patients also gave longer answers: average length of 13.5 words vs. 9 in the control group (Figure 18).



Figure 18

Verbal Response Scores in the Control and Patient Groups

The plot shows parameters derived for verbal response analysis in the patient (red) and control (blue) groups. DT – distance from target measures the relation between response and target, Length - the number of words in a response divided by 10, and Jumps - the number of unrelated topics in the response.

Symptom analysis

Symptoms across different patient subgroups

Positive symptom scores as measured by PANSS increased across the four patient

subgroups: uniform normal, uniform fair, uniform poor, and gap (Figure 19A).

The *uniform normal* group differed significantly from the other three on the "hallucination"

score, as well as the "delusion" score (with a significant difference with the gap group).

Negative scores showed greater similarity among the four groups, except for "difficulty in

abstract thinking" where a significant difference was found between the *uniform normal* and

uniform fair groups and the uniform poor and gap groups (Figure 19B).



A. Positive Symptoms across different patient subgroups

Selected Scores of Positive and Negative Symptoms for Four Patient Subgroups

A&B. Selected scores of Positive and Negative symptoms for four patient subgroups: i) *uniform normal* group; ii) *uniform fair* group; iii) *uniform poor* group; iv) *gap* group.

Correlations with symptoms

We found a number of significant correlations (Table 13) between detection rates and the PANSS scores in the patient group: i) The "hallucination" score was correlated with low total and sound detection rates. ii) "Difficulty in abstract thinking" showed a correlation with low total, sound and color detection rates. In addition, reaction time showed a negative correlation with age (as it would in any case).

Table 13 Significant correlation found between measured parameters and PANSS scores: Spearman's r correlation coefficient, two-tailed test df=41

Score/PANSS	Hallucinations	Difficulty in abstract thinking	Age
Total detection rate	0. 330700 (t=2.24, p=0.03)	0. 386135 (t=2.68, p=0.01)	
Color detection rate		0.477758 (t=3.48, p=0.001)	
Sound detection rate	0.358258 (t=2.46, p=0.02)	0.405735 (t=2.84, p=0.007)	
RT			0.332485 (t=2.23, p=0.03)

Comparative performance among patient subgroups defined by symptoms

We divided the patients into three groups based on their PANSS scores: i) dominant positive symptoms (N=9); ii) dominant negative symptoms (N=21); and iii) combined group (N=10); 2 patients had no symptoms. The Positive group showed significantly lower detection rates in all categories as compared to the two other groups (Figure 20).

Interestingly, the combined group performed similarly to the negative group; i.e., had significantly better detection rates than the positive group in all categories, while maintaining a similar average positive score to the positive group (Table 14).

In addition, out-patients performed better than in-patients: i) Total detection rates were on average 10% better; ii) only 2 out-patients had a total detection rate below 50% as compared to 9 in-patients; iii) 4 out of the 5 patients who performed in the normal range were out-patients.



Figure 20

Comparative Performance among Patients Subgroups defined by Symptoms

Comparison of detection rates: total, color, location and sound categories - among patient subgroups defined by symptoms: dominant positive symptoms, N=9; dominant negative symptoms, N=21; combined symptoms, N=10. Left panel shows detection rates: total, color, location and sound for each group.

Table 14 Average of positive and negative symptoms across the three patient subgroups

	Dominant Positive	Dominant	Combined
	Symptoms	Negative	Symptoms
		Symptoms	
Positive Symptoms Average	4.4(±0.62)	2.6(±0.85)	4.0(±0.62)
Negative Symptoms Average	3.9(±0.51)	4.7(±0.76)	4.8(±0.64)

4.1.4 Analysis

Analysis of Incoherencies

To evaluate which incoherencies were most successful in discriminating the control and the patient groups, we used a measure of Mutual Information (MI). An incoherency is given a high MI score if success or failure to detect it correlates highly with one group alone (control or patients). For example, an incoherency that is only missed by patients is a good discriminator between the groups. An incoherency that is equally detected or missed by the control and patient groups is a poor discriminator.

The 10 most discriminating incoherencies included 6 from the sound category, and 2 from each of the color and location categories. For the patient group these incoherencies were more difficult to detect than the remaining 40, while for the controls they did not present any special difficulty. These included (in rank order starting from the best incoherency): adults laughing like babies, reversed traffic-light colors, floor washing accompanied by the sound of toilet flushing, airplane accompanied by bombing sounds, a bouncing ball sounding like a bell, a blue coca-cola machine, a child in watermelons, reverse writing on a street sign, baby playing with plastic can and making a cymbal sound, and a bus making an elephant sound.

The 10 least discriminating incoherencies contained 6 from the location category, and 2 from each of the sound and color categories. These incoherencies were equally easy (or hard) to detect for the patient and control groups. This set of incoherencies included (starting from the least discriminating incoherency): a dog serving customers, a giraffe shopping, a hydrant in the middle of the road, purple bananas, a chair on the roof, ambulance making an ice-cream-truck melody, a red cloud, a barking cat, a mannequin with a lion-head, and two cows in a bus station.

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Analysis of Sound Incoherencies

The sound category included 16 incoherencies. A closer look at the sound incoherencies revealed that incoherent sounds could be further classified in terms of their relationship to objects: i) same category incoherency, such as a barking cat where one animal's voice is replaced by another animal's voice (animal-animal), or a car making train sounds (vehicle-vehicle replacement); ii) different category, such as a construction truck making gun fire sounds ; and finally iii) same object, when the sound is correct but the circumstances are wrong, like adults laughing like babies, floor washing accompanied by toilet flushing sounds, and a civilian plane making bombing sounds. The last group was the most difficult for the patient group to detect - fewer than 50% of the patients detected these events, as compared to 92% of the controls.

In addition sound incoherency object could be static/transient – the object emitting sound was either static (a subject could look at it up to 1 minute) or transient – a moving object, like a car, which was visible for about 10 seconds. Transient sound incoherencies restricted the time for integration of information and thus were expected to be more difficult as well. However, the number of different types of incoherencies was too small to make any significant comparisons, and therefore all the results are descriptive.

The control group showed very little differentiation among different incoherencies; only four incoherencies could be scored as hard, with detection rates ranging between 79% and 86%, while the remaining 12 incoherencies had detection rates above 93%.

Table 15Rating of the sound incoherencies by detectability

First column rates the incoherencies by the detection rates of the patient group. Second column – by the detection rates of poor performers. Third column – by the detection rates of fair performers. The incoherencies are labeled by one key word, indicating an incoherent object, for detailed description see Table 7 - the number in parenthesis.

Detection rates, All patients, N=43	Detection by poor performers, N=16	Misses by fair performers, N=22	Overall rating	
Detection < 50%	Detection <12%	Miss > 36%	Difficult	
Laugh (48)	Laugh (48)	Laugh (48)	Laugh (48)	
Ball (15)	Car (44)	Ball (15)	Ball (15)	
Car (44)	Grass (8)	Plane (11)	Car (44)	
Grass (8)	Ball (15)	Car (44)	Plane (11)	
Plane (11)	Floor (13)	Grass (8)	Grass (8)	
Floor (13)	Ambulance (22)	Floor (13)	Floor (13)	
Detection >50%	12%< Detection	13% < Detection	Medium	
	<30%	< 36%		
Baby (9)	Baby (9)	Guitar (20)	Baby (9)	
Guitar (20)	Plane (11)	Baby (9)	Guitar (20)	
Ambulance (22)	Door (25)	Door (25)	Door (25)	
Door (25)	Bus (27)	Bus (27)	Bus (27)	
Bus (27)	Merch. (36)	Merch. (36)	Ambulance (22)	
Merchant (36)			Merchant (36)	
Detection >65%	Detection > 30%	Miss < 13%	Easy	
Parrot (17)	Construction (45)	Ambulance	Construction (45)	
Construction (45)	Guitar (20)	(22)	Parrot (17)	
Dog (2)	Parrot (17)	Parrot (17)	Dog (2)	
Cat (18)	Dog (2)	Dog (2)	Cat (18)	
	Cat (18)	Construction (45)		
		Cat (18)		

The patient group on the other hand presented differences in detection among the incoherencies. The incoherencies were ranked by detection rates from hardest to easiest in three ways: a) detection rates for all patients; b) detection rates for poor performers (<50%

sound detection rate), i.e. what patients who miss almost everything still detect; and c) miss rates for good performers, i.e. what the patients who detect most incoherencies still miss. These ratings are shown in Table 15. The most difficult audio-visual incoherencies included the three 'Same Object' sounds, and 3 out of 5 transient sound events. The 'Same Object' sounds also fell into the 10 best discriminating incoherencies; they were ranked as 1st, 3rd and 4th best incoherencies. The easiest audio-visual incoherencies were for the animals with sounds typical of another animal associated with them.

Discrimination procedure

How well can performance on an incoherencies detection task discriminate control and schizophrenia populations? We designed a discrimination procedure based on 5 parameters: the four detection scores (total, color, location and sound) and the presence of a *gap*. Thus each subject having 2 or more scores (out of 5) below the normal range was classified as a "patient", otherwise s/he was defined as "normal". This procedure yielded an 89% correct classification, with 3.4% false alarms (one healthy subject classified as a patient), and 16.3% misses (7 patients classified as normal), see Table 16A. Next, we removed the 10 least discriminating incoherencies as defined by the MI analysis, in order to improve prediction accuracy to 91.6% (1 control and 5 patients misclassified).

We used a cross-validation paradigm to check the generality of our results and to avoid the danger of over-fitting. Specifically, we divided the subject population into two balanced groups: one with 35 subjects (14 controls and 21 patients), and one with 37 subjects (15 controls and 22 patients). We then calculated the MI measures and the normal ranges using the first group only, and evaluated the discrimination procedure on both groups separately (see Table 16B).

Table 16Improvement in correct prediction rates after removing the 10 leastdiscriminating incoherencies

	Α	All Subjects		B	First group		Second group	
		All	Removing		All	Removing	All	Removing
		features	10 easy		features	10 easy	features	10 easy
Controls		96.5%	96.5%		93%	93%	100%	100%
Patients		84%	88%		81%	90.5%	82%	86.4%
Total		89%	91.6%		86%	91%	89%	92%

A. Analysis performed on all subjects. **B**. Cross-validation test: removal of incoherencies was calculated using only half the subjects – the first group.

Clearly prediction accuracy was similar in both groups. In addition, when removing the 10 least discriminating incoherencies as calculated based on the first group, we obtained a similar improvement in classification in both groups. This confirms the generality of our results as regards discrimination between the schizophrenia patients and normal populations.

Analysis of Incoherencies Detection Based on Partial Detection

As already mentioned, detection was counted as correct only if a subject gave an appropriate explanation. Thus although correctness of an explanation is well defined, the rating may differ among different observers. Therefore, we repeated the entire analysis above based on partial detections only; i.e. detection was scored as correct if a subject clicked on an incoherent object, regardless of the explanation given. Naturally, detection rates based on partial detection were greater in both the control and the patient groups, see Table 17; the total detection rate of the control group improved to 98% (as compared to 96%). Though the number of patients performing below the normal range remained similar, the number of patients detecting fewer than 50% of the incoherencies decreased in all categories, especially

in the sound and color categories. In the sound category the percent of patients performing

poorly decreased to 27%, as compared to 44% when based on verbal explanation; and in the

color category - to 7% vs. 35%.

Table 17 Statistics of the patient and control groups' detection rates based on partial detections

The bold numbers show detection rates based on partial detections. For comparison, the detection rates based on verbal explanations are shown in a smaller font.

	Controls	Patients		
	Mean(SD)	Number, below controls	Number,	
	detection rate	mean -2.5 std	below 50%	
	in %		detection	
Total	98(3) 96 (4)	75% 86%	13% 26%	
Sound	96(7) 94 (7)	65% 70%	27% 44%	
Color	99(3) 95 (7)	70% 65%	7% 35%	
Location	99(4) 98 (4)	60% 58%	17% 23%	

As may be expected from the improvement in the detection rates, especially among the poor performers, the sub-division of the patients underwent major changes. According to the patient detection rates, only one out of 8 patients remained in the *poor uniform* group, and the *gap* group contained mainly patients with *gap* in the color category instead of the sound category. A better partial detection rate in the sound category resulted in no correlation between the hallucination score on the PANSS and detection rates. However, with only three patient sub-groups remaining: *uniform normal, uniform fair* and *gap*, the positive symptoms increased across the three sub-groups, in a similar manner to the four sub-groups as defined earlier.

Nevertheless the discrimination analysis yielded similar results (Table 18). Despite major improvement in detection rates among the patients, especially in the sound category (which proved to be the most discriminating category), the accuracy of the prediction in patients decreased only about 10%, i.e. 77% as compared to 88% (Table 16). The accuracy of the discrimination of the control group remained very similar, overall resulting in correct classification in 84% of the subjects compared to 92%.

Table 18

Total

82%

84%

Discrimination analysis based on partial detection rates

performed on all subjects. B. Cross-validation test: removal of incoherencies was calculated using only half t subjects – the first group.								
	A	All Subjects		B	First group		Second group	
		All	Removing		All	Removing	All	Removing
		features	10 easy		features	10 easy	features	10 easy
Controls		95%	95%		91%	100%	100%	91%
Patients		75%	77%		70%	80%	80%	85%

77%

Improvement in correct prediction rates after removing the 10 least discriminating incoherencies. A. Analysis he

The biggest difference between mouse click and full explanation detection rates was found in the sound category. This can be explained by an attentional bias to sound objects among the patients, as discussed in the Verbal Response Analysis section. Therefore, the correct choice of an incoherent object by a mouse click is not a sufficient indicator for scene understanding. Analysis of partial detections and presence of a bias to sound objects led us to conclude that explaining an incoherency is an inseparable component of a subject's response.

87%

87%

87%

4.1.5 Summary and Discussion

In this experiment we showed that schizophrenia patients can be readily differentiated from the controls based on their performance in the incoherencies detection task. Specifically, detection rates correctly predicted 88% of the patients and 96% of the controls. In addition, the severity of a patient's hallucinations, was directly associated with poor detection of audio-visual incoherencies. This fact suggests that hallucinating patients suffer from a specific disturbance in audio-visual integration.

Analysis of partial detection rates and verbal responses demonstrated that the patient group experienced great difficulty in explaining incoherent events, especially audio-visual ones, even when an incoherent object was correctly identified. The incoherencies detection task proved to be very efficient in the discrimination of schizophrenia patients from healthy controls. This is supported by good discrimination accuracy even when the procedure was based on partial detections.

Incoherency analysis revealed that some incoherencies discriminate the controls and the patients better than others. The auditory events proved to be the most effective, and in particular the events involving auditory stimuli with object and sound that matched in general but were used under the wrong circumstances, as in adults who appear to be laughing but sound like babies laughing. Future designs of effective diagnostic virtual scenarios should include a smaller number of incoherent stimuli, probably all from this category.

4.2 Combining Two Dimensions of the Diagnostic Profile

We tested 39 schizophrenia patients on the working memory task and 43 patients on the reality perception task; based on parameters measured in these tasks we correctly predicted 85% and 88% of the patients respectively. Would the accuracy of prediction improve if we could test all subjects on both tasks? 10 schizophrenia patients participated in both experiments: the working memory and the incoherencies detection task, and four of them also participated in the perseveration experiment in a group where task understanding was measured.

Table 19 summarizes the performance of these 10 patients who participated in both experiments. For each patient Table 19 shows classification in the Working Memory experiment, working memory measure, distractor effect presence, difficulty in task understanding as measured by the perseveration experiment and classification in the incoherencies detection task. In addition the PANSS symptoms evaluation at the time of the first – Working Memory - experiment and the second – Incoherencies Detection Task are shown.

Out of 10 patients who participated in both experiments 6 patients differed from the normal profile on both cognitive dimensions studies, i.e. were correctly classified both times; 1 patient (Xxx6) was misclassified both times; and 3 patients were identified as patients only in one experiment: Xxx1 functioned properly on the working memory task and deviated from the normal range on the incoherencies detection task, while Xxx2 and Xxx5 were classified only by the working memory task.

Table 19

Performance comparison among schizophrenia patients who participated in both experiments

The columns show: **WM Experiment classification** - how a patient was classified in the Working Memory experiment: '+' - correct classification, 'miss' – misclassified patient. **WM** – working memory measure: '+' - impaired score, '-' - normal score. **DE** – presence of distractor effect: '+' – present, '-' – absent. **Perseveration**: '+' - impaired score, '-' - normal score. **IDT** - Incoherencies detection task classification: '+' - correct classification, 'miss' – misclassified patient, in addition a patient's performance subgroup is indicated. The last two columns show the average of positive (first number) and negative (second number) symptoms at the time of the two experiments. PANSS scores range 1-7. NA – not available.

	WM Experiment classification	MW	DE	Perseveration	IDT classification	Symptoms 1 st experiment	Symptoms 2 nd experiment
Xxx1	miss	-	+	-	+ uniform fair	2.57 5.29	2.29 3.57
Xxx2	+	+	+	-	miss	4.57 4.29	3.71 4.29
Xxx3	+	+	-	+	+ gap in color&location	5.0 6.14	4.14 5.57
Xxx4	+		+	+	+ uniform poor	2.71 5.57	2.71 4.71
Xxx5	+	-	-	NA	miss	4.29 4.43	3.0 4.57
Xxx6	miss	-	-	NA	miss	3.14 5.29	2.14 3.43
Xxx7	+	+	-	NA	+ uniform fair	3.29 5.43	2.14 2.86
Xxx8	+	-	+	NA	+ gap in sound	2.71 6.57	4.71 5
Xxx9	+	+	-	NA	+ gap in sound	3.43 6.0	3.14 4.86
Xxx10	+	+	+	NA	+ uniform fair	1.86 6.0	3.43 6.29
Xxx11	miss	-	-	+	NA	NA	NA

However between the first and the second test the patients' condition could change dramatically, since there was about a year between the experiments. First of all, 9 of the 10 patients who participated in both tests were outpatients from the hostel population, and since they remained outpatients, their condition did not change dramatically. In addition, the patients were evaluated for symptom severity by PANSS at both time points, and the averages of positive and negative symptoms at the time of each experiment are shown in last two columns of Table 19.

Only two patients (Xxx8 and Xxx10) had higher positive symptoms scores at the second time point, the remaining 8 patients showed improvement in positive symptoms, and all 10 patients showed improvement in negative symptoms. The patients Xxx2 and Xxx5 were correctly classified only in the first experiment and had higher positive symptoms scores at that time. However an additional 6 patients improved on the positive symptoms score without a difference in performance on the two tasks. By contrast, patient Xxx1 who was correctly classified only in the second test had no change in positive symptoms and even improvement in negative symptoms. Therefore correct classification cannot be attributed to the patients' current condition but to an impairment in the appropriate cognitive dimension.

It is worth noting that in 6 out of 10 patients the presence of distractor effect correlated with incoherency detection ability.

Xxx11 demonstrates a task understanding dimension that was measured in the perseveration experiment. This patient exhibited poor ability to figure out what to do in the perseveration task, but when he received an explanation about the task he performed in the normal range and was misclassified in the working memory task.

4.3 Comparison with Standard Cognitive Tests

Both, the Working Memory test and the Incoherencies Detection Task proved to be powerful indicators of schizophrenia deficits: with 85% and 88% of the patients demonstrating impairment on the test and 100% and 96% of the controls classified correctly. This is compared to 72.5% of the correctly identified patients and 85.7% of controls based on eight cognitive dimensions in Palmer's study, while on each cognitive dimension only 9-67% of the patients showed impairment.

To evaluate the strength of the Incoherencies Detection Task we use a standard measure of effect size - Cohen's d⁶⁰, which estimates the degree to which the phenomenon is present in the population. Specifically, size effect measures the difference between the patient and control means on a variable of interest, calibrated by pooled standard deviation units. In our experiment we obtain an effect size for total detection rate of 1.86, which is a very large effect. For comparison, in a meta-analysis of 204 cognitive studies, Heinrichs and Zakzanis⁶¹ summarized the mean effect size for different cognitive tests. The biggest effect size was found for global verbal memory and equaled 1.41 (SD=0.59). Other standard tests show smaller effect size. For example, Continuous performance test - 1.16 (SD=0.49), Wisconsin card sorting test - 0.88 (SD=0.41), and Stroop - 1.11 (SD=0.49).

Chapter 5

Audio-Visual Integration in Normal Subjects

5.1 Experimental Design

While designing virtual reality environment for the incoherencies detection task, we noticed that creating the perception that an incoherent sound belongs to an object is far from straightforward. For example, although a barking sound can be readily perceived as coming from a cat when synchronized in time and located correctly on the left-right axis (about 90% of subjects relate barking to a cat and report an incoherency), a singing cat is less successful (the rate was roughly 55% detections). An incoherent sound and a source object apparently need to share some similarity to allow for mismatch perception. We hypothesized that sounds and objects that belong to the same category would be linked better, and therefore reported as incoherency, than ones belonging to different categories. We investigated this assumption in an additional experiment.

5.2 Methods

We used the same virtual environment and added a number of incoherent and coherent sound events to include 18 sound incoherencies and 15 normal sound events. The sound incoherencies with same and different category sounds are listed in Table 20. For example, on the same category trial a dog mooed like a cow – the sound and object belong to the same category, and on the different category trial a dog made sounds of a train horn. 29 students volunteered to take part in the study and were randomly assigned to one of the two

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experimental conditions. Each participant experienced 9 incoherent sound events from the

same category and 9 incoherent sound events from

Object	Inconsistent Sound	
	Same Category	Different Category
Dog	Cow	Train horn
Hammer	Wood sanding machine	Church bell
lawnmower	Fax	Bee
Baby banging a plastic can	Drums	cuckoo clock
Plane	Bombing	Horse galloping
Floor washing	Toilet flushing	Radio tuning whine
Parrot	Rooster	Sitar
Cat	Dog	Woman song
Fountain	Rain-thunder	Hairdryer
Ambulance	Ice-cream truck	Marching feet
Closing door	Breaking glass	Car brakes
Bus	Car brakes	Elephant
Drums	Trumpet	Bubbling sound
Merchant	Sneeze	Lion
Merchant	Kissing sound	Bird song
Child	Many children cheering	Explosion
Car	Train	Applause
Adults laughing	Baby laugh	Whistle

Table 20 A full list of sound incoherencies used in the experiment

the different category. 14 subjects heard the sound indicated in red in Table 20 and 15 subjects heard the sounds shown in blue italics.

Unlike in the first experiment, the participants were provided no information on three categories of possible incoherencies and an incoherent scene was not indicated by pause in navigation.

The Fountain incoherency was excluded from the final analysis because the sounds linked to it were not perceived as intended. The rain-thunder sound that was intended to represent the same category sound -a 'nature' sound, was perceived as the sound of a mechanical appliance.

5.3 Results

Incoherent sounds belonging to the same category as the target objects were more readily detected. The average detection rate of the same category sound incoherencies was 60.9% as opposed to 52.3% for the different category incoherent sounds. This 8.6% difference was highly significant, p = 0.002954. Figure 21 shows same and different category detection rates for each subject, and shows that the majority of the subjects had higher detection rates for same category sounds. Only 5 out of 29 participants (as indicated by dashed lines) had higher detection rates for sounds from a different category. No significant difference in overall performance was found between the two experimental conditions ('red' and 'blue' sounds in the Table 20).



Figure 21 Sound Incoherencies Detection Rates of Same Category Sounds vs. Different Category Sounds in Normal Subjects

The detection rates for the same and different category sounds are shown for each subject. Solid lines indicate subjects that had better same category detection rates. Dashed lines indicate subjects that had better different category detection rates.

A comparison of detection rate of the incoherent sound from the same and different categories was also made for each incoherency. This also showed better detection of incoherencies when a sound and an object belonged to the same category (Figure 22). Specifically, 11 sound incoherencies were better detected when a sound belonged to the same category vs. 6 incoherencies that were better detected when a sound belonged to a different category.



Figure 22 Sound Incoherencies Detection Rates of Same Category Sounds vs. Different Category Sounds for each Incoherency

The plot shows detection rates for sounds from the same category (blue) and from the different category (red) for each of the 18 incoherent objects, see Table 19 for specific sounds.

The two most detectable incoherencies belonged to the same category: the ambulance

playing the ice-cream truck jingle (93% detection) and a barking cat (89%). However, well

detected incoherencies with a rate of 70-80% included 4 events from the same category

(mooing dog, plastic can sounding like cymbals, talking merchant making a kissing noise,

crowing parrot) and 5 from the different category, the latter included a bus trumpeting like an elephant, bubbling drums, a merchant roaring like a lion, a hammer pounding like bells and a plane galloping like a horse. The least successful incoherencies with detection rates below 40% were a door slamming with the sound of car brakes, a chirping merchant, a child making an explosion sound, and dog barking like a train – 4 events from the different category, and 3 events from the same category: a child sounding like many children cheering, a car accompanied by a train whistle, a hammer sounding like a wood sander.

5.4 Discussion

Incoherent sounds belonging to the same category as the target objects were more readily detected; this was exhibited both in individual subjects' detection rates and detection rates for each incoherency. However, individual detection rates indicate that some incoherencies constructed with different category sounds were very successfully detected, such as the merchant roaring like a lion or the 'elephant' bus, and some same category incoherencies were poorly detected, such as a car making a train sound.

The division into categories is not well defined, and other criteria may better predict which sounds will be better detected, for example, a sound relating to the same action. The baby banging the plastic can accompanied by a sound of cymbals is in fact best described as the same action rather than the same category. For a few incoherencies the different sound was very successful in being perceived as emitted by an object, such as hammer with bells (vs. sander) sound, and the merchant with lion (vs. sneezing) sound.

Another factor that may affect the perception of a sound source is whether the sound is likely to be heard against background noises on the city streets or not. The biggest difference between same and different category detection rates was seen in the dog with cow or train sounds, the closing door accompanied by breaking glass or squealing car brakes, and the merchant making kissing sounds or bird chirps. In all these cases the different category sound could be attributed to ambient city noises. On the other hand, a lawnmower sounding like a fax or buzzing like a bee had little effect on detection rate. Applause accompanying a passing car or elephant trumpeting associated with a bus are unlikely to be heard on the streets and yielded higher detection rates than same category sounds. The incoherent sounds that are rarely expected to be heard on the streets were more readily detected, 65% on average (SD=13), as compared to common street sounds – 54% on average (SD=23), this difference is close to significance (F=3.14, p=0.09). Categorical resemblance, similarity of action, sound frequency and expectancy in every day life environments - these are probably only some of the factors that affect sound perception and linking to a source object. We had no controls for these situations.

Chapter 6

Summary and Discussion

The main goal of this research was to develop an alternative approach to the diagnosis of schizophrenia. Our approach relies on conceptualizing schizophrenia as a disorder of integration and taking cognitive functions as basis for diagnosis and patient profiling. We suggested that the diagnostic profile of schizophrenia should contain a number of different cognitive dimensions, representing cognitive functions involving integration processes. In this work we tested two dimensions of schizophrenia deficits: low-level sensory integration within working memory, and high-level conceptual integration in the incoherencies detection task.

Both dimensions were highly accurate in assigning the participants to the control and patient groups. The working memory task correctly predicted 85% of the patients and the entire control group, and the incoherencies detection task – 88% of the patients and 96% of the controls, which is better than any of the eight cognitive dimensions tested by Palmer³⁹.

In the first experiment an extensive performance profile constructed for each subject allowed for the success in classification. The main cognitive functions tested in this experiment were working memory and ability to ignore irrelevant information. However the distractor effect was not strong, suggesting that the low-level integration of audio-visual integration is relatively spared in schizophrenia. While only 62% of the patients demonstrated impairment in working memory and/or a distractor effect, the performance profile helped increase patient identification to 85%. This improvement resulted from the classification procedure that we applied. While Palmer relied on binary approach, and classified a subject as impaired if s/he

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had an impaired score on two or more dimensions, we tested the probability of performance profile. Distribution of performance profiles contains important additional information, such as expected relationship between the measures. This is supported by additional studies, for example, Wilk⁴⁰ demonstrated that patients had non-uniform performance profile as compared to the control group, with some measures superior and some inferior to control level.

The second test, on the contrary, measured a limited number of parameters, but succeeded in capturing audio-visual integration very well. Based solely on detection rates of incoherent events from three categories, we succeeded in correctly classifying 88% of the patients. The poor performance on the incoherencies detection task was correlated with the PANSS auditory hallucination score, suggesting that auditory hallucinations and the incoherencies detection process tap a common brain mechanism. This may be particularly useful as only few cognitive tests showed any correlation with the presence of hallucinations³⁰⁻³³.

The third dimension that was indirectly studied is task understanding in the perseveration experiment. In the working memory experiment the patients did not differ from the controls on the perseveration measure. This stemmed from detailed task explanation and training, as was verified in the additional experiment. However, the group of patients that received no task explanation differed significantly from the patient group that received an explanation on a number of parameters measuring success on the task and perseverative error rate.

Combining different cognitive dimensions in the schizophrenia diagnostic profile should thus result in a more accurate discrimination procedure of the schizophrenia patient population from healthy controls. This is supported by analyzing the performance of 10 schizophrenia

patients who were tested for two dimensions: working memory and reality perception. While using a single dimension we had 2 or 3 out of 10 patients misclassified, combining the two tests in a straight forward way resulted in only one misclassified patient. The final diagnostic routine should use a more sophisticated classification procedure, for example, evaluating the probability of combined performance profile in control population distribution, as in the Working Memory Experiment. Moreover, the difference in performance between the two tasks was more likely to result from true cognitive impairment rather than from fluctuation in the patient's condition.

Additional support for the benefit of combining different cognitive dimensions for final diagnosis comes from the Perseveration experiment. One patient significantly differed from the normal range in the Perseveration experiment, but performed perfectly on the Working Memory experiment (a more difficult one) after receiving an explanation and training. This patient was misclassified as a healthy participant based on performance on the Working Memory experiment, and could only be identified as a patient based on measures from the Perseveration experiment.

This example, together with the results from the perseveration experiment, indicates that the traditional method of measuring perseveration needs to be revised. Perseveration is a characteristic phenomenon in the schizophrenia population that manifests even in everyday behavior, such as speech or unwillingness to change from boots to sandals in summer and back to boots in winter. However our results show that a high perseveration score on the standard Wisconsin Cards Sorting Test results from poor task understanding or a lack of ability to develop a strategy for problem solving rather than from perseverative behavior.

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In this research we targeted two main dimensions of brain organization that are known to be compromised in schizophrenia; however, other brain functions relevant to schizophrenia should be included in the final schizophrenia diagnostic profile. These include the executive function, learning ability and emotional and social interaction functions. Though schizophrenia patients are evaluated for social interaction and emotional state as part of the PANSS, tests that measure these functions still need to be developed. Virtual Reality technology makes it possible to design a complex scenario with virtual people – avatars that may communicate with a user in a close to natural way. On the one hand, a user may express him or herself more freely in the virtual world, while on the other s/he can be put in a specifically designed situation that will challenge emotional and communication abilities, so that behavioral as well as physiological parameters can be measured.

The ability to 'map' functional disturbances of brain organization using specifically designed challenging virtual scenarios provides the potential for a novel diagnostic approach to serious mental disorders. Many more experiments are required to achieve this goal before a comprehensive, valid, and sensitive profile can diagnose the wide spectrum of schizophrenia deficits. The results should also be confirmed with additional comparison groups, consisting of patients with different mental disorders. In a world of growing economical burdens due to costly complicated medical testing procedures, the diagnostic virtual scenario can be delivered using economically affordable technology. Coupling the schizophrenia diagnostic profile with other powerful diagnostic tools such as fMRI presents great potential for better determining the etiology of schizophrenia as a functional brain organizational disorder.
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Appendices

A. Deviation from the normal range in the control and patient groups in the Working Memory Experiment

The number of subjects deviating more than 2, 3, 5 and 10 standard deviations from the controls mean for each parameter.

	Workin	Working Memory and Integration Group									
	Session	s						Training			
	DE, sound rule	DE, sound & shape rule	CE	Errors, when using the rule	Errors, when learning the rule	Consecutive Errors	Perseverative Errors %	Errors, when using the rule	Errors, when learning the rule	Consecutive Errors	Perseverative Errors %
Controls, above 2 std	1	2	1	1	1	1	1	1	0	1	0
Patients, above 2 std	15	14	11	20	15	23	0	28	12	4	4
Patients, above 3 std	5	12	7	15	11	15	0	25	3	2	2
Patients, above 5 std	1	5	1	11	1	4	0	14	0	0	0
Patients, above 10 std	0	1	0	2	0	0	0	2	0	0	0

			N	lavigation	n & Strat		Learning group, Improvement rates							
	RT	Doors observed	Time spent looking on doors	Ratio of selected doors to location of correct doors, middle door	Navigation index, combines speed and a number of collisions	Back motion % from total movements	Side motion % from total movements	Rotation motion % from total movements	Errors, when using the rule	Errors, when learning the rule	Consecutive Errors	Perseverative Errors %	RT	Navigation Speed
Controls, above 2 std	2	1	0	0	0	0	0	0	1	1	1	1	1	0
Patients, above 2 std	17	6	11	13	8	9	0	19	19	5	4	4	17	15
Patients, above 3 std	13	5	5	10	6	6	0	9	13	1	2	1	11	11
Patients, above 5 std	4	3	2	4	5	2	0	1	3	0	0	0	7	3
Patients, above 10 std	1	0	0	0	1	1	0	0	0	0	0	0	2	1

B. Correlation of the measured parameters with the PANSS scores in the Working Memory Experiment

Spearman's correlation indices between parameters and PANSS symptoms. for N=60, r > 0.33 is significant with p<0.01.

Table 1. Positive Scale

				S	Symptom	8			
	Parameter	Delusions	Conceptual disorganization	Hallucinatory behavior	Excitement	Grandiosity	Suspiciousness/p ersecution	Hostility	
D	E, sound rule	0.4407	0.5775	0.4721	0.4331	0.2249	0.4850	0.4386	
D	E, sound & shape rule	0.3257	0.4104	0.2055	0.1768	0.0522	0.3476	0.0671	
С	E	0.1266	0.2021	0.2848	0.2463	-0.1096	0.1913	0.2203	
Е	rrors when using the rule	0.5431	0.6017	0.4806	0.4646	0.3121	0.5225	0.2841	
Е	rrors when learning the rule	0.3234	0.2863	0.2463	0.1522	0.0791	0.2972	0.2394	
С	onsecutive errors	0.3774	0.5014	0.2549	0.2636	0.0915	0.4505	0.3072	
P	erseverative errors %	-0.1304	-0.2133	-0.1275	-0.1916	-0.0961	-0.2311	-0.1579	
	Errors when using the rule	0.5504	0.5769	0.3991	0.4568	0.2339	0.5574	0.4714	
ning	Errors when learning the rule	0.2677	0.3685	0.2505	0.2953	0.1555	0.2511	0.2421	
Traii	Consecutive errors	-0.0205	-0.0426	0.0476	0.0057	-0.0331	0.0164	-0.0443	
	Perseverative errors %	0.3302	0.2429	0.0826	0.1611	0.4497	0.2991	0.2299	-
R	esponse time	0.2326	0.3672	0.0966	0.3274	0.0755	0.4902	0.3915	
D	oors observed	0.1711	0.2536	0.1058	0.1745	-0.0111	0.1792	-0.0099	
D n	ifferent doors observed ratio to umber of doors observed	0.0690	0.1542	0.0149	0.0904	-0.1347	0.1382	0.0121	_
Т	ime spent looking on doors	-0.0468	0.1244	-0.0107	0.1530	-0.1418	0.3121	0.2252	_
S	election strategy	0.4610	0.5529	0.4864	0.4593	0.4033	0.4825	0.2788	
N	avigation index	-0.0639	0.0383	-0.1379	-0.0177	-0.1825	0.1496	0.1454	
В	ack movement %	0.1176	0.1362	-0.0370	-0.0174	-0.0148	0.1691	0.2336	
S	de movement %	-0.2537	-0.2082	-0.3426	-0.2791	-0.1350	-0.2039	-0.0992	

R	otation movement %	0.4703	0.5169	0.3661	0.5052	0.2641	0.5236	0.3170
	Errors when using the rule	0.3194	0.3119	0.1883	0.2460	0.1676	0.2624	0.2967
	Errors when learning the rule	0.3341	0.3108	0.1780	0.2915	0.2009	0.2571	0.1574
ment	Consecutive errors	-0.2108	-0.1561	-0.0786	-0.1172	-0.1205	-0.1833	-0.1231
nprove	Perseverative errors %	0.1349	0.1328	-0.0341	0.0808	0.2122	0.1432	0.2363
Ц	Response time	0.2436	0.2368	0.0538	0.2584	0.3097	0.2486	0.3105
	Speed	0.3597	0.3436	0.2102	0.2501	0.2725	0.2560	0.2995

Table 2. Negative Scale

Pa	rameter	Sympto	oms					
		Blunt affect	Emotional withdrawal	Poor rapport	Passive / apathetic	Difficulty in abstract thinking	Lack of spontaneous conversation	Stereotyped thinking
DE	, sound rule	0.3074	0.3491	0.3054	0.3123	0.3507	0.2727	0.3000
DE	, sound & shape rule	0.3417	0.3044	0.2750	0.3977	0.3879	0.3790	0.2269
CE		0.3737	0.3952	0.3867	0.3158	0.2919	0.3842	0.3635
Err	ors when using the rule	0.4592	0.4144	0.3678	0.4504	0.4592	0.3892	0.3302
Err	ors when learning the rule	0.3340	0.3291	0.2277	0.2968	0.3595	0.3600	0.2012
Co	nsecutive errors	0.5716	0.5488	0.4508	0.5093	0.4963	0.5538	0.3797
Per	severative errors %	-0.2390	-0.1878	-0.1388	-0.2364	-0.1759	-0.2058	-0.1545
	Errors when using the rule	0.5975	0.5660	0.4931	0.5860	0.6269	0.5746	0.4197
	Errors when learning the rule	0.2598	0.2966	0.3131	0.3106	0.4093	0.2041	0.2342
ing	Consecutive errors	0.2438	0.2112	0.1667	0.1862	0.1278	0.2002	0.0226
Train	Perseverative errors %	0.1367	0.0915	-0.0140	0.0960	0.1062	0.0094	0.0887
Res	ponse time	0.5871	0.5603	0.5302	0.5293	0.3789	0.5588	0.4851
Do	ors observed	0.2840	0.2722	0.2320	0.2221	0.2649	0.3431	0.4075

Dif nur	ferent doors observed ratio to nber of doors observed	0.2733	0.2722	0.2240	0.1969	0.1704	0.3245	0.3525
Tin	ne spent looking on doors	0.3969	0.4029	0.4264	0.3991	0.3347	0.4012	0.2051
Sel	ection strategy	0.3978	0.3863	0.3812	0.3982	0.4494	0.3142	0.3166
Na	vigation index	0.3529	0.3595	0.2860	0.3190	0.1762	0.4039	0.1962
Bao	ck movement %	0.2186	0.2154	0.1294	0.1844	-0.0198	0.1877	0.1153
Sid	e movement %	-0.2696	-0.2820	-0.3613	-0.2683	-0.3234	-0.2586	-0.3455
Rot	ation movement %	0.5317	0.5420	0.5826	0.4798	0.4972	0.4897	0.4071
	Errors when using the rule	0.3597	0.3903	0.3553	0.3545	0.4283	0.3105	0.3288
	Errors when learning the rule	0.1952	0.1596	0.1720	0.2334	0.2012	0.1554	0.2232
	Consecutive errors	0.1057	0.0970	0.0869	0.0405	-0.0318	0.0809	-0.0109
lent	Perseverative errors %	0.1598	0.1723	0.0801	0.0852	0.1363	0.0377	0.1274
rovem	Response time	0.2791	0.2543	0.2731	0.2260	0.2089	0.3628	0.2789
Impi	Speed	0.2637	0.2337	0.2291	0.2618	0.1960	0.2861	0.2976

Mutual	Adding max	RELIEF	Simba	G-Flip	G-Flip
Information	info		(linear)	(linear)	(sigmoid)
error rate	error rate	error rate	error rate	complexity	consecutive error
when the	when the	when the	when the	effect	rate
rule was	rule was	rule was	rule was		
used during	used during	used during	used during		
training	training	training	training		
response	response	consecutive	door looking	error rate	error rate when the
time	time	error rate	time	when the	rule was used
				rule was	during training
nototion	distriction	aman nata	aamaaantina	used	a and a continue armon
rotation	affect (sound	when the	consecutive	when the	rote during
movements	effect (sound	when the	entor rate	when the	training
	rule)	Tule was		used during	uanning
	ruic)	useu		training	
door looking	consecutive	Selection	Side	consecutive	selection strategy
time	error rate	strategy	movements	error rate	6
	during	0,5		during	
	training			training	
consecutive	perseverative	error rate	number of	door looking	side movements
error rate	error rate	when	different	time	
	during	learning the	doors		
	training	rule	observed		
distractor	error rate	improvement	error rate	navigation	improvement in
effect (sound	when	in error rate	when	index	error rate when the
and shape	learning the	when	learning the		rule was used
rule)	rule	learning the	rule during		
	•	rule	training		
perseverative	improvement	Dack	improvement	side	
during	in navigation	movements		movements	
training	speed		error rate		
consecutive	improvement	error rate	back	improvement	
error rate	in response	when	movements	in navigation	
during	time	learning the		speed	
training		rule during		1	
U		training			
error rate	number of	distractor	improvement		
when	doors	effect (sound	in response		
learning the	observed	rule)	time		
rule					
error rate	perseverative	consecutive	rotation		
when the	error rate	error rate	movements		
rule was		during			
used		training			

C. 10 best features chosen by different feature selection algorithms

D. Feature sets chosen by Optimal Features Selection Algorithm

Sub-sets of 4,8-10 Optimal Features as Chosen by OFSA for Blanket' Sizes 1-4 Each cell contains a sub-set of features chosen by the algorithm of given size (rows) and blanket' size (columns). As a set of size N is constructed from best N-1 size set, only added features are shown for sets of size 8-10. In addition a number of misclassified subjects is shown. As classification robustness was tested by leave-one-out procedure the range of misclassified subject over all leave-one-out runs is given, for example, a set of 4 features and K=2 resulted in 4 misclassified patients and 4 controls when leaving out a particular subject, while leaving out a different subject resulted in 8 missed patients and 1 control falsely reported as a patient.

	K – blanket's size			
Feature	1	2	3	4
4	1. error rate when	1. distractor effect	1. error rate when	NA
	the rule was used	(sound rule)	the rule was used	
	2. error rate when	2. distractor effect	during training	
	the rule was used	(sound&shape	2. response time	
	during training	rule)	3. number of	
	3. response time	3. error rate when	different doors	
	4. number of doors	the rule was used	observed	
	observed	during training	4. navigation	
	10 patients,	4. response time	index	
	0 controls	4-8 patients,	4-11 patients,	
		1-4 controls	0-6 controls	
8	5. distractor effect	5. error rate when	5. complexity	1. distractor effect
	(sound rule)	the rule was used	effect	(sound rule)
	6. distractor effect	6. error rate when	6. error rate when	2. error rate when
	(sound&shape	learning the rule	learning the rule	the rule was used
	rule)	during training	during training	3. error rate when
	7. consecutive	7. door looking	7. perseverative	the rule was used

	error rate	time	error rate during	during training
	8. improvement in	8. improvement in	training	4. error rate when
	navigation speed	response time	8. number of doors	learning the rule
	5 patients,	4-5 patients,	observed	during training
	1-3 controls	1-3 controls	5-8 patients,	5. consecutive error
			2-4 controls	rate during training
				6. perseverative
				error rate during
				training
				7. response time
				8. improvement in
				navigation speed
				5-7 patients,
				0-3 controls
9	9. error rate when	9. consecutive	9. perseverative	9. perseverative
	learning the rule	error rate during	error rate	error rate during
	5 patients,	training	4-12 patients,	training
	1-2 controls	4-5 patients,	1-5 controls	8 patients
		1-3 controls		
10	10. improvement	10. navigation	10. improvement	10. number of
	in response time	index	in navigation	doors observed
	5 patients,	5 patients,	speed	5-8 patients,
	1-2 controls	1-2 controls	2-7 patients,	1-3 controls
			1-7 controls	

מציאות מדומה עבור הערכה אבחונית של הפרעות בסכיזופרניה

חיבור לשם קבלת תואר דוקטור לפילוסופיה

מאת

אנה סורקין

הוגש לסנט האוניברסיטה העברית, בירושלים אוגש לסנט האוניברסיטה 2006

:לעבודה זו נעשתה בהדרכתה של

פרופ' דפנה ווינשל

תקציר

סכיזופרניה הינה מחלת מוח קשה שמורכבת מתסמינים רבים ומגוונים. למחלה אין סממן גנטי או ביולוגי ואבחון הסכיזופרניה מתבצע על סמך ראיון פסיכיאטרי ומתבסס על ביטוי התסמינים. כל חולה מבטא מכלול שונה של תסמינים, ואין אף תסמין ייחודי למחלה או שמתבטא בכל החולים. עובדות אלו מקשות על האבחון כך שאבחון הסכיזופרניה הינו תהליך סובייקטיבי ואף לא אמין. יחד עם זאת אבחון מוקדם ומדויק הינו גורם קריטי לצמצום הנזקים התפקודיים לתווך ארוך בחולים סכיזופרניים.

המחקר שלי מתמקד בפיתוח ושימוש בכלים טכנולוגיים מתקדמים, בפרט מציאות מדומה, לצורך פיתוח גישות חדשות לאבחון הסכיזופרניה. הגישה שלי מתבססת על שלושה מרכיבים. קודם כל אני מציעה לבסס את אבחון הסכיזופרניה על פרופיל קוגניטיבי תפקודי שיכלול מדדים אובייקטיביים שיאספו בעת ביצוע של מבחנים קוגניטיביים. שנית, אני מתייחסת לפגיעה באינטגרציה בין מערכות עצבים במוח כגורם מרכזי לסכיזופרניה, ולכן פרופיל אבחוני של סכיזופרניה צריך להסתמך על מבחנים קוגניטיביים שמאתגרים תהליכי אינטגרציה. ולבסוף, אני משתמשת במציאות מדומה על מנת ליצור סביבה ניסויית רב-מודלית שמאפשרת ביטוי ללקויי האינטגרציה ומדידתם.

כדי להשיג את המטרות האלה, חקרתי שני מימדים קוגניטיביים שצריכים להיכלל בפרופיל האבחוני של סכיזופרניה: אינטגרציה תחושתית בזיכרון העבודה ותפיסת המציאות. היות והזיות שמיעתיות הינן התסמין הפסיכוטי החמור ביותר בסכיזופרניה, בחרתי לחקור אינטגרציה בין אופנויות השמיעה והראייה ברמות קוגניטיביות שונות. לפי כך הניסוי של זיכרון העבודה מתמקד ברמה נמוכה של אינטגרציה,בו הנבדק נדרש לזכור שילוב של צליל, צבע וצורה ע"מ לצאת ממבוך. כאשר הניסוי של תפיסת המציאות דוגם אינטגרציה קונספטואלית המשלבת תהליכים מטה-מעלה ומעלה-מטה במשימה שדורשת זיהוי של אי-התאמות בסביבה. בניסוי הזה המשתתף מנווט בעולם של מציאות מדומה, בו החתול נובח, העלים על העצים אדומים ופרות מחכות לאוטובוס בתחנה. אירועים אלה יוצרים אי-התאמות בין אופנויות של ראייה ושמיעה ובתוך אופנות הראייה אי-התאמות של צבע ומיקום.

1

לכל מימד קוגניטיבי פתחתי פרוצדורה שמפרידה את המשתתפים לחולי סכיזופרניה וביקרות בהתבסס על תפקודם בניסוי. שני המימדים הראו יכולת ניבוי גבוה, וניבאו נכון 85-88% של החולים. שילוב של שני המימדים משפר את הניבוי עוד יותר, כפי שהודגם בחולים הסכיזופרניים שנבחנו על שני המימדים. קורלציה מובהקת של מספר של מדדים תפקודיים עם מדדים אבחוניים סטנדרטיים מעידה על פוטנציאל אבחוני של המדדים התפקודיים.

המחקר הזה מגדיר מסגרת לפיתוח של פרופיל אבחוני של סכיזופרניה. ע"מ לאפיין את ההפרעות הרבות של הסכיזופרניה הפרופיל האבחוני הסופי צריך לכלול מימדים קוגניטיביים נוספים, כמו למשל יכולת למידה, מימד אמוציונאלי ואינטראקציה חברתית.

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